# FINDINGS FROM THE RECOVERY CENTER OUTCOME STUDY

2020 ANNUAL REPORT

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Phase I intake surveys submitted from July 1, 2016 through June 30, 2017 and follow-up assessments completed July 1, 2017 through June 30, 2018.

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# **EXECUTIVE SUMMARY**

Recovery Kentucky was created to help Kentuckians recover from substance abuse, which often leads to chronic homelessness. There are currently 18 Recovery Kentucky centers across the Commonwealth, providing housing and recovery services for up to 2,200 persons simultaneously.

Recovery Kentucky is a joint effort by the Kentucky Department for Local Government, the Department of Corrections, and Kentucky Housing Corporation. Local governments and communities at each Recovery Kentucky center location have also contributed greatly to making these centers a reality. This is the ninth annual Recovery Center Outcome Study (RCOS) follow-up report conducted by the Behavioral Health Outcome Study team at the University of Kentucky Center on Drug and Alcohol Research (UK CDAR).

This report presents: (1) demographics and targeted factors for 2,074 individuals who entered Phase I in one of 18 Recovery Kentucky programs, agreed to participate in RCOS, who completed an RCOS intake interview in FY 2018; and (2) outcomes for 284 men and women who were randomly selected and completed a 12-month follow-up survey between July 2018 and June 2019 (FY 2019). In addition, this report includes analysis and estimates of avoided costs to society in relation to the cost of recovery service programs.

Overall, in FY 2018, 2,074 clients from 18 participating **Recovery Kentucky programs** across the state completed the RCOS intake interview. Information from those intakes indicates that clients were an average of 34 years old ranging from 18 to 66 years old. More than half of clients were male (58.8%) and 41.1% were female. The majority of clients (79.7%) self-reported they were referred to the recovery center by the criminal justice system (e.g., judge, probation officer, Department of Corrections).

A random sample of clients to be followed up was drawn and stratified by gender and month of intake.<sup>1</sup> Overall, the clients who were followed up received, on average, about 8.4 months of services from the recovery centers. There was no difference in length of service between clients who were referred by DOC and clients who were not referred by DOC. Multivariate analysis

examining the relationship between length of service, DOC referral status, and several targeted outcomes showed no significant associations between DOC referral status and the outcomes, but significant associations were found between length of service and six outcomes— one of which overlapped with the other factors: multiple dimensions of recovery. Specifically, shorter length of service was associated with greater odds of using drugs or alcohol, meeting criteria for depression or anxiety, being arrested, being incarcerated, having better status the 6-month follow-up period, and higher alcohol use severity at follow-up.

Comparisons between those who completed a follow-up and those who did not found no significant differences on selected factors including substance use, mental health symptoms, physical health, and economic and living circumstances. However, significantly more clients who were in the follow-up sample were female because the follow-up sample was stratified by gender. For those who completed a follow-up, 5.3% (n

<sup>&</sup>lt;sup>1</sup>At the completion of the follow-up period, among the 284 clients with follow-up interviews, 67.3% (n = 191) were referred by the Department of Corrections (DOC) and 32.7% (n = 93) were not DOC-referred.

= 15) were still involved with the program at the time of the follow-up, with most of those clients (80.0%, n = 12) in Phase II of the program.

### Substance Use

**RCOS** clients are predominately polysubstance users when they enter **Recovery Kentucky programs** with a history of prior substance abuse treatment. Only 27.7% of clients reported no substance use, alcohol use only, or alcohol use and only one drug class in the 6 months before they entered the program.<sup>2</sup> More than onehalf of clients who were not in a controlled environment 180 days before entering the program reported using 3 or more drug classes along with alcohol in the 6-month period.

A trend analysis shows that

the age of first use of alcohol, illegal drugs, and smoking tobacco has remained steady for the past seven fiscal years. Clients' average age of first alcoholic drink is consistently younger than the age reported for illegal drug and tobacco use while smoking and drug use tend to co-occur at similar ages.

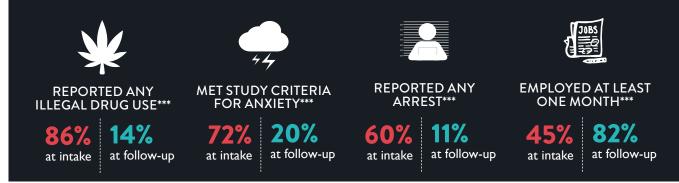
A trend analysis from FY 2010 to FY 2018 intake data examining substance use patterns before entering the program shows that even though a higher percentage of clients reported using opioids than using heroin each fiscal year, the percent of clients reporting they misused prescription opioids and non-prescribed methadone has decreased while the percentages of clients that used heroin and methamphetamine have increased. This trend corresponds to other data sources, including the National

Drug Use and Health Survey.<sup>3</sup> In FY 2018, the percent of clients who had reported they had used prescription opioids and methamphetamine were the same: 54%.

Change in substance use from intake to follow-up was significant. Specifically, 86% of clients indicated they used illegal drugs in the 6 months before entering the recovery center and during the 6-month follow-up period, only 14% of clients reported using illegal drugs. There was a similar trend for alcohol use as 44% of clients reported using alcohol in the 6 months before entering the recovery center and only 7% reported using alcohol during the follow-up period.

<sup>3</sup>Substance Abuse and Mental Health Services Administration, Center for Behavioral Health Statistics and Quality. (August 20, 2019). 2018 NSDUH Detailed Tables. Rockville, MD: Substance Abuse and Mental Health Services Administration. https://www.samhsa.gov/ data/report/2018-nsduh-detailed-tables

Overall, Recovery Kentucky clients made significant strides in all of the targeted areas



<sup>&</sup>lt;sup>2</sup>This is the percent among individuals who were not in a controlled environment all 180 days before entering the program.

### **Mental Health**

There were also significant improvements in mental health over time for clients. The majority of clients (79%) met study criteria for either depression or generalized anxiety at intake. By follow-up, only 25% met study criteria for either depression or anxiety. Two-thirds of clients (66%) met study criteria for depression at intake and by follow-up, only 16% of clients met study criteria for depression. At intake, 72% of clients reported symptoms that met study criteria for generalized anxiety and at follow-up, 20% of clients met study criteria for generalized anxiety. In addition, there was a significant decrease in the number of clients who met study criteria for both depression and generalized anxiety, from 59% at intake to 12% at follow-up.

The percent of clients reporting suicide ideation and/or attempts decreased significantly from 29% at intake to 3% at follow-up. Among the 237 individuals who reported any lifetime victimization experiences at intake, 30% screened positive for PTSD. At follow-up, among the 153 individuals who reported victimization experiences in the prior 6 months, only 9% screened positive for PTSD.

# Physical Health and Stress

General health status also improved from intake to follow-up. Only 14% of clients reported their health was very good or excellent at intake. By follow-up that percent had increased to 55%. The average number of days of poor physical or mental health clients reported in the prior 30 days significantly decreased from intake to follow-up. More than one-quarter of clients (30%) reported chronic pain at intake and that number decreased to 18% at followup. The percent of individuals reporting they used substances to reduce or manage stress decreased from 66% at intake to 10% at follow-up.

# Criminal Justice Involvement

The number of clients who reported being arrested decreased significantly from before entering the recovery center (60%) to after involvement in the program (11%). Likewise, the percent of clients reporting they spent at least one day in jail or prison decreased from 78% at intake to 15% at follow-up. The percentages of individuals who reported they had been convicted for a misdemeanor and felony decreased significantly from intake to follow-up. About 79% of clients were under criminal justice system supervision at intake

and that number decreased to 61% at follow-up.

# **Quality of Life**

Clients reported a significantly higher quality of life after the program. On a scale of I (worst imaginable) to 10 (best imaginable), the average quality of life rating at intake was a 3.4. This increased significantly to 8.3 at follow-up. Clients also rating their overall wellbeing, personal well-being, interpersonal well-being, and social well-being significantly higher (meaning greater wellbeing) at follow-up than at intake.

# Education and Employment

Education and employment improved from intake to follow-up.At intake, 80% of clients had a high school diploma/GED or higher degree and this increased to 84% at follow-up. Less than half of clients (45%) reported working at least I month in the 6 months before program entry and 82% reported working at least I month during the follow-up period, representing a 36% increase. Significantly more men reported working at least one month at intake compared to women, but this difference no longer existed at follow-up. There was a significant wage gap between employed men and women at both intake and follow-up.

# **Living Situation**

The percent of clients who considered themselves currently homeless decreased from 35% at intake to 10% at follow-up. Almost half of clients (49%) reported living in jail or prison at intake and 41% lived in a private residence. At follow-up, the majority of clients (79%) reported their usual living situation was a private residence and none of the clients reported their usual living situation had been in jail or prison at follow-up. Further, at intake 43% of clients reported they had difficulty meeting basic living needs (e.g., food, shelter, utilities, telephone). By followup, this number had decreased to 20%. Similarly, the number of individuals who reported having difficulty obtaining health care for financial reasons (e.g., doctor, dental, and prescription medications) was 25% at intake and decreased to 13% at follow-up.

# Multidimensional Recovery

The majority of the sample (58.7%) was classified as having better multidimensional recovery at intake compared to 0% of the sample at follow-up.

# **Recovery Support**

At follow-up, there was a significant increase in the percent of individuals reporting they had gone to mutual help recovery group meetings in the past 30 days, from 38% at intake to 78% at follow-up. Further, of those who did not attend meetings at intake (n = 176), 78% did attend meetings at follow-up.

There was a significant increase in the number of clients who had interactions with family and friends who were supportive of their recovery as well as the number of clients who had supportive interactions with an AA/ NA sponsor. The average number of people individuals reported they could count on for recovery support significantly increased from intake (4.9) to follow-up (27.9). Additionally, almost all clients (94%) reported they felt their chances of getting off and staying off drugs or alcohol was moderately or very good at follow-up.

# **Program Satisfaction**

Results show that clients were largely satisfied (overall average of 8.4 out of 10 as the highest possible score) with their Recovery Kentucky program experience. The vast majority of clients agreed with a number of statements about positive aspects of the recovery program experience. For example, the majority of clients reported that program staff believed in them and that the program would work for them, their expectations and hopes for the program and recovery were met, they had input into their goals, plans and progress over time, they felt the program staff cared about them and their progress, they had a connection with a staff person during the program, the program approach and method was a good fit for them, and they worked on and talked about the things that were most important to them. The majority of clients stated that the program began good for them, but an even higher percent reported the program ended good for them. The majority of clients stated the program worked extremely well (68%) or pretty well (20%) for them. Only a small minority reported the program worked somewhat for them (9%), and less than 3% reported the program did not work at all for them. Clients reported the biggest benefits of the program were their reduced substance use, major life changes, positive interactions and relationships with other people, improved mental health and feelings about self, and the positive lessons they learned in the recovery center.

"I've tried other programs in the past and not nearly as succesful as this one."

- RCOS FOLLOW-UP CLIENT

# **Analysis of Relapse**

Using a logistic regression, targeted factors were examined in relation to having reported drug and/ or alcohol use in the 6 months before follow-up. Results of the analysis show when controlling for intake variables in the model, number of self-reported months in the Recovery Kentucky program was the only variable associated with relapse at follow-up. The association was such that the longer clients were in the program, the lower were their odds of relapsing.

# **Cost Estimate**

Examining the total costs of drug and alcohol abuse to society in relation to expenditures on recovery services, estimates suggest that for every dollar invested in Recovery Kentucky programs there was a \$2.25 return in avoided costs (or costs that would have been expected given the costs associated with drug and alcohol use before participation in Recovery Kentucky programs).

Overall, evaluation results indicate that Recovery Kentucky programs have been successful in facilitating positive changes in clients' lives in a variety of areas including decreased substance use, improved mental health, physical health, and stress, decreased involvement in

the criminal justice system, improved education and employment situations, and improved living circumstances. These trends in decreases in substance use, mental health symptoms, physical health problems, stress, homelessness, economic hardship, and involvement in the criminal justice system as well as increases in quality of life, employment, and recovery supports have remained consistent over time across multiple annual reports. For example, trends show the vast majority of clients have reported illegal drug use in the 6 months before entering the program, with only 5.0% to 19.1% reporting illegal drug use at follow-up across the 9 years examined. Moreover, examining RCOS clients' multiple dimensions of recovery, all or nearly all clients were classified as having better status at follow-up. Results also suggest clients appreciate their experiences in the recovery centers and believe the program was helpful and a good fit for them.

# **OVERVIEW OF REPORT**

Recovery Kentucky was created to help vulnerable Kentuckians recover from substance abuse. In particular, Recovery Kentucky was designed to serve those who are homeless or at risk of becoming homeless who want to address their addiction. There are currently 18 Recovery Kentucky centers across the Commonwealth, providing housing and recovery services for up to 2,200 persons simultaneously.

Recovery Kentucky is a joint effort by the Kentucky Department for Local Government, the Department of Corrections, and Kentucky Housing Corporation. Local governments and communities at each Recovery Kentucky center location have also contributed greatly to making these centers a reality.<sup>4</sup>

This is the ninth annual Recovery Center Outcome Study (RCOS) follow-up report conducted by the Behavioral Health Outcome Study team at the University of Kentucky Center on Drug and Alcohol Research (UK CDAR). Seventeen of the 18 currently established Recovery Kentucky programs participated in this year's Recovery Center Outcome Study (RCOS) by having clients who completed intake and follow-up interviews for this year's report.<sup>5</sup> The recovery centers with clients in the follow-up sample for this year's report include 7 facilities for women and 10 facilities for men across the state.<sup>6</sup>

Figure I below shows the program modules and how the RCOS fits into the timing of the program modules. The first component of the program is the Safe, Off-the-Street (SOS) program which lasts about 3-7 days. Once clients successfully complete SOS they move into the Motivational Tracks which includes assessments of a client's readiness for recovery. Motivational Tracks I and II last approximately 5-6 weeks. After SOS and the Motivational Tracks are completed clients enter Phase I. Phase I lasts about 5 months on average, and then clients can move to Phase 2 which can last 6 months or more. If clients drop out of the program during the motivational tracks or Phase I, they may reenter the program but will restart the SOS program.

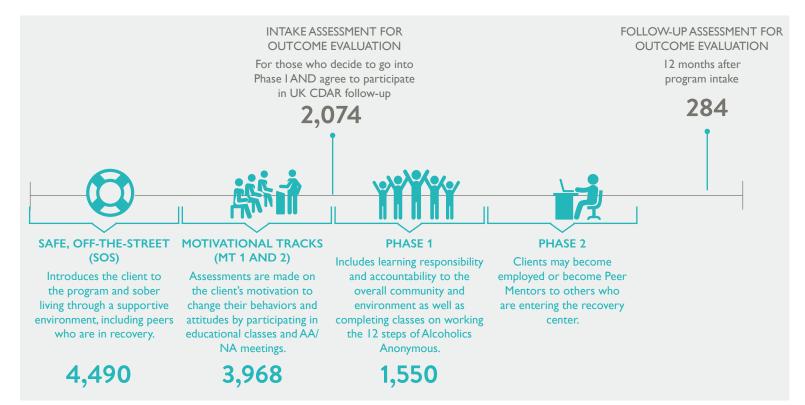
<sup>&</sup>lt;sup>4</sup>For more information about Recovery Kentucky, contact KHC's Mike Townsend toll-free in Kentucky at 800-633-8896 or 502-564-7630, extension 715;TTY711; or email MTownsend@kyhousing.org.

<sup>&</sup>lt;sup>5</sup>One of the eighteen recovery centers, SKYH, did not have any clients complete the follow-up survey for this year's report because of the timing of when the center opened and began collecting intake data.

<sup>&</sup>lt;sup>6</sup>Women's facilities include: Trilogy Center for Women – Hopkinsville; Women's Addiction Recovery Manor – Henderson; Brighton Recovery Center for Women – Florence; Liberty Place for Women – Richmond; Cumberland Hope Community Center for Women – Evarts; The Healing Place for Women – Louisville; The Hope Center for Women – Lexington.

Men's facilities include: Owensboro Regional Recovery Center for Men – Owensboro; The Healing Place for Men – Louisville; The Transitions Grateful Life Center for Men – Erlanger; Morehead Inspiration Center for Men – Morehead; The Healing Place of Campbellsville – Campbellsville; George Privett Recovery Center– Lexington; CenterPoint Recovery Center for Men – Paducah; Hickory Hill Recovery Center – Knott County; Men's Addiction Recovery Campus—Bowling Green; and Genesis Recovery Kentucky Center--Grayson.

#### FIGURE I. PROCESS OF RECOVERY KENTUCKY PROGRAM PARTICIPATION



Recovery Kentucky staff conduct a face-to-face interview with clients as they enter Phase I; thus, only individuals who have progressed through Safe, Off-the-Street, Motivational Tracks I and 2, and have entered Phase I are offered the opportunity to participate in the outcome evaluation. At the Phase I intake, an evidence-based assessment is used to inform about substance use, mental health symptoms, adverse childhood experiences and victimization experiences, health and stress, criminal justice involvement, quality of life, education and employment status, living situation, and recovery supports prior to entering the recovery center.<sup>7</sup> Most items in the intake interview ask about the 6 months or 30 days before clients entered the recovery center. Then, an evidence-based follow-up interview is conducted with a selected sample of clients about 12 months after the intake interview is completed (see Figure I). Follow-up interview items ask about the past-6-month or past-30-day periods. Interviewers at UK CDAR conduct the follow-up interviews over the telephone. Clients' responses to the follow-up interviews are kept confidential to help facilitate an honest evaluation of client outcomes and satisfaction with program services and in accord with human participations protections guidelines.

Trends across report years are presented throughout this report. Statistical tests of significant change across report years was not conducted. Descriptions of changes in percentages of individuals across report years are descriptive only. However, changes from intake to follow-up were analyzed with statistical tests of significance. Results are presented for the overall sample and by gender when there were statistically significant gender differences. There are thirteen main sections including:

Section 1. Overview of RCOS Methods and Client Characteristics. This section briefly describes the Recovery Center Outcome Study (RCOS) method including how clients are selected into

<sup>7</sup> Logan, T., Cole, J., Miller, J., Scrivner, A., & Walker, R. (2016). Evidence Base for the Recovery Center Outcome Study Assessment and Methods. Lexington, KY: University of Kentucky, Center on Drug and Alcohol Research. (Available upon request).

the follow-up sample for the outcome evaluation. In addition, this section describes characteristics of clients who entered Phase I of a recovery center program and agreed to participate in RCOS between July 1, 2017 and June 30, 2018. This section also describes characteristics for clients who completed a 12-month follow-up survey conducted by UK CDAR between July 1, 2018 and June 30, 2019.

**Section 2. Substance Use.** This section describes change in illegal drug, alcohol, tobacco and vaporized nicotine use for clients. Past-6-month substance use is examined, as well as past-30-day substance use, separately for clients who were not in a controlled environment all 30 days before entering the Recovery Kentucky program and clients who were in a controlled environment all 30 days before entering the program.

**Section 3. Mental Health, Stress, and Physical Health.** This section describes change in mental health, stress, and physical health including the following factors: (1) depression, (2) generalized anxiety, (3) comorbid depression and generalized anxiety, (4) suicidal thoughts or attempts, (5) posttraumatic stress symptoms, (6) general health status, (7) chronic pain, and (8) stress-related health consequences.

**Section 4. Criminal Justice System Involvement.** This section examines change in clients' involvement with the criminal justice system from intake to follow-up. Specifically, information about: (1) arrests, (2) incarceration, (3) self-reported misdemeanor and felony convictions, and (4) self-reported supervision by the criminal justice system.

**Section 5. Quality of Life Ratings.** This section shows change over time for two measures of quality of life: (1) overall quality of life, and (2) satisfaction with life.

**Section 6. Education and Employment.** This section examines changes in education and employment including: (1) highest level of education completed, (2) the percent of clients who worked full-time or part-time, (3) the number of months clients were employed full-time or part-time, among those who were employed the 6 months prior to program entry, (4) median hourly wage among employed individuals, and (5) the percent of clients who expect to be employed in the next 6 months.

**Section 7. Living Situation.** This section examines the clients' living situation before they entered the program and at follow-up. Specifically, clients are asked at both points: (1) if they consider themselves currently homeless, (2) in what type of situation (i.e., own home or someone else's home, residential program, shelter) they have lived, and (3) about economic hardship.

**Section 8. Multidimensional Recovery.** This section describes change from intake to followup in a measure of multiple dimensions of recovery that is based on: having no substance use disorder, being employed full-time or part-time, not being homeless, having no arrests or incarceration, having no suicidal thoughts or attempts, having fair to excellent health, having recovery support, and having a mid to high quality of life. Change in the index from intake to follow-up is presented. Furthermore, a multivariate analysis was conducted to examine the intake indicators of better status at follow-up at follow-up.

**Section 9. Recovery Supports.** This section focuses on five main changes in recovery supports: (1) attending mutual help recovery group meetings, (2) recovery supportive interactions in the past 30 days, (3) the number of people the individual said they could count on for recovery support, (4) what

will help them stay off drugs or alcohol, and (5) how good their chances are of staying off drugs or alcohol.

Section 10. Client Satisfaction with Recovery Kentucky Programs. This section describes three aspects of client satisfaction: (1) overall client satisfaction, (2) client ratings of program experiences, and (3) client ratings of most positive outcomes of program participation.

Section 11. Multivariate Analysis of Relapse. This section presents a comparison of those who reported drug and/or alcohol use at follow-up and those who did not on targeted factors. It also focuses on a multivariate analysis examining factors related to relapse in the 2020 RCOS follow-up sample.

**Section 12: Cost and Implications for Kentucky.** This section examines cost reductions or avoided costs to society after Recovery Kentucky Program participation. Using the number of individuals who reported drug or alcohol use at intake and follow-up, a national per person cost was applied to the sample used in this study to estimate the cost to society of drug and alcohol use for the year before individuals were in recovery and then for the same individuals in the year following entry to Phase I.

**Section 13. Conclusion and Study Limitations.** This section summarizes the report findings and discusses some major implications within the context of the limitations of the outcome evaluation study.

# SECTION 1. OVERVIEW OF RCOS METHOD AND CLIENT CHARACTERISTICS

This section briefly describes the Recovery Center Outcome Study (RCOS) method including how clients are selected into the outcome evaluation. In addition, this section describes characteristics of clients who entered Phase I of a recovery center program and agreed to participate in RCOS between July 1, 2017 and June 30, 2018.

# **RCOS INTAKE SAMPLE**

RCOS is comprised of a face-to-face intake interview using an evidence-based assessment conducted by recovery center staff with clients as they enter Phase I. This interview includes demographic questions as well as questions in four main targeted factors (substance use, mental health symptoms, criminal justice system involvement, and quality of life) and four supplemental areas (health and stress-related health consequences, adverse childhood experiences and victimization experiences, economic and living circumstances, and recovery supports).<sup>8</sup> Intake interviews are conducted with clients who voluntarily agree to be included in the outcome evaluation. Most intake interview items ask about the 6 months or 30 days before clients entered the recovery center (i.e., intake). This report examines responses on intakes collected between July 1, 2017 and June 30, 2018 (i.e., FY 2018) for 2,074 clients.<sup>9</sup>

# CHARACTERISTICS OF RCOS CLIENTS AT PHASE I INTAKE

# DEMOGRAPHICS

Table 1.1 presents demographic information on clients with an intake survey completed in FY 2018. Clients' average age was 34.2 years old and men made up 58.8% of the sample. The majority of clients (92.0%) were White and 5.6% were Black. Less than half of the RCOS clients reported they had never been married and were not cohabiting at intake (44.8%), 30.7% were separated or divorced, 23.0% were married or cohabiting, and 1.6% were widowed. Three-fifths of RCOS clients had children under the age of 18.A small minority of individuals (3.7%) were currently serving in the military or a veteran.

<sup>&</sup>lt;sup>8</sup> For more information about the evidence-based assessment, see: Logan, T., Cole, J., Miller, J., Scrivner, A., & Walker, R. (2016). Evidence Base for the Recovery Center Outcome Study Assessment and Methods. Lexington, KY: University of Kentucky, Center on Drug and Alcohol Research. (Available upon request).

<sup>&</sup>lt;sup>9</sup>When a client had more than one intake survey in the same fiscal year, the survey with the earliest submission date was kept in the data file and the other intake surveys were deleted so that each client was represented once and only once in the data set.

#### TABLE 1.1. DEMOGRAPHICS FOR ALL RCOS CLIENTS AT PHASE I INTAKE IN FY 2018 (N = 2,074)<sup>10</sup>

Age	34.2 (Min. = 18, Max. = 66)

#### Gender

Male	58.8%
Female	41.1%
Transgender	0.0%

#### Race

White	92.0%
Black/African American	5.6%
Other or multiracial	2.4%

#### **Marital status**

Never married (and not cohabiting)	44.8%
Separated or divorced	30.7%
Married or cohabiting	23.0%
Widowed	1.6%
Has children under 18 years old	61.5%
Active duty or military veteran	3.7%

# SELF-REPORTED REFERRAL SOURCE

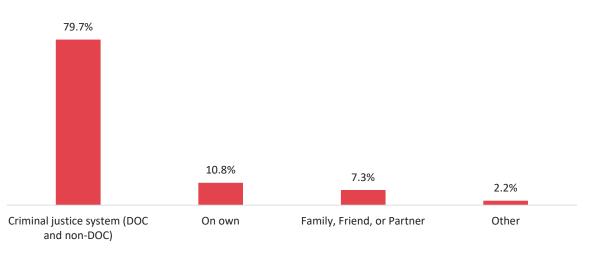
Figure 1.1 shows the self-reported referral source for RCOS clients. The majority of clients (79.7%) self-reported they were referred to the recovery center by the criminal justice system (e.g., judge, probation officer, Department of Corrections). The next two largest referral categories were the client decided to get help on his/her own (10.8%) and the client was referred to the recovery center by a relative, friend, or partner (7.3%). The remaining 2.2% indicated another referral source such as a treatment program, a health care provider, a mental

health care provider, or another recovery center.

"They've let me come back four times, even when I didn't think I deserved to come back. Everyone there has shown such love toward me, and this place means the world to me.."

- RCOS FOLLOW-UP CLIENT

<sup>&</sup>lt;sup>10</sup> Eleven clients had missing or invalid data for date of birth; thus, their age was not calculated. Three clients had missing data about children under the age of 18.



#### FIGURE I.I. REFERRAL SOURCE FOR ALL RCOS CLIENTS (N = 2,074)

### SUBSTANCE USE

The majority of clients reported using illegal drugs, alcohol, and tobacco in the 6-month period before entering the recovery center (see Figure 1.2). About one-third of clients reported using vaporized nicotine in the 6 months before entering the program.<sup>11</sup> Similar results were found when past-30-day use was examined for clients who were not in a controlled environment all 30 days before entering the recovery center.<sup>12</sup>

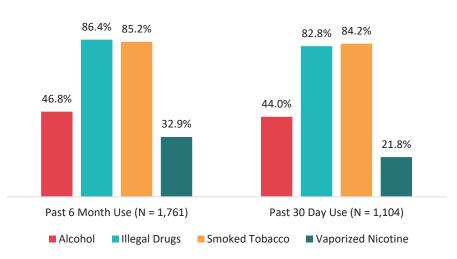


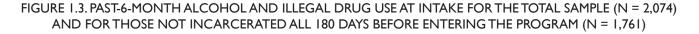
FIGURE 1.2. ALCOHOL, DRUG AND TOBACCO USE 6 MONTHS AND 30 DAYS BEFORE ENTERING RECOVERY CENTER

Figure 1.3 presents the percent distribution of individuals who used alcohol and/or illegal drugs in the 6 months before entering the program. About 2 in 5 for the total sample reported illegal drug use solely

<sup>&</sup>lt;sup>11</sup> Because being in a controlled environment reduces access to alcohol and illegal drugs, individuals who were in a controlled environment the entire intake 6-month period of the study (n = 313) were not included in the analysis of substance use during that period.

 $<sup>^{12}</sup>$  Because being in a controlled environment reduces access to alcohol and illegal drugs, individuals who were in a controlled environment the entire intake 30-day period assessed for the study (n = 970) are not included in the analysis of substance use during that period.

and an additional 37.0% reported alcohol and illegal drug use. Among the individuals who were not incarcerated all 180 days before entering the program, 44.0% reported illegal drug use solely and 42.5% reported alcohol and illegal drug use.



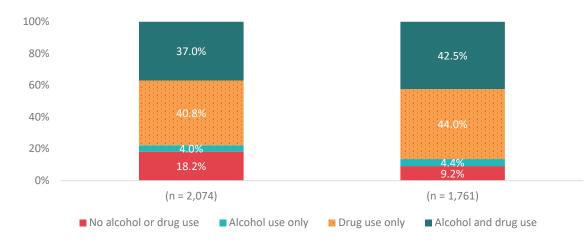
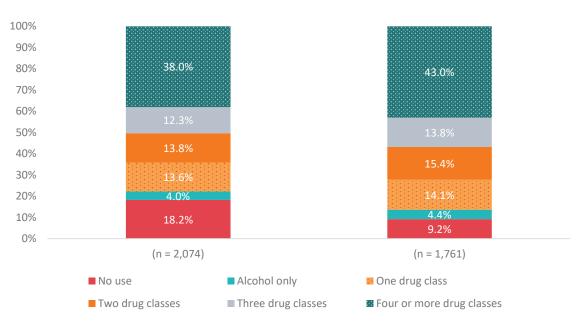


Figure 1.4 presents the percentages of RCOS clients who reported using no drugs, alcohol only, and then various numbers of drug classes from the following: marijuana, opioids (including prescription opioids, buprenorphine, methadone), heroin, CNS depressants (such as benzodiazepines, sedatives, barbiturates), stimulants (including amphetamines and cocaine), and other classes such as hallucinogens, synthetic marijuana, and inhalants). RCOS clients are predominately polysubstance users when they enter programs. Only one-fourth of clients reported no substance use, alcohol use only, or alcohol use with one drug class. Among clients who were not in a controlled environment 180 days before entering the program, over half reported using 3 or more drug classes (56.8%), with 43.0% reporting using 4 or more drug classes.



# FIGURE 1.4. PAST-6-MONTH POLYSUBSTANCE USE AT INTAKE FOR THE TOTAL SAMPLE (N = 2,074) AND FOR THOSE NOT INCARCERATED ALL 180 DAYS BEFORE ENTERING THE PROGRAM (N = 1,761)

A little more than two-thirds of clients (68.0%) reported they had ever attended substance abuse treatment in their lifetime.

The majority of clients (62.4%) had injected drugs in their lifetime. About 1 in 12 (7.9%) reported they had used a Needle Exchange program in Kentucky (see Figure 1.5).

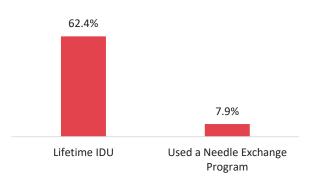
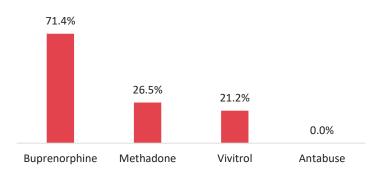


FIGURE 1.5. LIFETIME INJECTING DRUG USE AND USED NEEDLE EXCHANGE PROGRAM (n = 2,074)

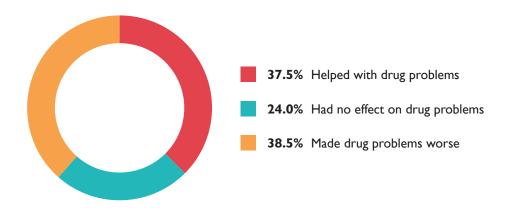
At intake, 13.7% (n = 283) of clients reported they had participated in medication-assisted treatment (MAT) in the 6 months before entering the recovery center, and 5.3% (n = 110) reported they had participated in MAT in the 30 days before entering the recovery center. Among the 283 clients who had participated in MAT in the prior 6 months, 71.4% had taken buprenorphine (e.g., Suboxone, Subutex), 26.5% had taken methadone, 21.2% had taken Vivitrol, and none had taken Antabuse (see Figure 1.6). Individuals reported using a medication prescribed for them in MAT for an average of 2.5 months out of the past 6 months and an average of 7.8 days out of the past 30 days (not depicted in a figure).

# FIGURE 1.6. MEDICATIONS TAKEN IN MEDICATION-ASSISTED TREATMENT IN THE 6 MONTHS BEFORE ENTERING THE RECOVERY CENTER (n = 283)



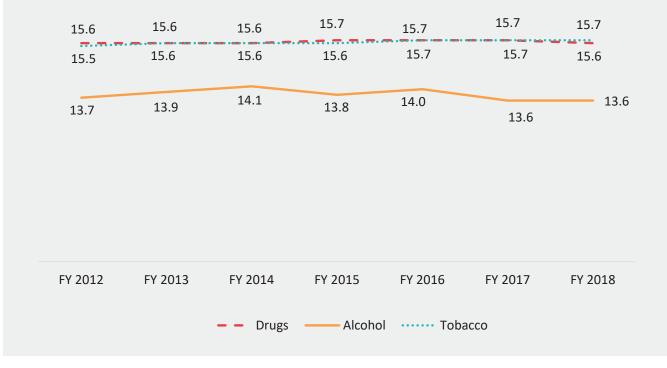
Among the 283 individuals who reported they had participated in MAT in the 6 months before entering the recovery center, similar percentages of individuals reported the prescribed medication had helped with their drug problem (37.5%), and had made their drug problems worse (38.5%). Nearly one-fourth of individuals who had been in MAT reported the prescribed medication had no effect on their drug problems (see Figure 1.7).

# FIGURE 1.7. CLIENTS' PERCEPTION OF HOW HELPFUL THE PRESCRIBED MEDICATION WAS FOR THEIR DRUG PROBLEMS (n = 283)



### **TREND ALERT: AGE OF FIRST USE**

Clients were asked, at intake, how old they were when they first began to use illegal drugs, when they had their first alcoholic drink (more than a few sips), and when they began smoking regularly.<sup>13</sup> The age of first use for each substance has remained steady for the past seven fiscal years. Clients' average age of first alcoholic drink is consistently younger than the age reported for illegal drug and tobacco use while initiation of smoking regularly and drug use tend to co-occur at similar ages.



<sup>&</sup>lt;sup>13</sup>The data reported here is for the entire RCOS intake sample over the past 7 fiscal years, regardless of whether or not they were in a controlled environment.

# ADVERSE CHILDHOOD EXPERIENCES

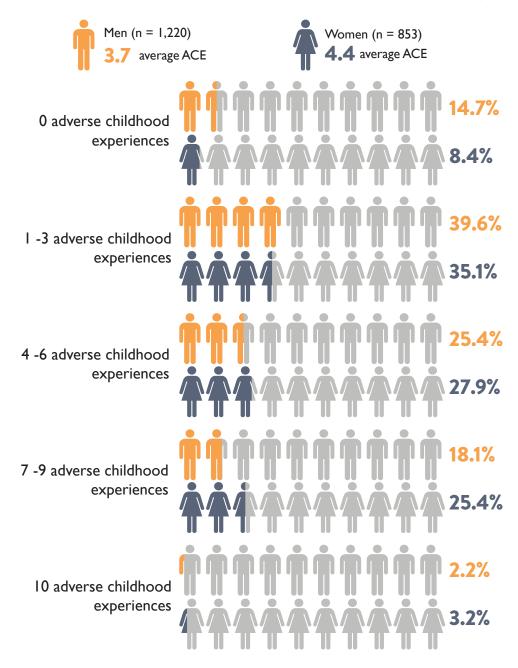
Items about ten adverse childhood experiences from the Adverse Childhood Experiences Study (ACE) were included in the intake interviews.<sup>14:15:16</sup> In addition to providing the percentage of men and women who reported each of the 10 types of adverse childhood experiences before the age of 18 years old captured in ACE, the number of types of experiences was computed such that items individuals answered affirmatively were added to create a score equivalent to the ACE score. A score of 0 means the participant answered "No" to the five abuse and neglect items and the five household dysfunction items in the intake interview. A score of 10 means the participant reported all five forms of child maltreatment and neglect, and all 5 types of household dysfunction before the age of 18. The average number of ACE clients reported was 4.0 (not depicted in figure). Figure 1.8 shows that 14.7% of men and 8.4% of women reported experiencing none of the ACE included in the interview. More than one-third reported experiencing 1 to 3 ACE, a little more than one-fourth reported experiencing 4 – 6 ACE, less than one-fifth of men and one-fourth of women reported 7 – 9 ACE. A very small percent reported experiencing 0 types of ACE, whereas significantly more women than men reported experiencing 0 types of ACE, whereas significantly more women than men reported experiencing 7 – 9 types of ACE.

<sup>&</sup>lt;sup>14</sup> Felitti, V. J., Anda, R. F., Nordenberg, D., Williamson, D. F., Spitz, A. M., Edwards, V., Koss, M. P., & Marks, J. S. (1998). Relationship of childhood abuse and household dysfunction to many of the leading causes of death in adults: The Adverse Childhood Experiences (ACE) Study. *American Journal of Preventive Medicine*, 14(4), 245-258.

<sup>&</sup>lt;sup>15</sup>Centers for Disease Control and Prevention. (2014). Prevalence of individual adverse childhood experiences. Atlanta, GA: National Center for Injury Prevention and Control, Division of Violence Prevention. http://www.cdc.gov/violenceprevention/acestudy/prevalence. html.

<sup>&</sup>lt;sup>16</sup>The intake assessment asked about 10 major categories of adverse childhood experiences: (a) three types of abuse (e.g., emotional maltreatment, physical maltreatment, and sexual abuse), (b) two types of neglect (e.g., emotional neglect, physical neglect), and (c) five types of family risks (e.g., witnessing partner violence victimization of parent, household member who was an alcoholic or drug user, a household member who was incarcerated, a household member who was diagnosed with a mental disorder or had committed suicide, and parents who were divorced/separated).

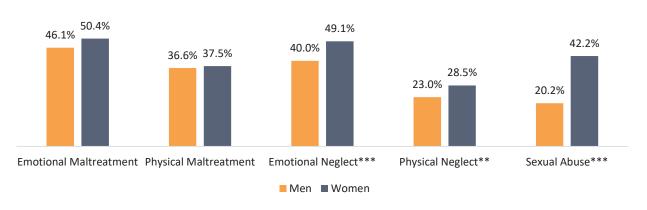
FIGURE 1.8. NUMBER OF TYPES OF ADVERSE CHILDHOOD EXPERIENCES BY GENDER (n = 2,073)<sup>17</sup>



Half of women (50.4%) and 46.1% of men reported they had experienced emotional maltreatment in their childhood (see Figure 1.9). Around one-third of men and women reported physical maltreatment. Significantly more women than men reported emotional neglect, physical neglect, and sexual abuse in their childhood. About 1 in 5 men and 2 in 5 women reported they had experienced sexual abuse.

<sup>&</sup>lt;sup>17</sup>One individual was missing responses to the items.

FIGURE 1.9. MALTREATMENT AND ABUSE EXPERIENCES IN CHILDHOOD BY GENDER (n = 2,073)<sup>18</sup>



\*\*p < .01, \*\*\*p < .001.

The majority of individuals reported their parents were divorced or lived separately and had a household member with a substance abuse problem (see Figure 1.10). Significantly more women than men reported they had witnessed intimate partner violence of a parent, had a household member with a substance abuse problem, and a household member with a mental illness or had committed suicide. About 1 in 5 individuals reported a household member had been incarcerated.

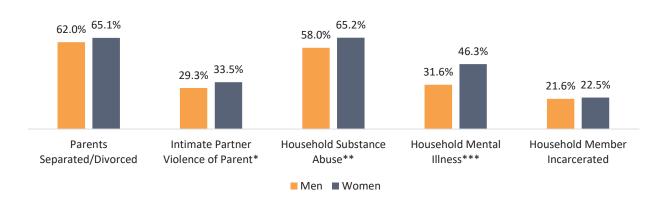


FIGURE 1.10. HOUSEHOLD RISKS IN CHILDHOOD BY GENDER (n = 2,073)<sup>19</sup>

\*p < .05, \*\*p < .01, \*\*\*p < .001.

Individuals were also asked about victimization experiences (including when they may have been the victim of a crime, harmed by someone else, or felt unsafe) they had in their lifetime and in the 6 months before entering the recovery center program. The results of the most commonly reported lifetime experiences are presented by gender in Figure 1.11. Similar percentages of men and women reported ever being the victim of a home burglary or assault (other than IPV). Compared to men, significantly

<sup>&</sup>lt;sup>18</sup>One individual did not have responses to the ACE questions.

<sup>&</sup>lt;sup>19</sup>One individual did not have responses to the ACE questions.

higher percentages of women reported ever being verbally harassed in public and concerned for their safety, intimate partner violence (including controlling behavior), stalked by someone who scared them, and sexually assaulted or raped.

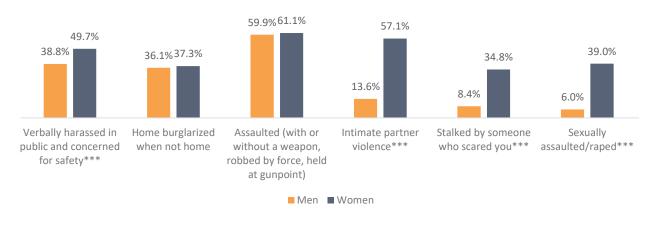
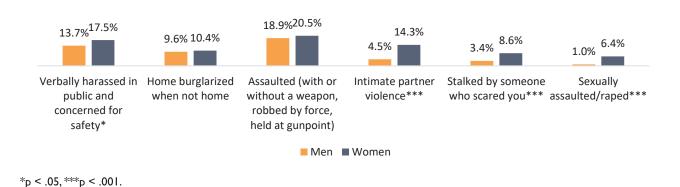


FIGURE 1.11. LIFETIME CRIME AND INTERPERSONAL VICTIMIZATION BY GENDER (n = 2,073)<sup>20</sup>

\*\*\*p < .001.

Smaller percentages of clients reported experiencing crime and interpersonal victimization in the 6 months before entering programs than in their lifetime (see Figure 1.12). However, the pattern of gender differences was the same for the 6-month-period as it was for lifetime prevalence percentages. Significantly higher percentages of women than men reported ever being verbally harassed in public and concerned for their safety, intimate partner violence (including controlling behavior), stalked by someone who scared them, and sexually assaulted or raped.

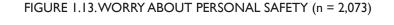
FIGURE 1.12. PAST-6-MONTH CRIME AND INTERPERSONAL VICTIMIZATION BY GENDER (n = 2,073)<sup>21</sup>

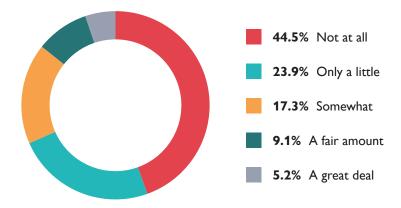


<sup>&</sup>lt;sup>20</sup>The victimization items were modified toward the end of the fiscal year, thus, 1,787 individuals who completed an intake interview included in this report answered the following questions: verbal harassment on the street or other public place, and their home was burglarized when not home.

<sup>&</sup>lt;sup>21</sup>The victimization items were modified toward the end of the fiscal year, thus, 1,787 individuals who completed an intake interview included in this report answered the following questions: verbal harassment on the street or other public place, and their home was burglarized when not home.

Nearly half of the sample reported they did not worry at all about their personal safety, with no difference by gender (see Figure 1.13). Nearly one-fourth of clients (23.9%) reported they worried only a little, and 17.3% worried somewhat about their personal safety. Only about 1 in 20 (5.2%) reported they worried a great deal.

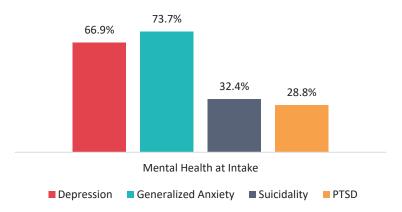




### **MENTAL HEALTH**

At intake, nearly two-thirds of RCOS clients met study criteria for depression in the past 6 months (see Figure 1.14). Additionally, nearly three-fourths of RCOS clients met study criteria for generalized anxiety at intake. Three in ten (30.4%) reported suicidal thoughts or attempts in the 6 months before entering the recovery center. Among the individuals who completed an intake interview after the PTSD items were added and who reported any crime or interpersonal victimization (n = 1,647)<sup>22</sup>, more than one-fourth had PTSD scores that indicated a risk of PTSD.<sup>23</sup>

#### FIGURE 1.14. DEPRESSION, GENERALIZED ANXIETY, SUICIDALITY, AND POST TRAUMATIC STRESS DISORDER IN THE PAST 6 MONTHS AT INTAKE (N = 2,074)



<sup>&</sup>lt;sup>22</sup> Individuals who reported no to all victimization questions were not asked the PTSD symptom items; thus, 1,647 individuals had PTSD scores at intake. A score of 10 or higher is indicative of clinically significant PTSD symptomatology.

<sup>&</sup>lt;sup>23</sup> Price, M., Szafranski, D. D., van Stolk-Cooke, K., & Gros, D. F. (2016). Investigation of abbreviated 4 and 8 item versions of the PTSD Checklist 5. *Psychiatry Research*, 239, 124-130.

### PHYSICAL HEALTH

At intake, clients reported an average of 9.0 days of poor physical health in the past 30 days and an average of 17.0 days of poor mental health in the past 30 days (see table 1.2). About one-fourth of RCOS clients reported chronic pain in the 6 months before entering the recovery center. The majority of individuals (61.2%) reported they had at least one of the 16 chronic health problems listed on the intake interview. The most common medical problems were hepatitis C, asthma, arthritis, severe dental problems, and cardiovascular disease.

TABLE 1.2. HEALTH-RELATED CONCERNS FOR ALL RCOS CLIENTS AT INTAKE (N = 2,074)

Average number of poor health days in past 30 days	9.0
Average number of poor mental health days in past 30 days	17.0
Chronic pain	26.3%
At least one chronic medical problem	61.2%
<b>At least one chronic medical problem</b> Hepatitis C	61.2% 28.8%
•	•••=/•
Hepatitis C	28.8%
Hepatitis C Asthma	28.8% 15.0%

Figure 1.15 shows the percent of clients who reported having different numbers of chronic medical problems at intake. A little more than one-third reported no problems, and one-third reported one chronic medical problem. One in 10 reported having three or more chronic medical problems.

FIGURE 1.15. NUMBER OF CHRONIC MEDICAL PROBLEMS AT INTAKE FOR TOTAL SAMPLE (N = 2,074)

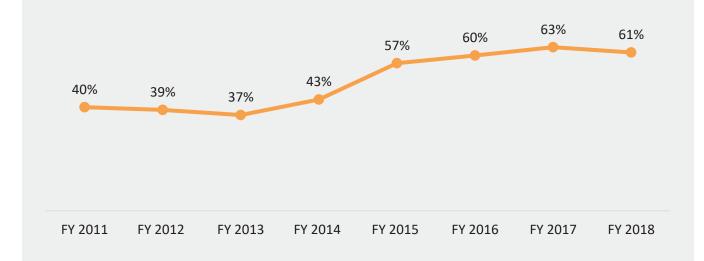


Number of chronic medical problems

■ None ■ One ■ Two ■ Three or more

#### TREND ALERT: CHRONIC MEDICAL PROBLEMS AT INTAKE

At intake, clients were asked if, in their lifetime, they have been told by a doctor they have any of the chronic medical problems listed (e.g., diabetes, arthritis, asthma, heart disease, chronic obstructive pulmonary disease, seizures, kidney disease, cancer, hepatitis B, hepatitis C, pancreatitis, tuberculosis, severe dental problems, cirrhosis of the liver, HIV/AIDS, and other sexually transmitted infections). The number of RCOS clients reporting at least one chronic health problem in their lifetime remained steady from FY 2011 (40%) to FY 2013 (37%) and has increased from FY 2013 to FY 2018 (61%).



The most common insurance provider reported at intake was Medicaid (59.3%; see Table 1.3). More than one-fifth of clients (22.4%) did not have any insurance. Small numbers of clients had insurance through an employer, including through a spouse, partner, or self-employment, Medicare, and through the Health Exchange.

TABLE 1.3. SELF-REPORTED INSURANCE FOR ALL RCOS CLIENTS AT INTAKE (N = 2,062)<sup>24</sup>

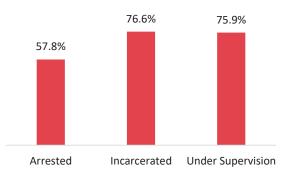
No insurance	22.4%
Medicaid	59.3%
Through employer (including spouse's employer, parents' employer, and self-employed)	8.4%
Medicare	7.9%
Through Health Exchange	1.1%
VA/Champus/Tricare	0.6%

#### **CRIMINAL JUSTICE INVOLVEMENT**

Over half of individuals reported they had been arrested at least once (57.8%) and a little over threefourths reported they had been incarcerated at least one night (76.6%) in the 6 months before they entered the recovery center (see Figure 1.16). Additionally, 75.9% of clients reported they were currently under criminal justice supervision (i.e., probation, parole) at intake.

<sup>&</sup>lt;sup>24</sup>Twenty-two individuals provided answers that could not be classified into categories: missing values.

FIGURE 1.16. CRIMINAL JUSTICE INVOLVEMENT BEFORE ENTERING THE RECOVERY CENTER (N = 2,074)



#### EDUCATION AND EMPLOYMENT STATUS

About one in five clients (19.9%) had less than a high school diploma or GED at intake (see Figure 1.17). Two-fifths (43.8%) of clients had a high school diploma or GED and 26.6% had completed some vocational/technical school or college. Only a minority of clients had completed vocational/technical school (2.8%), an associate's degree (3.5%), or a bachelor's degree or higher (3.4%).

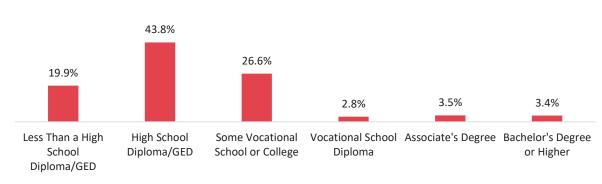


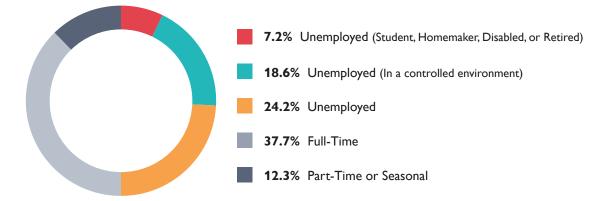
FIGURE 1.17. HIGHEST LEVEL OF EDUCATION COMPLETED AT INTAKE (N = 2,074)

More than one-third of clients (37.7%) reported their usual employment status in the 6 months before they entered the recovery center was full-time employment and 12.3% reported part-time or seasonal work (see Figure 1.18). Less than 8% reported they were unemployed because they were a full-time student, parent/homemaker, retired, or disabled. Less than 1 in 5 (18.6%) were unemployed because they were in a controlled environment and 24.2% reported they were unemployed for some other reason (i.e., looking for work).

"The staff is amazing, they care about us and teach us to be selfsufficient."

- RCOS FOLLOW-UP CLIENT

#### FIGURE 1.18. USUAL EMPLOYMENT STATUS AT INTAKE (N = 2,074)



# **RCOS FOLLOW-UP SAMPLE**

The following sections of this report describe outcomes for 284 men and women who completed both an intake and a follow-up interview about 12 months (average of 387.1 days) after the intake survey was completed. Data from Kentucky Housing Corporation shows that the average length of service for the program participants included in this report was 8.8 months, which includes time in Safe Off the Streets, Motivational Tracks, Phase I and Phase 2. In the follow-up interview, interviewers asked individuals how many months they were in the recovery center program; the average months clients reported they were in the recovery program was 8.8, with a minimum of 2 and a maximum of 30. In the follow-up interview, individuals were also asked to report the length of time since they left the recovery center program. When individuals who were still involved in the recovery center program was 8.0.

Detailed information about the methods can be found in Appendix A. Individuals who gave at least one mailing address and one phone number, or two phone numbers if they do not have a mailing address in their locator information, were eligible for selection into the 12-month follow-up component of the study.<sup>25</sup> The follow-up interviews were conducted over the telephone by an interviewer at UK CDAR with eligible individuals. Client responses to the follow-up interview were kept confidential to help facilitate an accurate and unbiased evaluation of client outcomes and satisfaction with program services. Overall, 24 completed follow-ups are targeted for each month. Due to the cost of the follow-up component of the study, the follow-up sample is targeted for as close to 280 follow-up interviews as possible.

In contrast to the previous year's sampling plan for the follow-up, this year's sample was stratified by target month (i.e., 12 months after intake is the target month for each client) and gender. Past year's samples were stratified by target month, gender, and DOC status. The primary reason the prior years' samples were stratified by DOC status was to allow examination of whether length of service differs by DOC referral status, and whether either of these factors are related to key targeted outcomes. Analysis in past years' reports showed that DOC referral status was not associated with any of the targeted

<sup>&</sup>lt;sup>25</sup> Clients are not contacted for a variety of reasons including follow-up staff are not able to find a working address or phone number or are unable to contact any friends or family members of the client.

outcomes, while length of service was associated with several targeted outcomes.

See Appendix B for detailed information about clients who were followed up (n=284) compared to clients who were not followed up (n=1,790). There was only one significant difference between individuals who were followed-up and individuals who were not followed-up. Because of the stratification of the follow-up sample, a significantly higher proportion of followed up individuals were female than the not followed up individuals. There were no significant differences in other sociodemographic, substance use, mental health, physical health, living situation, education, employment, or criminal justice system involvement at intake by follow-up status.

Of the 282 individuals who completed a follow-up survey and answered the question, 5.3% (n = 15) reported they were still involved in the recovery center at the time of the follow-up.<sup>26</sup> For those clients who were in the recovery center at the time of the follow-up, 11 clients were in Phase 2, and 2 clients were in Phase 1, and two had missing data on the phase. Analysis of substance use at follow-up showed no difference when individuals who were still living at a recovery center at follow-up were included or excluded from the analysis.

### **ABOUT RCOS LOCATING EFFORTS**

To ensure the highest possible follow-up rate, extensive locating efforts are made to contact each client selected for the follow-up study. Because of the transient nature of the client population and the living situation at the time of the follow-up (Recovery Centers), it can be challenging to find the clients. In order to understand the specific efforts it takes to achieve a high follow-up rate, project interviewers documented their efforts (e.g., mailings, phone calls, internet searches, etc.) to locate each participant included in the sample of individuals to be followed up from July 2013 to June 2014 (n = 527) for the 2015 RCOS outcomes report. All the locator files\* were examined and used to extract information about the efforts project interviewers made to locate and contact participants as well as the type of contact information provided by participants in the original locator information when the intake survey data was submitted to UK CDAR.

The results for all 527 records in the 2015 report show a total of 1,741 phone calls were made to client phone numbers and 1,217 calls to contact persons' phone numbers (see following page). As the pull-out on the following page shows, project interviewers made an average of about 3.3 calls to client phone numbers and 2.4 calls to contact persons' phone numbers. Fewer than 30% of clients called in at any point and only 3.4% called-in to complete the survey after receiving the initial mailing without project interviewers putting additional effort into contacting the clients. That means follow-up interviewers put in considerable effort to attempt to locate, contact, and complete follow-up surveys with 96.6% of the individuals included in the follow-up sample.

Note: At the time of extraction, there were 2 (physical) files missing. Information on phone number, address, and contacts listed was pulled from the electronic data files. The other information was filled in with the sample averages for these 2 files.

<sup>&</sup>lt;sup>26</sup>Two individuals had missing values for the item about continued involvement in the recovery center program.

### **CHARACTERISTICS OF RCOS FOLLOW-UP CLIENTS AT INTAKE**

DEMOGRAPHICS

Table 1.4 presents demographic information on clients with an intake survey submitted in FY 2018 and a follow-up interview completed between July 2018 and June 2019. Clients' average age was 34.0 years old and women made up 52.5% of the sample. The majority of clients (92.3%) were White and 4.9% were Black. Two-fifths of RCOS follow-up clients reported they had never been married (and were not cohabiting) at intake (40.5%), 35.2% were separated or divorced, and 21.1% were married or cohabiting. The majority of RCOS clients had children under the age of 18. About 2% of individuals were currently serving in the military or a veteran.

TABLE 1.4. DEMOGRAPHICS FOR FOLLOWED-UP RCOS CLIENTS AT PHASE I INTAKE IN FY 2018 (N = 284)27

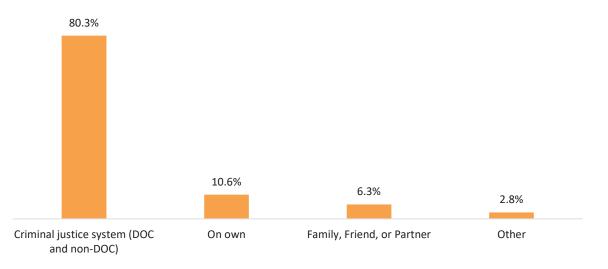
Age	34.0 (Min. = 19, Max. = 60)
Gender	
Male	47.5%
Female	52.5%
Race	
White	92.3%
Black/African American	4.9%
Other or multiracial	2.8%
Marital status	
Never married (and not cohabiting)	40.5%
Separated or divorced	35.2%
Married or cohabiting	21.1%
Widowed	3.2%
Has children under 18 years old	62.7%
Active duty or military veteran	2.1%

#### SELF-REPORTED REFERRAL SOURCE

Figure 1.19 shows the self-reported referral source for RCOS clients in the follow-up sample. The majority of clients (80.3%) self-reported they were referred to the recovery center by the criminal justice system (e.g., judge, probation officer, Department of Corrections). About 1 in 10 stated they had entered the program on their own, and 6.3% were referred to the program by a family member, friend, or partner The remaining 2.8% indicated another referral source such as a treatment program, a health care provider, substance abuse treatment facility, or none of the other categories.

<sup>&</sup>lt;sup>27</sup> Four followed-up individuals had invalid DOB data; thus, their age was not calculated.

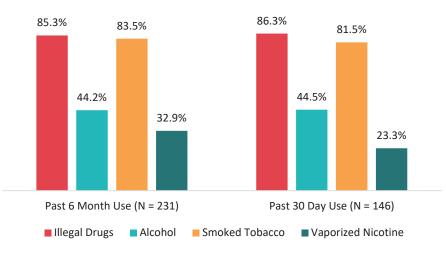
#### FIGURE 1.19. SELF-REPORTED REFERRAL SOURCE FOR FOLLOWED-UP RCOS CLIENTS (N = 284)



#### SUBSTANCE USE

The majority of clients in the follow-up sample reported using illegal drugs and smoked tobacco and less than half of clients reported using alcohol in the 6-month period before entering the recovery center (see Figure 1.20).<sup>28</sup> Similar percentages were found when past-30-day use was examined for clients who were not in a controlled environment all 30 days before entering the recovery center.<sup>29</sup>

#### FIGURE 1.20. FOLLOW UP SAMPLE ALCOHOL, DRUG AND TOBACCO USE 6 MONTHS AND 30 DAYS BEFORE ENTERING RECOVERY CENTER



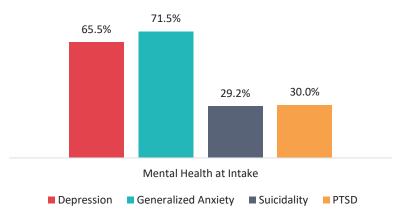
<sup>&</sup>lt;sup>28</sup> Because being in a controlled environment reduces access to alcohol and illegal drugs, individuals who were in a controlled environment the entire intake 6-month period of the study (n = 53) were not included in the analysis of substance use during that period.

 $<sup>^{29}</sup>$  Because being in a controlled environment reduces access to alcohol and illegal drugs, individuals who were in a controlled environment the entire intake 30-day period assessed for the study (n = 138) are not included in the analysis of substance use during that period.

### MENTAL HEALTH

At intake, 65.5% of RCOS clients in the follow-up sample met study criteria for depression in the past 6 months (see Figure 1.21). Additionally, 71.5% of followed-up clients met study criteria for generalized anxiety at intake. About 29% reported suicidal thoughts or attempts in the 6 months before entering the recovery center. Among the individuals who reported any crime or interpersonal victimization (n = 237)<sup>30</sup>, 3 in 10 (30.0%) had PTSD scores that indicated a risk of PTSD.<sup>31</sup>





#### PHYSICAL HEALTH

At intake, clients in the follow-up sample reported an average of 7.7 days of poor physical health in the past 30 days and an average of 16.2 days of poor mental health in the past 30 days (see Table 1.5). About 3 in 10 (29.6%) RCOS follow-up clients reported chronic pain in the 6 months before entering the recovery center. Nearly two-thirds of individuals in the follow-up sample (65.5%) reported they had at least one of the 15 chronic health problems listed on the intake interview. The most common medical problems were hepatitis C, asthma, arthritis, cardiovascular disease, and severe dental problems.

<sup>&</sup>lt;sup>30</sup> Individuals who reported no to all victimization questions were not asked the PTSD symptom items; thus, 237 individuals who completed a follow-up interview had PTSD scores at intake. A score of 10 or higher is indicative of clinically significant PTSD symptomatology.

<sup>&</sup>lt;sup>31</sup> Price, M., Szafranski, D. D., van Stolk-Cooke, K., & Gros, D. F. (2016). Investigation of abbreviated 4 and 8 item versions of the PTSD Checklist 5. *Psychiatry Research*, 239, 124-130.

TABLE 1.5. HEALTH-RELATED CONCERNS FOR FOLLOWED-UP RCOS CLIENTS AT INTAKE (N = 284)

Average number of poor health days in past 30 days	7.7
Average number of poor mental health days in past 30 days	16.2
Chronic pain	29.6%
At least one chronic medical problem	65.5%
Hepatitis C	29.6%
Asthma	16.9%
Arthritis	15.5%
Cardiovascular/heart disease	12.3%
Severe dental problems	9.5%

Figure 1.22 shows the percent of followed-up clients who reported having different numbers of chronic medical problems at intake. One-third reported no problems, 37.7% reported one chronic medical problem, and 17.3% reported two problems. About one in 10 reported having three or more chronic medical problems.

FIGURE 1.22. NUMBER OF CHRONIC MEDICAL PROBLEMS AT INTAKE FOR TOTAL SAMPLE (N = 284)

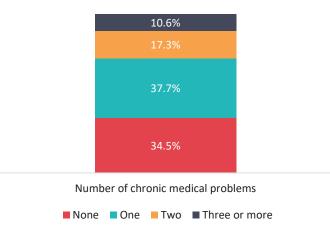


Figure 1.22 shows the percent of followed-up clients who reported having different numbers of chronic medical problems at intake. One-third reported no problems, 37.7% reported one chronic medical problem, and 17.3% reported two problems. About one in 10 reported having three or more chronic medical problems.

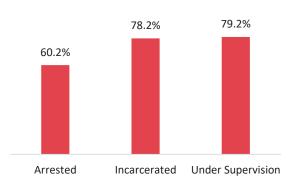
FIGURE 1.22. NUMBER OF CHRONIC MEDICAL PROBLEMS AT INTAKE FOR TOTAL SAMPLE (N = 284)<sup>32</sup>

No insurance	22.1%
Medicaid	65.4%
Through employer (including spouse's employer, parents' employer, and self-employed)	6.1%
Medicare	6.1%
Through Health Exchange	0.4%
VA/Champus/Tricare	0.0%

#### CRIMINAL JUSTICE INVOLVEMENT

Over half of followed-up individuals reported they had been arrested at least once (60.2%) and more than three-fourths reported they had been incarcerated at least one night (78.2%) in the 6 months before they entered the recovery center (see Figure 1.23). Additionally, 79.2% of clients reported they were currently under criminal justice supervision (i.e., probation, parole) at intake.

FIGURE 1.23. FOLLOW UP SAMPLE CRIMINAL JUSTICE INVOLVEMENT BEFORE ENTERING THE RECOVERY CENTER (N = 284)

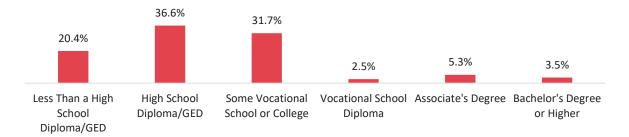


#### EDUCATION AND EMPLOYMENT STATUS

One in five followed-up clients (20.4%) had less than a high school diploma or GED at intake (see Figure 1.24). About 37% of clients had a high school diploma or GED and 31.7% had completed some vocational/technical school or college. Only a minority of clients had completed vocational/technical school (2.5%), an associate's degree (5.3%), or a bachelor's degree or higher (3.5%).

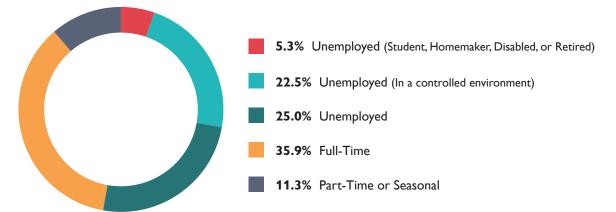
<sup>&</sup>lt;sup>32</sup> Four individuals gave responses that could not be classified into a category: missing value.

FIGURE 1.24. HIGHEST LEVEL OF EDUCATION COMPLETED BY FOLLOW-UP SAMPLE AT INTAKE (N = 284)



More than one-third of followed-up clients (35.9%) reported their usual employment status in the 6 months before they entered the recovery center was full-time employment and 11.3% reported part-time or seasonal work (see Figure 1.25). A minority (5.3%) reported they were unemployed because they were a full-time student, parent/homemaker, retired, or disabled. One in four clients (25.0%) reported they were unemployed for some other reason (i.e., looking for work). A little more than 1 in 5 reported their usual employment was unemployed because they were in a controlled environment (22.5%),

FIGURE 1.25. USUAL EMPLOYMENT STATUS FOR FOLLOW-UP SAMPLE AT INTAKE (N = 284)



"They've always been there for me. I relapsed. Every staff member was there. Awesome program."

- RCOS FOLLOW-UP CLIENT

# **SECTION 2. SUBSTANCE USE**

This section describes intake (before entry into SOS) compared to follow-up (i.e., 6 months and 30 days before the follow-up interview) change in illegal drug, alcohol, and tobacco use.<sup>33</sup> Both past-6-months substance use and past 30-day substance use is examined separately for clients who were not in a controlled environment the entire period before entering a recovery program and clients who were in a controlled environment the entire period before entering the program (for the 30 day use). Results for each analysis are presented for the overall sample and then by gender if there were significant gender differences.

Section 2A examines change in the use of (1) any illegal drugs, (2) alcohol,<sup>34</sup> and, (3) tobacco before entering the recovery center and before the follow-up for clients who were not in a controlled environment the entire period before entering the program (i.e., 6 months or 30 days).<sup>35</sup> Results and significant gender differences are presented for each substance group in four main subsections:

- Change in 6-month substance use from intake to follow-up for clients not in a controlled environment.<sup>36</sup> Comparisons of use of substances (any illegal drug use, alcohol use, and tobacco use) in the 6 months before the client entered the program and use of substances during the 6-month follow-up period are presented (n = 229). Appendix C provides change over time on specific substances for men and women.
- 2. Average number of months individuals used substances. For those who used the substances, the number of months they used the substance before program entry and during the follow-up period are analyzed.
- 3. Change in 30-day substance use from intake to follow-up for clients not in a controlled environment. Comparisons of any use in the 30 days before program entry and the 30 days before the follow-up interview for any illegal drugs, alcohol, and tobacco for clients who were not in a controlled environment all 30 days before entering the recovery center (n = 141) are presented.<sup>37</sup>

<sup>&</sup>lt;sup>33</sup> If the client progresses through the phases of the Recovery Kentucky Program in a typical manner, the follow-up interview should occur about 6 months after they are discharged from Phase I. However, because clients progress through phases at their own pace and many factors can affect when they are discharged from Phase I, the follow-up timing varies by client. For example, some individuals may not complete Phase I and may be discharged before the approximate 6 months it should take to complete Phase I.

<sup>&</sup>lt;sup>34</sup>Alcohol use was asked three main ways: (1) how many months/days did you drink any alcohol (alcohol use), (2) how many months/ days did you drink alcohol to intoxication (alcohol to intoxication), and (3) how many months/days did you have 5 or more (4 if female) alcoholic drinks in a period of about 2 hours (i.e., binge drinking).

<sup>&</sup>lt;sup>35</sup> McNemar's test was used for significance testing of substance use; Chi-square test of independence was used to test for significant differences for gender at intake and then at follow-up.

<sup>&</sup>lt;sup>36</sup> Fifty-five individuals were not included in the analysis of change in substance use from the 6 months before entering the recovery center to the 6 months before follow-up because they reported being incarcerated the entire period measured at intake (n = 53), and they were incarcerated the entire period before follow-up (n = 2).

<sup>&</sup>lt;sup>37</sup> Because many individuals enter the Recovery Kentucky program after leaving jail or prison, substance use in the 30 days before entering the program was examined separately for individuals who were in a controlled environment all 30 days from individuals who were not in a controlled environment all 30 days. The assumption for this divided analysis is that being in a controlled environment inhibits opportunities for alcohol and drug use. A total of 138 individuals were in a controlled environment all 30 days before entering the program, 3 individuals were in a controlled environment all 30 days before follow-up, and 2 individuals had missing data for the number of days in a controlled environment before follow-up.

4. Change in self-reported severity of substance use disorder from intake to follow-up. There are two indices of substance use severity presented in this report. One way to examine overall change in degree of severity of substance use is to ask participants to self-report whether they met the 11 criteria included in the DSM-5 for diagnosing substance use disorder in the past 6 months. Under DSM-5 anyone meeting any two of the 11 criteria during the same 12-month period would receive a diagnosis of substance use disorder (SUD) as long as their symptoms were causing clinically significant impairments in functioning. The severity of the substance use disorder in this report (i.e., none, mild, moderate, or severe) is based on the number of criteria met. The percent of individuals in each of the four categories at intake and follow-up is presented.

The Addiction Severity Index (ASI) composite scores are examined for change over time among individuals who reported any illegal drug use (n = 120), among individuals who reported using any alcohol (n = 65) and those who reported both alcohol and/or illegal drug use (n = 128). The ASI composite score assesses self-reported addiction severity even among those reporting no substance use in the past 30 days. The alcohol and drug composite scores are computed from items about 30-day alcohol (or drug) use and the number of days individuals used multiple drugs in a day, as well as the impact of substance use on the individual's life, such as money spent on alcohol, number of days individuals had alcohol (or drug) problems, how troubled or bothered individuals were by their alcohol (or drug) problems, and how important treatment was to them.

Section 2B presents results for each substance group in two main subsections for clients who were in a controlled environment all 30 days before entering the program:

- Change in 30-day substance use from intake to follow-up for clients who were in a controlled environment all 30 days before entering the recovery center. Comparisons of any use in the 30 days before program entry and the 30 days before the follow-up interview for any illegal drugs, alcohol, and tobacco for clients who were in a controlled environment all 30 days before entering the recovery center or follow-up (n = 138) are presented.
- Change in self-reported severity of substance use disorder for clients who were in a controlled environment all 30 days before entering the recovery center. ASI alcohol and drug severity composite scores are examined for change over time for clients who reported alcohol use in the past 30 days (n = 17) and for clients who reported drug use in the past 30 days (n = 54) at intake and/or follow-up.

# 2A. SUBSTANCE USE FOR CLIENTS WHO WERE NOT IN A CONTROLLED ENVIRONMENT ANY ILLEGAL DRUG USE

## PAST-6-MONTH ILLEGAL DRUG USE

At intake, 85.8% of clients reported using any illegal drugs (including prescription drug misuse and other illegal drugs) in the 6 months before entering the recovery center. At follow-up, only 13.7% of clients reported using illegal drugs in the 6 months before follow-up (a significant decrease of 72.1%; see Figure 2A.1). At intake, clients were asked how old they were when they first used any illegal drug. RCOS clients, on average, reported they were 15.4 years old when they first used an illegal drug.<sup>a</sup>

a Eleven clients had missing data for this question

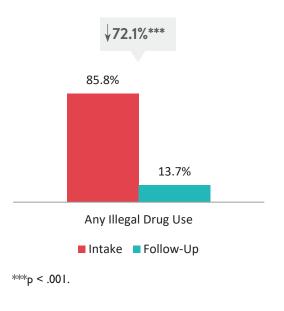


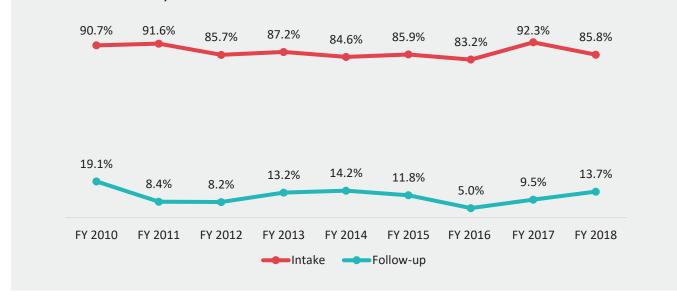
FIGURE 2A. I ANY ILLEGAL DRUG USE AT INTAKE AND FOLLOW-UP (N = 226)<sup>38</sup>

"It's peer-driven community. It's 12 steps and it's worked better than any program I've been in. I like how individualized it is and they give you a lot of opportunities to apply what you learn."

- RCOS FOLLOW-UP CLIENT



The number of RCOS clients reporting illegal drug use in the 6 months before intake was consistently high. Overall, at follow-up, the number of clients reporting illegal drug use has decreased over the years.



GENDER DIFFERENCES IN PAST-6-MONTH USE OF ANY ILLEGAL DRUGS

At intake, there was a gender difference in illegal drug use in the past 6 months: significantly more men than women reported using any illegal drugs in the past 6 months (see Figure 2A.2). The number of men and women reporting past-6-month illegal drug use significantly decreased from intake to follow-up. At follow-up, small minorities of men and women reported any illegal drug use in the past 6 months.

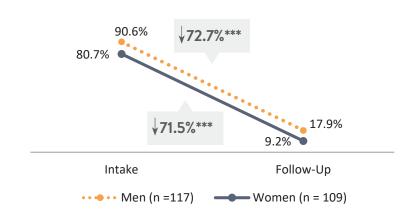


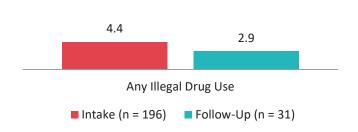
FIGURE 2A.2. GENDER DIFFERENCES IN PAST-6-MONTH USE OF ANY ILLEGAL DRUGS AT INTAKE AND FOLLOW-UPª

a—Significant difference by gender at intake (p < .05). \*\*\*p<.001.

### AVERAGE NUMBER OF MONTHS USED ANY ILLEGAL DRUGS

Among clients who reported illegal drug use in the 6 months before entering the program (n = 196), they reported using drugs an average of 4.4 months (see Figure 2A.3). Among individuals who reported using illegal drugs at follow-up (n = 31), they reported using an average of 2.9 months.

# FIGURE 2A.3. AMONG CLIENTS WHO USED ANY ILLEGAL DRUGS, THE AVERAGE NUMBER OF MONTHS INDIVIDUALS USED ILLEGAL DRUGS

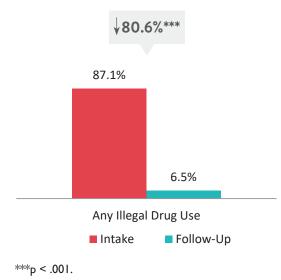


### PAST-30-DAY ILLEGAL DRUG USE

The vast majority of individuals (87.1%) who were not in a controlled environment all 30 days reported they had used illegal drugs (including prescription misuse and other illegal drugs) in the 30 days before entering the recovery center (see Figure 2A.4). At follow-up, only 6.5% of individuals reported they had used illegal drugs in the past 30 days—a significant decrease by 80.6%.

The number of individuals who reported using illegal drugs in the past 30 days decreased by 81%

FIGURE 2A.4. PAST 30-DAY USE OF ANY ILLEGAL DRUG USE AT INTAKE TO FOLLOW-UP (n = 139)<sup>39</sup>



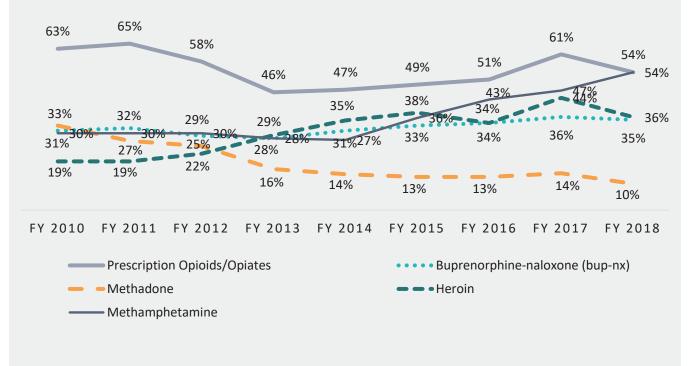
<sup>&</sup>lt;sup>39</sup>Two individuals had missing data for illegal drug use in the 30 days before follow-up.

# TREND ALERT: HOW MUCH HAS OPIOID AND METHAMPHETAMINE USE CHANGED OVER TIME?

This trend analysis examines the percent of RCOS clients who reported misusing prescription opiates/opioids, non-prescribed methadone, non-prescribed buprenorphine-naloxone (bup-nx), and heroin in the 6 months before entering the program from FY 2010 to FY 2018. This analysis examined data among the RCOS clients who completed an intake interview each fiscal year.

As the figure shows, about two-thirds of clients reported misusing prescription opioids in FY 2010 and FY 2011. A significant decline in the percent of clients reporting opioid misuse began in FY 2012 (58%) and continued through FY 2013 (46%). This number began to slightly rise again in FY 2014 (47%) and continued until FY 2017 (61%). In FY 2018, the number of clients reporting misusing prescription opioids decreased to 54%.

The number of clients reporting non-prescribed bup-nx has remained relatively stable over the years, dipping to its lowest in FY 2012 (29%) and peaking in FY 2017 and FY 2018 (36%). The percent of individuals reporting non-prescribed methadone use has steadily decreased from FY 2010 (33%) to FY 2018 (10%). Heroin use, however, has increased from 19% in FY 2010 to 38% in FY 2015. The number of clients reporting heroin use fluctuated the past two fiscal years and decreased in FY 2018 to 36%. The percent of clients reporting methamphetamine use began increasing in FY 2015 (36%), with the highest percentage in FY 2018 (54%), which is the same percentage of clients reporting prescription opioid use.



# ALCOHOL

# PAST-6-MONTH ALCOHOL USE

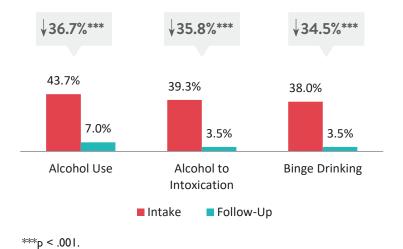
Alcohol use was asked three main ways: (1) how many months/ days did you drink any alcohol (i.e., alcohol use), (2) how many months/days did you drink alcohol to intoxication (i.e., alcohol to intoxication), and (3) how many months/days did you have 5 or more (4 or more if female) alcoholic drinks in a period of about 2 hours (i.e., binge drinking).

Less than half of clients (43.7%) reported using alcohol in the 6 months before entering the recovery center while 7.0% of clients reported alcohol use in the 6 months before follow-up. There

At intake, clients were asked how old they were when they had their first alcoholic drink (other than a few sips). RCOS clients, on average, reported they were 13.7 years old when they began drinking.<sup>a</sup>

a Five clients had missing data for this question

was a 36.7% decrease in the number of individuals reporting alcohol use (see Figure 2A.5). Overall, 39.3% of individuals reported using alcohol to intoxication before entering the recovery center and 3.5% reported using alcohol to intoxication at follow-up—a 35.8% decline. Also, 38.0% of individuals reported binge drinking in the 6 months before program entry and only 3.5% reported binge drinking in the 6 months before program entry and only 3.5% reported binge drinking in the follow-up period—a 34.5% decrease.



## FIGURE 2A.5. PAST-6-MONTH ALCOHOL USE AT INTAKE AND FOLLOW-UP (N = 229)<sup>40</sup>

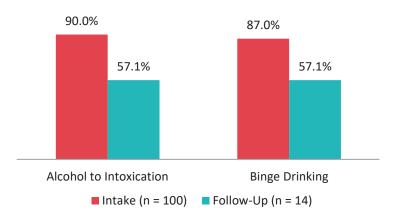
### PAST-6-MONTH ALCOHOL INTOXICATION AND BINGE DRINKING AMONG THOSE WHO USED ALCOHOL

Of the individuals who used alcohol in the 6 months before entering the recovery center (n = 100), 90.0% used alcohol to intoxication and 87.0% binge drank alcohol (see Figure 2A.6). Of the individuals who used alcohol in the 6 months before follow-up (n = 14),<sup>41</sup> 57.1% of clients reported alcohol use to intoxication and binge drinking.

<sup>&</sup>lt;sup>40</sup>Two individuals had missing data for alcohol use to intoxication and binge drinking variables at follow-up.

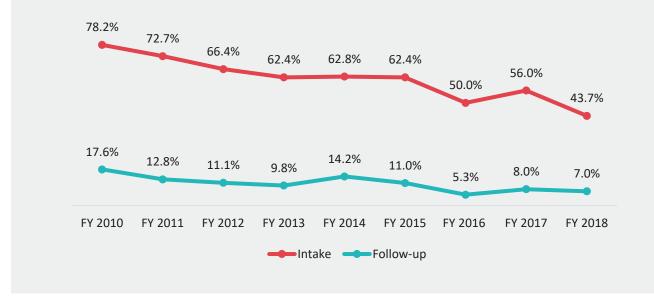
<sup>&</sup>lt;sup>41</sup> Sixteen individuals reported alcohol use at follow-up; however, two of these individuals did not report on alcohol use to intoxication or binge drinking in the follow-up period.

#### FIGURE 2A.6. PAST-6-MONTH ALCOHOL USE TO INTOXICATION AND BINGE DRINKING AT INTAKE TO FOLLOW-UP, AMONG THOSE REPORTING ALCOHOL USE AT EACH POINT



# **TRENDS IN ALCOHOL USE**

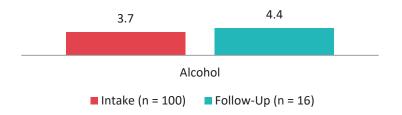
The number of RCOS clients reporting alcohol use in the 6 months before intake was consistently high and has decreased over time, with the lowest percentage in FY 2018. The percent of clients reporting alcohol use has decreased significantly from intake to follow-up over the years.



# AVERAGE NUMBER OF MONTHS USED ALCOHOL

Figure 2A.7 shows the number of months of alcohol use for those who reported using any alcohol in the 6 months before intake and any alcohol in the 6 months before follow-up. Among the individuals who reported using alcohol in the 6 months before entering the program (n = 100), they used an average of 3.7 months. Among individuals who reported using alcohol at follow-up (n = 16), they used an average of 4.4 months.

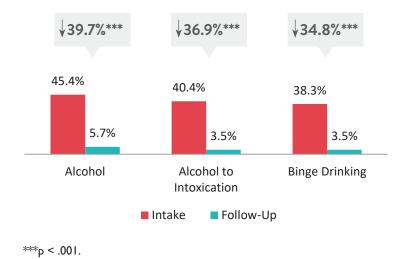
#### FIGURE 2A.7. AVERAGE NUMBER OF MONTHS OF ALCOHOL USE



## **PAST-30-DAY ALCOHOL USE**

There was a decrease of 39.7% in the number of individuals who reported using alcohol in the past 30 days from intake (45.4%) to follow-up (5.7%; see Figure 2A.8). Decreases in the number of individuals who reported using alcohol to intoxication (by 36.9%) and binge drinking (by 34.8%) were also significant for the sample overall.

FIGURE 2A.8. PAST-30-DAY ALCOHOL USE FROM INTAKE TO FOLLOW-UP (N = 141)

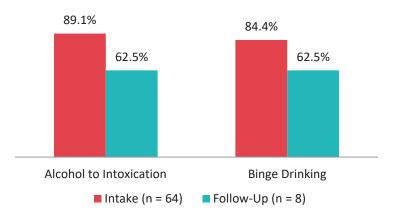


# ALCOHOL INTOXICATION AND BINGE DRINKING AMONG THOSE WHO USED ALCOHOL IN THE PAST 30 DAYS

Of the 64 individuals who used alcohol in the 30 days before entering the recovery center, 89.1% used alcohol to intoxication and 84.4% binge drank alcohol in the 30 days before entering the program (see Figure 2A.9). Of the 8 individuals who reported using alcohol in the 30 days before follow-up, 62.5% reported alcohol use to intoxication and binge drinking.<sup>42</sup>

 $<sup>^{42}</sup>$  It was not possible to conduct a chi square test to examine difference in the percent of men and women who used alcohol to intoxication and binge drank in the 30 days before follow-up among those who used alcohol because of the small number of individuals who reported using alcohol in the 30 days before follow-up (n = 8).

# FIGURE 2A.9. PAST-30-DAY ALCOHOL TO INTOXICATION AND BINGE DRINKING AT INTAKE AND FOLLOW-UP, AMONG THOSE REPORTING ALCOHOL USE AT EACH POINT



# SELF-REPORTED SEVERITY OF ALCOHOL AND DRUG USE

# DSM-5 CRITERIA FOR SUBSTANCE USE DISORDER, PAST 6 MONTHS

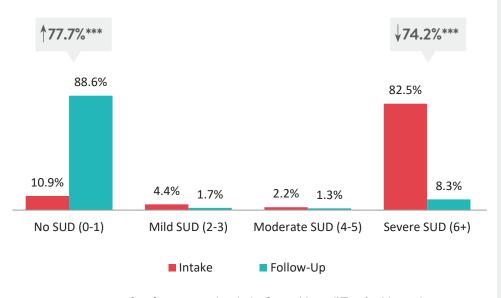
One way to examine overall change in degree of severity of substance use is to ask participants to self-report whether they meet any of the 11 symptoms included in the DSM-5 criteria for diagnosing substance use disorder (SUD) in the past 6 months.<sup>43</sup> The DSM-5 substance use disorder diagnosis has four levels of severity which were used to classify severity groups in this study: (1) no SUD (1 or no criteria met), (2) mild SUD (2 or 3 criteria met), (3) moderate SUD (4 or 5 criteria met), and (4) severe disorder (6 or more criteria met). Client self-reports of DSM-5 criteria suggest, but do not diagnose, a substance use disorder.

Change in the severity of SUD in the prior 6 months was examined for clients at intake and followup. Figure 2A.10 displays the change in the percent of individuals in each SUD severity classification, based on self-reported criteria in the preceding 6 months.<sup>44</sup> At intake, only 10.9% met criteria for no substance use disorder (meaning they reported 0 or 1 DSM-5 criteria), while at follow-up, the vast majority (88.6%) met criteria for no SUD, a significant increase of 77.7%. At the other extreme of the continuum, 82.5% of individuals met criteria for severe SUD at intake, while at follow-up, only 8.3% met criteria for severe SUD, a significant decrease of 74.2%.

<sup>&</sup>lt;sup>43</sup>The DSM-5 diagnostic criteria for substance use disorders included in the RCOS intake and follow-up interviews are similar to the criteria for DSM-IV, which has evidence of excellent test-retest reliability and validity. However, the DSM-5 eliminates the distinction between substance abuse and dependence, substituting severity ranking instead. In addition, the DSM-5 no longer includes the criterion about legal problems arising from substance use but adds a new criterion about craving and compulsion to use.

<sup>&</sup>lt;sup>44</sup> Individuals who were in a controlled environment the entire 6 month period before intake or follow-up (n = 55) were excluded from this analysis.

#### FIGURE 2A.10. DSM-5 SUD SEVERITY AT INTAKE AND FOLLOW-UP (N = 229)<sup>a</sup>



a – Significance tested with the Stuart-Maxwell Test for Marginal Homogeneity (p < .001). \*\*\*p < .001.

# ADDICTION SEVERITY INDEX (ASI), PAST 30 DAYS

Another way to examine overall change in degree of severity of substance use disorder is to use the Addiction Severity Index (ASI) composite scores for alcohol and drug use. These composite scores are computed based on self-reported severity of past-30-day alcohol and drug use, taking into consideration a number of issues including:

- number of days of alcohol (or drug) use,
- money spent on alcohol,
- the number of days individuals used multiple drugs (for drug use composite score),
- the number of days individuals experienced problems related to their alcohol (or drug) use,
- how troubled or bothered they are by their alcohol (or drug) use, and
- how important the recovery program is to them (see sidebar).

Change in the average ASI composite score for alcohol and drug use was examined for individuals who were not in a controlled environment all 30 days before entering the recovery center. Also, individuals who reported abstaining from alcohol or drugs at intake and follow-up were not included in the analysis of change for each composite score.

# ASI ALCOHOL AND DRUG COMPOSITE SCORES AND SUBSTANCE USE DISORDERS

Rikoon et al. (2006) conducted two studies to determine the relationship between the ASI composite scores for alcohol and drug use and DSM-IV substance dependence diagnoses. They identified alcohol and drug use composite score cutoffs that had 85% sensitivity and 80% specificity with regard to identifying DSM-IV substance dependence diagnoses: .17 for alcohol composite score and .16 for drug composite score. These composite score cutoffs can be used to estimate the number of individuals who are likely to meet criteria for active alcohol or drug dependence, and to show reductions in selfreported severity of substance use. In previous years we have used the ASI composite scores to estimate the number and percent of clients who met a threshold for alcohol and drug dependence. However, recent changes in the diagnostics for substance abuse call into question the distinction between dependence and abuse. Thus, ASI composite scores that met the threshold can be considered indicative of severe substance use disorder to be compatible with current thinking about substance use disorders in the DSM-V, where we would have previously referred to them as meeting the threshold for dependence. Change from intake to follow-up in the severity rating as the same clinical relevance as moving from dependence to abuse in the older criteria.

Figure 2A.11 displays the change in average scores.<sup>45</sup> Among individuals who reported using any alcohol, the average alcohol composite score decreased significantly from 0.51 at intake to 0.13 at follow-up. Among individuals who reported any illegal drug use, the average drug composite score decreased significantly from 0.37 at intake to 0.07 at follow-up.

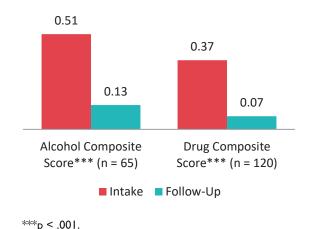
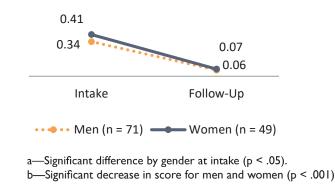


FIGURE 2A.11. AVERAGE ASI ALCOHOL AND DRUG COMPOSITE SCORES AT INTAKE AND FOLLOW-UP

### **GENDER DIFFERENCES IN AVERAGE ASI DRUG COMPOSITE SCORES**

At intake, women had significantly higher average ASI drug composite scores compared to men (see Figure 2A.12). The ASI drug composite scores for men and women decreased significantly at follow-up. There was no gender difference at follow-up.



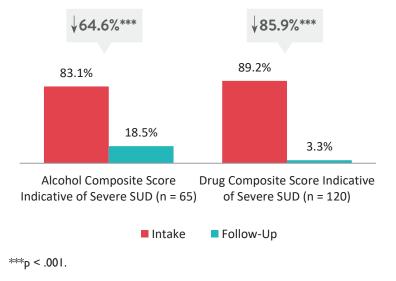


The percent of individuals who had ASI composite scores that met the cutoff for severe substance use disorder (SUD) decreased significantly from intake to follow-up (see Figure 2A.13). At intake, the majority of individuals had alcohol and drug composite scores that met the cutoff for severe SUD (83.1% and 89.2% respectively), while the percent of individuals with alcohol and drug composite scores that met the cutoff for severe SUD were significantly lower at follow-up. Only 18.5% of individuals had

<sup>&</sup>lt;sup>45</sup>The following numbers of cases were not included in the analysis of change in the composite score: 75 individuals reported abstaining from alcohol, 3 individuals had missing values on at least one of the items used to compute the ASI alcohol composite score at follow-up, 17 individuals reported abstaining from drugs at intake and follow-up, and 4 individuals had missing values on at least one of the items used to compute the ASI drug composite score at follow-up.

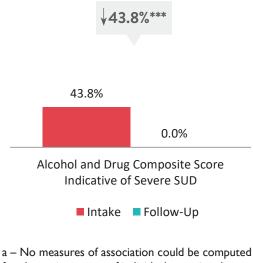
an alcohol composite score that met the cutoff for severe SUD at follow-up and only 3.3% had a drug composite score that met the cutoff for severe SUD at follow-up.

# FIGURE 2A. I 3. INDIVIDUALS WITH ASI COMPOSITE SCORES MEETING THE CUTOFF FOR SEVERE SUBSTANCE USE DISORDER AT INTAKE AND FOLLOW-UP



Among individuals who used alcohol and/or drugs in the 30 days before intake, 43.8% had alcohol and drug composite scores that met the cutoff for both severe alcohol use disorder and drug use disorder (see Figure 2A.14). The percent of clients who had composite scores that met the cutoff for severe SUD for both alcohol and drugs decreased to 0.0% at follow-up.

# FIGURE 2A.14. INDIVIDUALS WITH ASI COMPOSITE SCORES MEETING THE CUTOFF FOR SEVERE ALCOHOL AND DRUG USE DISORDERS AT INTAKE AND FOLLOW-UP (n = 128)<sup>a</sup>

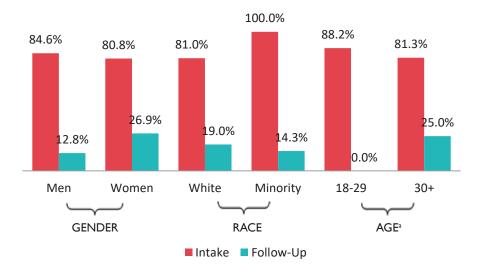


a – No measures of association could be computed for change in percent of individuals meeting the cutoff for severe alcohol and drug use disorders because the value at follow-up was 0.

Analysis was also conducted to examine differences between individuals who had an alcohol composite score meeting the cutoff for severe SUD at intake and follow-up by gender, race/ethnicity, or age (see Figure 2A.15). At follow-up, significantly more clients ages 30 and older (25.0%) had an alcohol

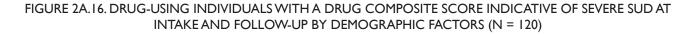
composite score meeting the cutoff for severe SUD when compared to clients under the age of 30 (0.0%).

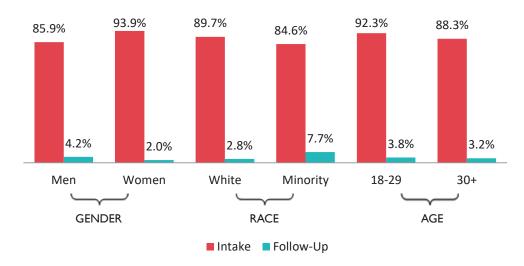
FIGURE 2A. I 5. ALCOHOL-USING INDIVIDUALS WITH AN ALCOHOL COMPOSITE SCORE INDICATIVE OF SEVERE SUD AT INTAKE AND FOLLOW-UP BY DEMOGRAPHIC FACTORS (N = 65)



a – Significant difference in alcohol composite score at follow-up (p<.05).

Analysis was also conducted to examine whether individuals who had a drug composite score indicative of severe SUD at intake and follow-up differed by gender, race/ethnicity, or age (see Figure 2A.16). There were no significant differences at intake or follow-up.

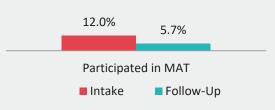




### **MEDICATION-ASSISTED TREATMENT**

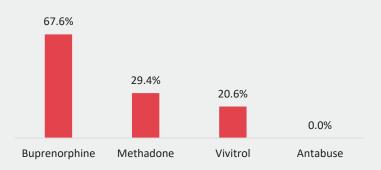
At intake, 12.0% (n = 34) of the followed up clients reported they had participated in any medication-assisted treatment in the 6 months before entering the recovery center program. At follow-up, 5.7% of followed-up clients reported they had participated in any medication-assisted treatment in the past 6 months.

# FIGURE 2A.17. PARTICIPATED IN ANY MEDICATION-ASSISTED TREATMENT IN THE 6 MONTHS BEFORE INTAKE AND FOLLOW-UP (n = 284)



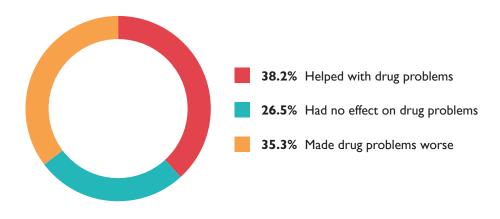
Of the minority of clients (12.0%, n = 34) who reported at intake that they had participated in any medication-assisted treatment in the 6 months before intake, they reported using the medication for an average of 3.3 months of the 6-month period and 11.0 days in the past 30 days. Figure X shows the most frequently reported medication used was buprenorphine (67.6%), followed by methadone (29.4%) and Vivitrol (20.6%).

#### FIGURE 2A.18. MEDICATIONS TAKEN IN MEDICATION-ASSISTED TREATMENT IN THE 6 MONTHS BEFORE ENTERING THE RECOVERY CENTER (n = 34)

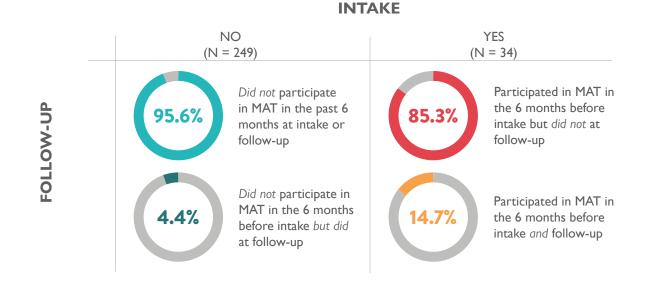


Among the 34 individuals who reported they had participated in MAT in the 6 months before entering the recovery center, similar percentages of individuals reported the prescribed medication had helped with their drug problem (38.2%), and had made their drug problems worse (35.3%). More than one-fourth of individuals who had been in MAT stated the prescribed medication had no effect on their drug problems (see Figure 2A.19).

FIGURE 2A.19. CLIENTS' PERCEPTION OF HOW HELPFUL THE PRESCRIBED MEDICATION WAS FOR THEIR DRUG PROBLEMS (n = 34)



Of the 34 clients who reported participating in MAT in the 6 months before intake, most of them (85.3%, n = 29) reported not having participated in MAT in the 6 months before follow-up.



"I never thought I would ever want to be clean and sober. I built bonds with people who cared more about me than I did. I still have relationships in that house."

- RCOS FOLLOW-UP CLIENT

# **TOBACCO USE**

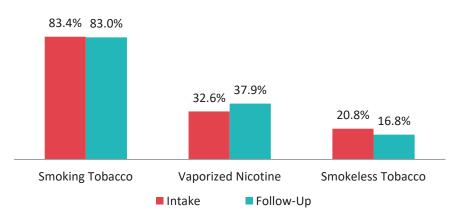
# PAST-6-MONTH SMOKING, VAPORIZED NICOTINE, AND SMOKELESS TOBACCO USE

Overall, there was no change in smoking tobacco from intake to follow-up (see Figure 2A.20). Most individuals reported smoking tobacco in the 6 months before entering the recovery center (83.4%) and in the 6 months before follow-up (83.0%). The percent of individuals reporting use of vaporized nicotine (e.g., battery-powered nicotine delivery devices that vaporize a liquid mixture consisting of propylene glycol, glycerin, flavorings, nicotine, and other chemicals) was nearly one-third at intake and more than one-third at follow-up, with no significant change. The percent of individuals who reported using smokeless tobacco decreased slightly, but not significantly, from intake (20.8%) to follow-up (16.8%).

At intake, clients were asked how old they were when they began smoking regularly (on a daily basis). RCOS clients reported, on average, that they began smoking regularly at 16.0 years old.<sup>a</sup>

a Twenty-three clients reported they had never smoked regularly.



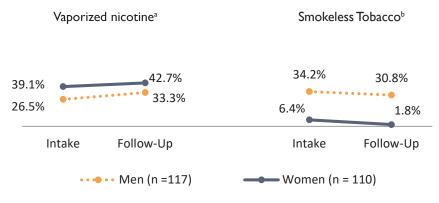


# GENDER DIFFERENCES IN PAST-6-MONTH VAPORIZED NICOTINE AND SMOKELESS TOBACCO

At intake, a significantly higher percentage of women than men reported using vaporized nicotine (see Figure 2A.21). By follow-up, slightly (but not significantly) higher percentages of women and men reported using vaporized nicotine. At intake and follow-up, significantly more men than women reported using smokeless tobacco. About one-third of men (34.2%) and only 6.4% of women reported using smokeless tobacco at intake. There was no significant change in the percent of men or women who used smokeless tobacco at follow-up.

<sup>&</sup>lt;sup>46</sup>Two clients had a missing value for vaporized nicotine use at follow-up and three clients had a missing value for smokeless tobacco use at follow-up.

#### FIGURE 2A.21. GENDER DIFFERENCES IN PAST-6-MONTH VAPORIZED NICOTINE AND SMOKELESS TOBACCO USE AT INTAKE AND FOLLOW-UP



a—Significant difference by gender at intake (p < .05).

b—Significant difference by gender at intake and follow-up (p < .001).

## TREND ALERT: PAST-6-MONTH SMOKING TOBACCO AT FOLLOW-UP

Smoking rates for RCOS clients consistently remain high in the 6 months before follow-up. In FY 2012, 90% of clients reported smoking at follow-up. A similar percentage was reported in FY 2013 (87%) and in FY 2014 (86%). In FY 2015, 89% of clients reported smoking at follow-up and 83% smoked in the past 6 months in FY 2018.

When compared to a statewide sample, over three times more RCOS clients report smoking at follow-up.<sup>47</sup>



<sup>47</sup> https://www.americashealthrankings.org/explore/2018-annual-report/measure/Smoking/state/KY

### AVERAGE NUMBER OF MONTHS SMOKED TOBACCO

Figure 2A.22 shows, among smokers, the average number of months clients reported smoking tobacco at intake and follow-up. Among the individuals who reported smoking tobacco in the 6 months before entering the program (n = 191), they reported smoking tobacco, on average, 5.3 months. Among individuals who reported smoking tobacco at follow-up (n = 190), they reported using, on average, 5.7 months of the 6-month period.

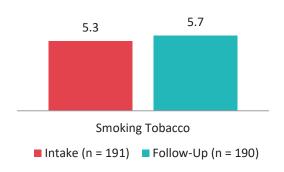
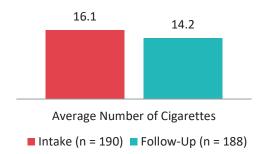


FIGURE 2A.22. AVERAGE NUMBER OF MONTHS TOBACCO USE

## AVERAGE NUMBER OF CIGARETTES SMOKED PER DAY

Figure 2A.23 shows, among individuals who smoked tobacco, the average number of cigarettes smoked per day: 16.1 cigarettes per day at intake  $(n = 191)^{48}$  and 14.2 cigarettes per day at follow-up  $(n = 188)^{49}$ 

### FIGURE 2A.23. AVERAGE NUMBER OF CIGARETTES SMOKED PER DAY

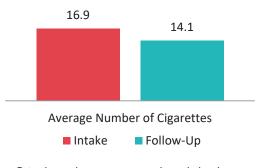


Among the individuals who reported smoking tobacco in the 6 months both before intake and the 6 months before follow-up (n = 166), the average number of cigarettes they smoked per day did change significantly from 16.9 at intake to 14.1 at follow-up (see Figure 2A.24).

<sup>&</sup>lt;sup>48</sup>One individual had a missing value for the number of cigarettes smoked per day at intake.

<sup>&</sup>lt;sup>49</sup>Two individuals did not know how many cigarettes per day they smoked at follow-up.

# FIGURE 2A.24. AMONG INDIVIDUALS WHO SMOKED CIGARETTES AT INTAKE AND FOLLOW UP (N = 166),<sup>50</sup> THE AVERAGE NUMBER OF CIGARETTES SMOKED PER DAYa

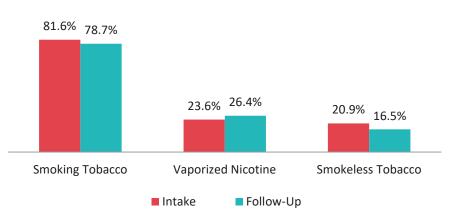


a--Paired sample t-test was conducted; the decrease in mean number of cigarettes smoked was statistically significant at p < .01.

# PAST-30-DAY USE SMOKING, VAPORIZED NICOTINE, AND SMOKELESS TOBACCO USE

Among the individuals who were not in a controlled environment all 30 days before entering the program, the majority reported smoking tobacco in the 30 days before entering the recovery center (81.6%) and at follow-up (78.7%), with no significant change from intake to follow-up (see Figure 2A.25). About one-fourth of clients reported using vaporized nicotine in the 30 days before entering the program and at follow-up. One in five individuals reported smokeless tobacco use in the 30 days before entering the program and 16.5% reported use before follow-up, which was not a significant decrease.

# FIGURE 2A.25. PAST-30-DAY SMOKING, VAPORIZED NICOTINE, AND SMOKELESS TOBACCO USE AT INTAKE AND FOLLOW-UP (N = 141)<sup>51</sup>



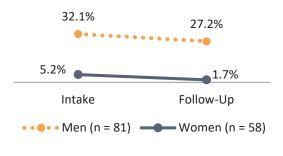
<sup>&</sup>lt;sup>50</sup> 169 individuals reported smoking tobacco in the 6 months before intake and follow-up, however, one had a missing value for the number of cigarettes smoked per day at intake and two had a missing value at follow-up.

<sup>&</sup>lt;sup>51</sup> One client had a missing value on vaporized nicotine and two clients had a missing value for smokeless tobacco use in the 30 days before follow-up.

### GENDER DIFFERENCES IN PAST-30-DAY SMOKELESS TOBACCO USE

More men reported past-30-day use of smokeless tobacco at intake and follow-up compared to women (see Figure 2A.26). There was no significant change in the percent of men and women reporting smokeless tobacco use from intake to follow-up.

FIGURE 2A.26. GENDER DIFFERENCES IN PAST-30-DAY SMOKELESS TOBACCO USE AT INTAKE AND FOLLOW-UP<sup>a</sup>



a – Significant difference by gender at intake and follow-up (p<.001).

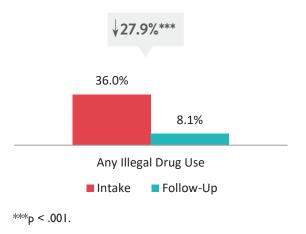
# 2B. SUBSTANCE USE FOR CLIENTS WHO WERE IN A CONTROLLED ENVIRONMENT

Changes in drug, alcohol, and tobacco use from intake to follow-up were analyzed separately for individuals who were in a controlled environment (e.g., prison, jail, other drug-free residential facility) all 30 days before entering the recovery center (n = 138) because being in a controlled environment reduces opportunities for alcohol and drug use.

### PAST-30 DAY-USE OF ANY ILLEGAL DRUGS

Of the individuals who were in a controlled environment all 30 days, 36.0% reported they used illegal drugs (including marijuana, cocaine, heroin, methadone, hallucinogens, barbiturates, inhalants, synthetic marijuana, and non-prescribed use of prescription opiates, sedatives, and amphetamines) in the 30 days before they entered the recovery center (see Figure 2B.1). In the 30 days before follow-up, 8.1% of clients reported illegal drug use, which is a significant decrease of 27.9%.

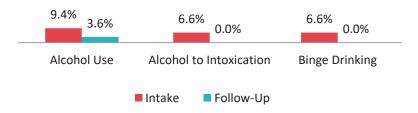
# FIGURE 2B. I. PAST-30-DAY ILLEGAL DRUG USE AT INTAKE AND FOLLOW-UP FOR CLIENTS IN A CONTROLLED ENVIRONMENT (n = 136)<sup>52</sup>



# PAST-30-DAY ALCOHOL USE

As expected, given their confinement to a controlled environment in the 30 days before entering the recovery center, only a minority of individuals reported they had used alcohol in those 30 days (see Figure 2B.2). There were no significant changes in the percent of individuals who reported using alcohol, alcohol to intoxication, or binge drinking at follow-up.

FIGURE 2B.2. PAST-30-DAY ALCOHOL USE AT INTAKE AND FOLLOW-UP FOR CLIENTS IN A CONTROLLED ENVIRONMENT (N = 138)<sup>53</sup>



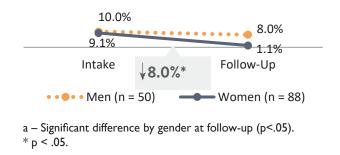
# GENDER DIFFERENCES IN PAST-30-DAY ALCOHOL USE

More men reported past-30-day use of alcohol at follow-up compared to women (see Figure 2B.3). There was a significant decrease in the percent of women who reported using alcohol from intake to follow-up.

<sup>&</sup>lt;sup>52</sup>Two individuals had missing values for illegal drug use at follow-up.

<sup>&</sup>lt;sup>53</sup>One individual had missing values for alcohol to intoxication and binge drinking in the 30 days before follow-up.

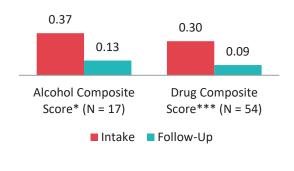
#### FIGURE 2B.3. GENDER DIFFERENCES IN PAST-30-DAY ALCOHOL USE AT INTAKE AND FOLLOW-UP UP FOR CLIENTS IN A CONTROLLED ENVIRONMENT<sup>1</sup>



# SELF-REPORTED SEVERITY OF ALCOHOL AND DRUG USE AMONG CLIENTS WHO WERE IN A CONTROLLED ENVIRONMENT

Among the individuals who were in a controlled environment all 30 days before entering the program and who did not report abstaining from the substance (alcohol, drugs) at intake and follow-up, the average composite scores for alcohol use and drug use decreased significantly from intake to follow-up (see Figure 2B.4).<sup>54</sup>

FIGURE 2B.4. AVERAGE ALCOHOL ASI ALCOHOL AND DRUG COMPOSITE SCORES AT INTAKE AND FOLLOW-UP



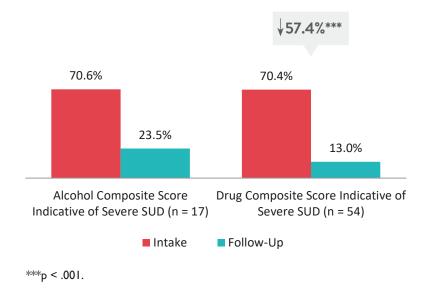
<sup>\*</sup>p < .05, \*\*\*p < .001.

Among the individuals who were in a controlled environment all 30 days before entering the program and who did not report abstaining from the substance, the majority (70.6%) had an alcohol composite score that met the cutoff for severe SUD at intake. Even though the percent of individuals with an alcohol composite score that met the cutoff for severe SUD was smaller at follow-up (23.5%), this change was not statistically significant (see Figure 2B.5). The majority of individuals (70.4%) had a drug composite score that met the cutoff for severe SUD, and only 13.0% had a drug composite score that met the cutoff for severe SUD, and only 13.0% had a drug composite score that met the cutoff for severe SUD, and only 13.0% had a drug composite score that met the cutoff for severe SUD, and only 13.0% had a drug composite score that met the cutoff for severe SUD, and only 13.0% had a drug composite score that met the cutoff for severe SUD, and only 13.0% had a drug composite score that met the cutoff for severe SUD, and only 13.0% had a drug composite score that met the cutoff for severe SUD, and only 13.0% had a drug composite score that met the cutoff for severe SUD, and only 13.0% had a drug composite score that met the cutoff for severe SUD at follow-up—a significant decrease of 57.4%.<sup>55</sup>

<sup>&</sup>lt;sup>54</sup> Eighteen individuals reported using alcohol at intake or follow-up, however, one individual had missing data for at least one of the items that is used to compute the ASI alcohol composite score at follow-up. In addition, 55 individuals reported using illegal drugs at intake or follow-up; however, one individual had missing data for at least one of the items that is used to compute the ASI drug composite score at follow-up.

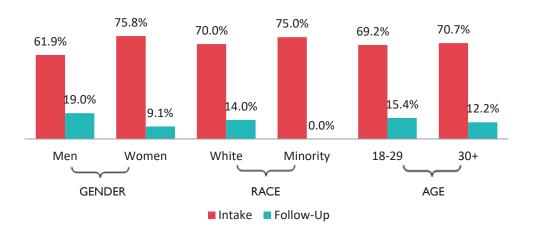
<sup>&</sup>lt;sup>55</sup> It was not possible to examine demographic differences between individuals who had alcohol composite scores indicative of dependence with those who did not at intake or follow-up because the number of individuals in several of the cells of the cross tabulations were less than 5; thus, chi square test of independence is not appropriate.

#### FIGURE 2B.5.ASI COMPOSITE SCORES MEETING THE CUTOFF FOR SEVERE SUBSTANCE USE DISORDER AT INTAKE AND FOLLOW-UP



Analysis was also conducted to examine whether individuals who had a drug composite score indicative of severe SUD at intake and follow-up differed by gender, race/ethnicity, or age (see Figure 2B.6). There were no significant differences at intake or follow-up.

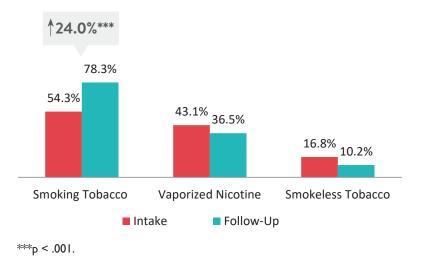
# FIGURE 2B.6. DRUG-USING INDIVIDUALS WITH A DRUG COMPOSITE SCORE INDICATIVE OF SEVERE SUD AT INTAKE AND FOLLOW-UP BY DEMOGRAPHIC FACTORS (N = 54)



# PAST-30-DAY SMOKING, VAPORIZED NICOTINE, AND SMOKELESS TOBACCO USE

Among individuals who were in a controlled environment all 30 days before they entered the recovery center, 54.3% reported they had smoked tobacco in those 30 days (see Figure 2B.7). Unlike alcohol and illegal drug use that decreased from intake to follow-up, there was a significant increase in the number of clients who reported past-30-day tobacco smoking at follow-up to 78.3% (an increase of 24.0%). Over two-fifths of clients who were in a controlled environment all 30 days before entering the program (43.1%) reported using vaporized nicotine. There was a slight, but not significant decrease, at follow-up. A minority of clients who were in a controlled environment reported they had used smokeless tobacco in the 30 days before entering the program and at follow-up, with no significant change.

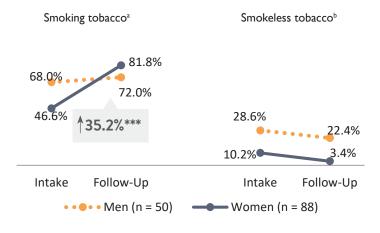
#### FIGURE 2B.7. PAST-30-DAY SMOKING, E-CIGARETTE, AND SMOKELESS TOBACCO AT INTAKE AND FOLLOW-UP FOR CLIENTS IN A CONTROLLED ENVIRONMENT (n = 138)<sup>56</sup>



### GENDER DIFFERENCE IN PAST-30-DAY SMOKING AND SMOKELESS TOBACCO USE

Among the individuals in a controlled environment, significantly more men reported smoking tobacco in the 30 days before intake compared to women (see Figure 2B.8). From intake to follow-up there was a significant increase in the percent of women who reported smoking tobacco and no difference by gender. Significantly more men reported using smokeless tobacco in the 30 days before entering the program and the follow-up.

# FIGURE 2B.8. GENDER DIFFERENCE IN PAST-30-DAY SMOKING AND SMOKELESS TOBACCO USE AT INTAKE AND FOLLOW-UP



a—Significant difference by gender at intake (p < .05). b—Significant difference by gender at intake (p < .01) and follow-up (p < .001).

<sup>&</sup>lt;sup>56</sup>One individual had a missing value for 30-day-use of vaporized nicotine and smokeless tobacco at follow-up.

# SECTION 3. MENTAL HEALTH, PHYSICAL HEALTH, AND STRESS

This section describes changes in mental health, stress, and physical health status at intake compared to followup including for: (1) depression, (2) generalized anxiety, (3) comorbid depression and generalized anxiety, (4) depression or anxiety, (5) suicidal thoughts or attempts, (6) posttraumatic stress disorder, (7) general health status, (8) chronic pain, and (9) used substances to reduce or manage stress.

# **DEPRESSION**

To assess depression, participants were first asked two screening questions:

- "Did you have a two-week period when you were consistently depressed or down, most of the day, nearly every day?" and
- 2. "Did you have a two-week period when you were much less interested in most things or much less able to enjoy the things you used to enjoy most of the time?"

### STUDY CRITERIA FOR DEPRESSION

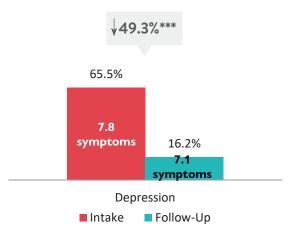
To meet study criteria for depression, clients had to say "yes" to at least one of the two screening questions and at least 4 of the 7 symptoms. Thus, the minimum score to meet study criteria: 5 out of 9.

If participants answered "yes" to at least one of these two screening questions, they were then asked seven additional

questions about symptoms of depression (e.g., sleep problems, weight loss or gain, feelings of hopelessness or worthlessness).

Almost two-thirds of clients (65.5%) met study criteria for depression in the 6 months before they entered the recovery center (see Figure 3.1). By follow-up, 16.2% met criteria for depression, representing a 49.3% significant decrease.

Of those who met criteria for depression at intake (n = 186), clients reported an average of 7.8 symptoms out of 9. Of those who met criteria for depression at follow-up (n = 46), they reported an average of 7.1 symptoms out of 9. The percent of clients meeting criteria for depression decreased 49% at follow-up FIGURE 3.1. CLIENTS MEETING STUDY CRITERIA FOR DEPRESSION AT INTAKE AND FOLLOW-UP (N = 284)





# **GENERALIZED ANXIETY**

To assess for generalized anxiety, participants were first asked: "Did you have a period lasting 6 months or longer where you worried excessively or were anxious about multiple things on more days than not (like family, health, finances, school, or work difficulties)?"

Participants who answered "yes" were then asked 6 additional questions about anxiety symptoms (e.g., felt restless, keyed up or on edge, have difficulty concentrating, feel irritable).

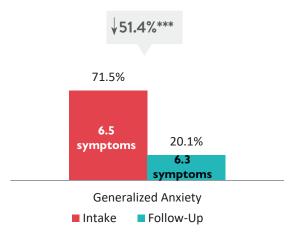
#### STUDY CRITERIA FOR GENERALIZED ANXIETY

To meet study criteria for depression, clients had to say "yes" to the one screening question and at least 3 of the other 6 symptoms. Thus, minimum score to meet study criteria: 4 out of 7.

In the 6 months before entering the recovery center, three-fourths of clients (71.5%) reported symptoms that met the study criteria for generalized anxiety and 20.1% reported symptoms at follow-up (see Figure 3.2). This indicates there was a 51.4% significant decrease in the number of clients meeting the study criteria for generalized anxiety.

Of those who met study criteria for generalized anxiety at intake (n = 203), clients reported an average of 6.5 symptoms out of 7.At follow-up, those who met criteria for generalized anxiety (n = 57) reported an average of 6.3 symptoms out of 7.

The percent of clients meeting criteria for depression decreased 49% at follow-up FIGURE 3.2. CLIENTS MEETING STUDY CRITERIA FOR GENERALIZED ANXIETY AT INTAKE AND FOLLOW-UP (N = 284)

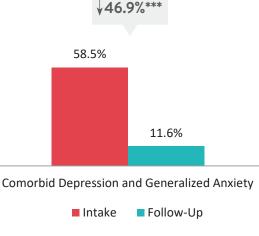


\*\*\*\*p < .001.

# **COMORBID DEPRESSION AND GENERALIZED ANXIETY**

At intake, the majority of clients (58.5%) met criteria for both depression and generalized anxiety and at follow-up, only 11.6% met criteria for both (see Figure 3.3). There was a 46.9% significant reduction in the number of individuals who reported symptoms that met the criteria for both depression and generalized anxiety at follow-up. The percent of clients meeting criteria for both depression and generalized anxiety decreased 47% at follow-up

FIGURE 3.3. CLIENTS MEETING CRITERIA FOR COMORBID DEPRESSION AND GENERALIZED ANXIETY AT INTAKE AND FOLLOW-UP (N = 284)

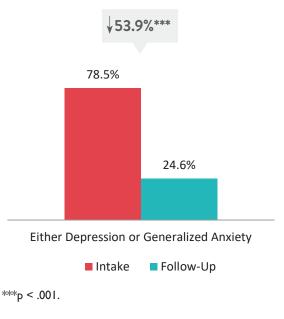


\*\*\*p < .001.

# EITHER DEPRESSION OR GENERALIZED ANXIETY

At intake, the majority of clients (78.5%) met criteria for either depression or generalized anxiety and at follow-up only 24.6% met criteria for either depression or anxiety (see Figure 3.4).

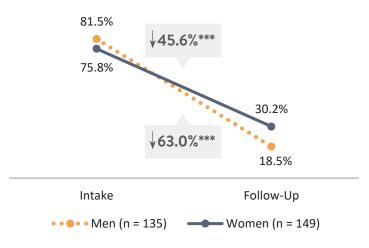
FIGURE 3.4. CLIENTS MEETING CRITERIA FOR EITHER DEPRESSION OR GENERALIZED ANXIETY AT INTAKE AND FOLLOW-UP (N = 284)



# GENDER DIFFERENCES IN MEETING CRITERIA FOR EITHER DEPRESSION OR GENERALIZED ANXIETY

The majority of men and women met criteria for depression or generalized anxiety at intake, with significant decreases at follow-up (see Figure 3.5). At follow-up, significantly more women than men met criteria for depression or generalized anxiety.



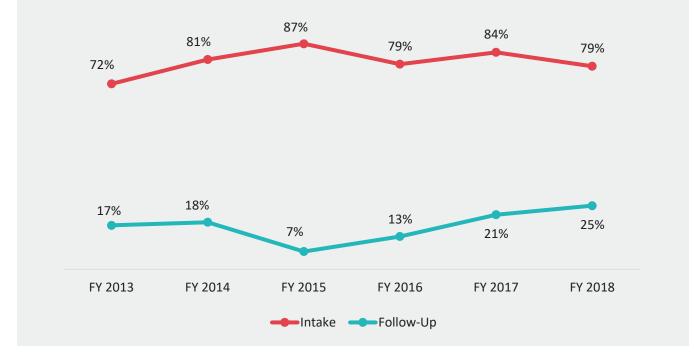


a—Statistical difference by gender at follow-up (p < .05).

b – Significant decrease for men and women from intake to follow-up (p < .001).

### TREND ALERT: DEPRESSION OR GENERALIZED ANXIETY

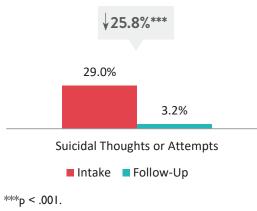
The number of clients meeting criteria for depression or generalized anxiety in the 6 months before entering the recovery center has fluctuated from a little less than three-fourths (72%) to 87% over the past six fiscal years. Each year there has been a significant decrease from intake to follow-up in the number of clients reporting either depression or generalized anxiety – with the lowest percentage at follow-up in FY 2015 (7%) and the highest in FY 2018 (25%).



# SUICIDE IDEATION AND/OR ATTEMPTS

Suicide ideation and attempts were measured with questions about thoughts of suicide and attempts to commit suicide. About 3 in 10 individuals (29.0%) reported thoughts of suicide or attempted suicide in the 6 months before entering the program. At follow-up, only 3.2% of individuals reported thoughts of suicide or attempted suicide in the 6 months before follow-up. There was a 25.8% decrease in suicidal ideation and attempts from intake to follow-up (see Figure 3.6).

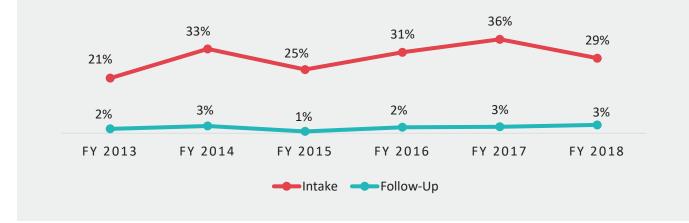
FIGURE 3.6. CLIENTS REPORTING SUICIDAL IDEATION AND/OR ATTEMPTS AT INTAKE AND FOLLOW-UP  $(N = 283)^{57}$ 



<sup>&</sup>lt;sup>57</sup>One individual had a missing value on items about suicide ideation or attempts in the 6 months before follow-up.

## **TREND ALERT: SUICIDAL THOUGHTS AND/OR ATTEMPTS**

The percent of clients reporting suicidal thoughts and/or attempts in the 6 months before entering the recovery center has fluctuated between a low of one-fifth in FY 2013 and a high of a little over one-third in FY 2017 over the past six fiscal years. Each year there has been a significant decrease from intake to follow-up in the number of clients reporting suicidality – only 1%-3% of clients reported suicidal thoughts or attempts at follow-up.

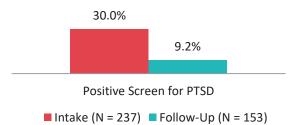


# POST TRAUMATIC STRESS DISORDER

Clients who reported any lifetime victimization experiences in the intake interview and clients who reported experiencing victimization experiences in the 6 months before the follow-up, were asked to answer the four-item PTSD checklist about how bothered they had been about the symptoms in the prior 6 months.<sup>50</sup> Even though victimization experiences do not encompass all potential traumatic events by any means, they are an important class of Criterion A stressors.

At intake, among the 237 individuals who reported any of the victimization experiences assessed in the interview in their lifetime, 30.0% screened positive for PTSD (see Table 3.7). At follow-up, among the 153 individuals who reported experiencing any of the victimization experiences in the past 6 months, 9.2% screened positive for PTSD.

# FIGURE 3.7. CLIENTS WHO SCREENED POSITIVE FOR PTSD, AMONG THOSE WHO HAD REPORTED LIFETIME VICTIMIZATION AT INTAKE AND PAST-6-MONTHS AT FOLLOW-UP<sup>59</sup>



<sup>&</sup>lt;sup>58</sup> Price, M., Szafranski, D., van Stolk-Cooke, K., & Gros, D. (2016). Investigation of an abbreviated 4 and 8-item version of the PTSD Checklist 5. *Psychiatry Research*, 239, 124-130.

<sup>&</sup>lt;sup>59</sup>One individual had a missing value on items about suicide ideation or attempts in the 6 months before follow-up.

# **GENERAL HEALTH STATUS**

## **OVERALL HEALTH**

At both intake and follow-up, clients were asked to rate their overall health in the past 6 months from I = poor to 5 = excellent. Clients rated their health, on average, as 2.4 at intake and this significantly increased to 3.6 at follow-up (not depicted in figure). Figure 3.8 shows that significantly more clients rated their overall physical health as very good or excellent (54.8%) at follow-up when compared to intake (13.8%).<sup>60</sup>

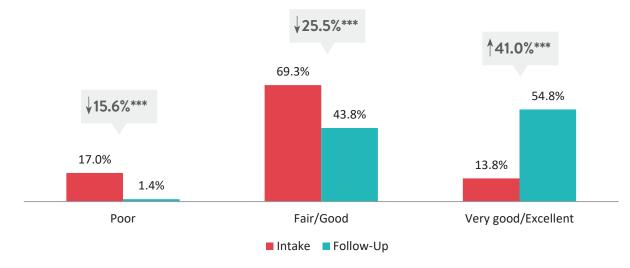


FIGURE 3.8. CLIENTS' SELF-REPORT OF OVERALL HEALTH STATUS AT INTAKE AND FOLLOW-UP (N = 283)<sup>a</sup>

a – Significance tested with the Stuart-Maxwell Test for Marginal Homogeneity (p < .001). \*\*\*p < .001.

> "Before I got there I couldn't keep a needle out of my arm. I was addicted to heroin. [Recovery Kentucky] gave me the tools to get sober and maintain sobriety. They taught me how to rethink, one day at a time. I met people there that I'm still friends with today. [Recovery Kentucky] truly changed my life."

- RCOS FOLLOW-UP CLIENT

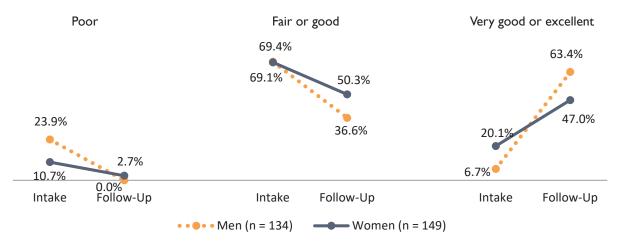
<sup>&</sup>lt;sup>60</sup>One individual had missing data for overall health status at intake.

## GENDER DIFFERENCES IN OVERALL HEALTH

At intake, significantly more women than men reported their overall health was very good or excellent (see Figure 3.9). At follow-up, significantly more women than men reported their overall health was fair or good and more men than women reported their overall health was very good or excellent.

The number of days clients' physical and mental health was not good decreased significantly

# FIGURE 3.9. GENDER DIFFERENCES IN OVERALL HEALTH STATUS AT INTAKE AND FOLLOW-UP<sup>a</sup>

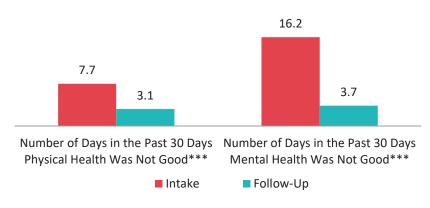


a—Statistical difference by gender at intake (p < .001) and at follow-up (p < .01).

# NUMBER OF DAYS PHYSICAL AND MENTAL HEALTH WAS NOT GOOD

At intake and follow-up, individuals were asked how many days in the past 30 days their physical and mental health were not good. The number of days individuals reported their physical health was not good decreased significantly from intake (7.7) to follow-up (3.1; see Figure 3.10). Also, clients' self-reported number of days their mental health was not good decreased significantly from intake (16.2) to follow-up (3.7).

# FIGURE 3.10. PERCEPTIONS OF POOR PHYSICAL HEALTH AND MENTAL HEALTH IN THE PAST 30 DAYS AT INTAKE AND FOLLOW-UP (N = 284)<sup>a</sup>

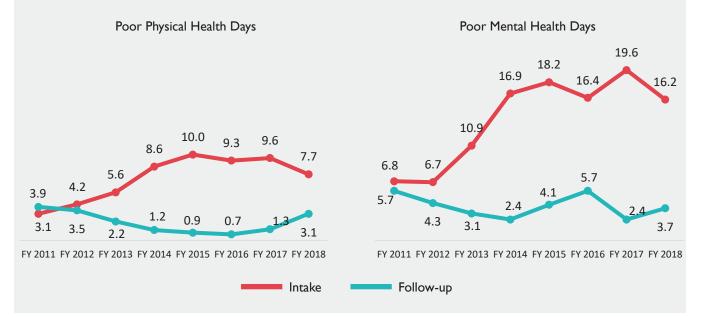


a—Statistical significance tested by paired t-test, \*\*\*p < .001.

### TREND ALERT: POOR PHYSICAL AND MENTAL HEALTH DAYS

At intake and follow-up, individuals are asked how many days in the past 30 days their physical health has been poor. Since FY 2011, the average number of poor physical health days at intake has increased from 3.1 days to a high of 10.0 days in FY 2015. In FY 2018, clients reported an average of 7.7 days of poor physical health at intake. The average number of poor physical health days at follow-up was smaller at follow-up compared to intake and decreased from 3.9 in FY 2011 to 0.7 days in FY 2016, with a bump in FY 2018 to 3.1.

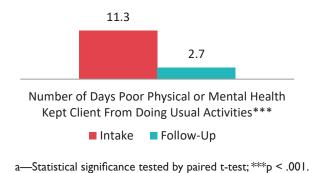
At intake and follow-up, clients are also asked how many days in the past 30 days their mental health has been poor. The average number of poor mental health days reported at intake has increased dramatically from FY 2011 (6.8) to FY 2017 (19.6). From intake to follow-up, the number of poor mental health days was significantly smaller for most years, with the greatest change in FY 2017.



### NUMBER OF DAYS POOR PHYSICAL AND MENTAL HEALTH LIMITED ACTIVITIES

Individuals were also asked to report the number of days in the past 30 days poor physical or mental health had kept them from doing their usual activities (see Figure 3.11). The average number of days clients reported their physical or mental health kept them from doing their usual activities decreased significantly from intake to follow-up (11.3 to 2.7).

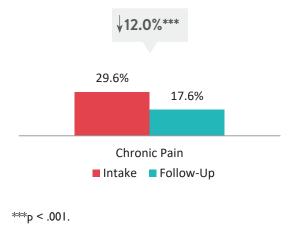
# FIGURE 3.11. PERCEPTIONS OF POOR PHYSICAL HEALTH AND MENTAL HEALTH LIMITING ACTIVITIES IN THE PAST 30 DAYS (N = 283)<sup>a</sup>



# **CHRONIC PAIN**

The percent of clients who reported chronic pain that was persistent and lasted at least 3 months decreased significantly from intake to follow-up by 12.0% (see Figure 3.12).

FIGURE 3.12. CLIENTS REPORTING CHRONIC PAIN AT INTAKE AND FOLLOW-UP (N = 284)



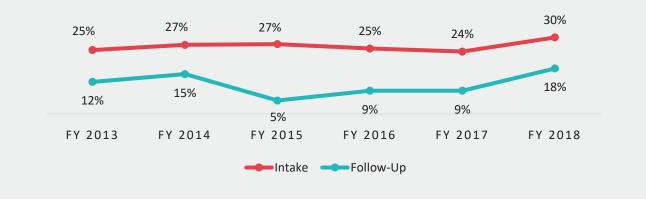
"They were very involved with my progress and getting to deep issues. They were supportive of my choices moving forward."

- RCOS FOLLOW-UP CLIENT

#### **TREND ALERT: CHRONIC PAIN**

Over the past six fiscal years, the percent of RCOS clients reporting chronic pain that persisted for at least 3 months in the 6 months before entering the recovery center has been relatively stable: 25% in FY 2013 and FY 2016, 27% in FY 2014 and FY 2015, 24% in FY 2017, with a slight increase to 30.0% in FY 2018.

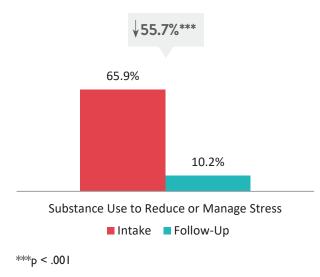
At follow-up, the number of clients reporting persistent chronic pain in the past 6 months increased slightly from FY 2013 (12%) to FY 2014 (15%) and decreased from FY 2014 to FY 2015 (5%), with an increase in FY 2016 (9%). The highest percentage of individuals reporting chronic pain at follow-up was in FY 2018 (18%), which was twice the percentage as in FY 2017 (9%). Nonetheless, the percent of individuals reporting chronic pain decreased from intake to follow-up each year.



### USED SUBSTANCES TO REDUCE OR MANAGE STRESS

Clients were asked if they used alcohol, prescription drugs, or illegal drugs in the past 7 days to reduce or manage stress at intake and follow-up.<sup>61</sup> Figure 3.13 shows that 65.9% of clients reported they used at least one type of substance to reduce or manage their stress in the 7 days before entering the recovery center. At follow-up, that number significantly decreased to 10.2%.

FIGURE 3.13. CLIENTS REPORTING SUBSTANCE USE TO REDUCE OR MANAGE STRESS AT INTAKE AND FOLLOW-UP (N = 205)

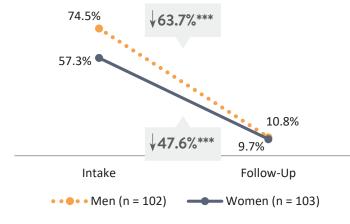


<sup>&</sup>lt;sup>61</sup>The way the question was asked was modified during FY 2018. Seventy-nine individuals had missing data on the item at intake.

### GENDER DIFFERENCES IN USING SUBSTANCES TO REDUCE OR MANAGE STRESS

The majority of men and women reported at intake that they had used substances alcohol, prescription drugs, or illegal drugs in the past 7 days to reduce or manage their stress, with significantly more men reporting substance use to reduce or manage stress (see Figure 3.14). At follow-up, significantly fewer men and women reported using substances to reduce or manage stress than at intake, with no difference by gender.

FIGURE 3.14. GENDER DIFFERENCES IN USING SUBSTANCES TO REDUCE OR MANAGE STRESS AT INTAKE AND FOLLOW-UP<sup>a</sup>

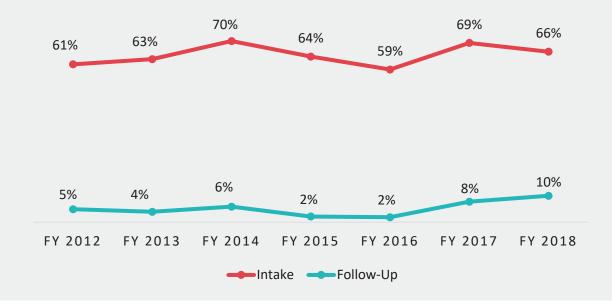


a—Statistical difference by gender at intake (p < .01). \*\*\*\*p < .001.

#### **TREND ALERT: SUBSTANCE USE TO MANAGE STRESS**

Clients are asked at both intake and follow up if they have used alcohol, prescription drugs, or illegal drugs to reduce any stress, anxiety, worry, or fear in the past 7 days. In FY 2012, 61% of clients reported they used substances to manage their stress or anxiety at intake. At intake, this number rose to a high of 70% in FY 2014 and decreased to a low of 59% in FY 2016.

At follow-up, very few RCOS clients reported using any substances, including prescribed drugs, to manage their stress, with an increase in FY 2018 to 10%. Each year, the decrease from intake to follow-up has been statistically significant.



# SECTION 4. INVOLVEMENT IN THE CRIMINAL JUSTICE SYSTEM

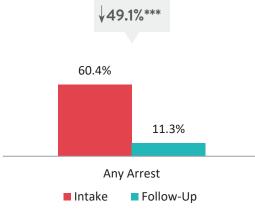
This section describes change in client involvement with the criminal justice system from intake to follow-up. Specifically, the following targeted factors are presented in this section: (1) arrests, (2) incarceration, (3) self-reported misdemeanor and felony convictions, and (4) self-reported supervision by the criminal justice system.

### ARRESTS

At intake, individuals were asked about their arrests in the 6 months before they entered the recovery center and at follow-up individuals were asked about their arrests in the past 6 months. The majority of individuals (60.4%) reported an arrest in the 6 months before entering the recovery center (see Figure 4.1).<sup>62</sup> At follow-up, this percent had decreased significantly by 49.1% to 11.3%.

The percent of clients reporting any arrest significantly decreased 49% at follow-up





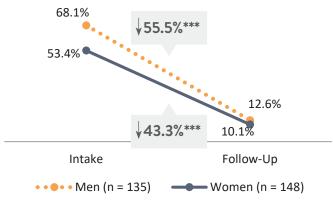


#### **GENDER DIFFERENCES IN ANY ARRESTS**

The majority of men and women reported at intake that they had been arrested in the 6 months before entering the recovery center, with significantly more men reporting any arrests. Significantly fewer men and women reported at follow-up that they had been arrested in the past 6 months when compared to intake.

<sup>&</sup>lt;sup>62</sup>One individual had missing data on number of arrests in the 6 months before follow-up.

FIGURE 4.2. GENDER DIFFERENCES IN REPORTING ANY ARRESTS AT INTAKE AND FOLLOW-UPª

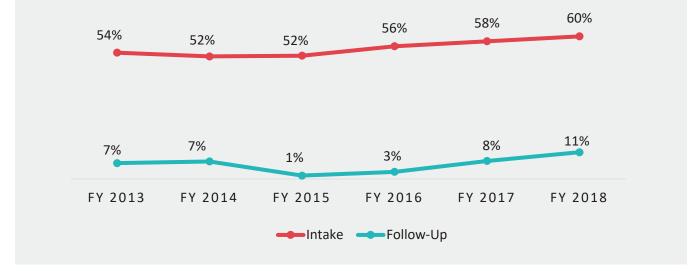


a—Statistical difference by gender at intake (p < .05).

#### **TREND ALERT: ARRESTS**

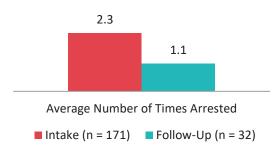
At intake, over half of RCOS clients reported being arrested at least once in the past 6 months. This number fluctuated from 54% in FY 2013 to a low of 52% in FY 2014 and FY 2015. In FY 2018, 60% of clients reported at least one arrest in the past 6 months at intake, which is the highest percentage for the six years.

Compared to intake, significantly fewer clients reported an arrest in the past 6 months at follow-up for each of the six years. Only 7% of clients in FY 2013 and FY 2014 reported an arrest and that decreased to 1% in FY 2015, 3% in FY 2016, and jumped up to 11% in FY 2018.



Of those who reported being arrested in the 6 months before entering the recovery center (n = 171), they were arrested an average of 2.3 times (see Figure 4.3). Similarly, of those who reported an arrest in the 6 months before follow-up (n = 32), they reported being arrested 1.1 times.

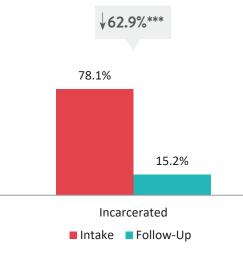
## FIGURE 4.3. AMONG INDIVIDUALS WHO WERE ARRESTED, THE AVERAGE NUMBER OF TIMES ARRESTED AT INTAKE AND FOLLOW-UP



### **INCARCERATION**

More than three-fourths of clients (78.1%) reported spending at least one day in jail or prison in the 6 months prior to entering the recovery center (see Figure 4.4). At follow-up, only 15.2% reported spending at least one day incarcerated in the past 6 months.

FIGURE 4.4. CLIENTS REPORTING INCARCERATION AT INTAKE AND FOLLOW-UP (N = 283)<sup>63</sup>



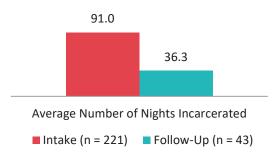
\*\*\*p < .001.

Among individuals who were incarcerated in the 6 months before entering the program (n = 221), the average number of nights incarcerated was 91.0 (see Figure 4.5). Among the number of individuals who reported being incarcerated in the 6 months before follow-up (n = 43), the average number of nights incarcerated was 36.3.

There was a 63% decrease in the number of individuals who were incarcerated at followup

<sup>&</sup>lt;sup>63</sup>One individual had a missing value for the incarceration variable at follow-up.

## FIGURE 4.5. AMONG INDIVIDUALS WHO WERE INCARCERATED, THE AVERAGE NUMBER OF NIGHTS INCARCERATED AT INTAKE AND FOLLOW-UP



### SELF-REPORTED MISDEMEANOR AND FELONY CONVICTIONS

At intake, more than one-third (36.9%) of individuals reported they had been convicted of a misdemeanor in the 6 months before entering the recovery center (see Figure 4.6).<sup>64</sup> That number significantly decreased to 4.6% at follow-up. The number of individuals who reported being convicted of a felony also significantly decreased from intake (36.2%) to follow-up (2.5%).<sup>65</sup>

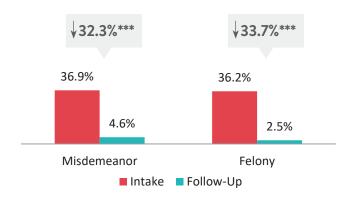


FIGURE 4.6. CLIENTS REPORTING CONVICTIONS AT INTAKE AND FOLLOW-UP (N = 282)



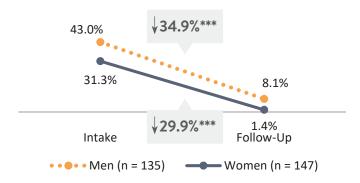
#### GENDER DIFFERENCES IN CONVICTIONS FOR MISDEMEANORS

Significantly more men than women reported they had been convicted of a misdemeanor in the 6 months before entering the recovery center (see Figure 4.7). The percent of men and women with convictions for misdemeanors decreased significantly from intake to follow-up.

<sup>64</sup>Two individuals had missing values for number of convictions for misdemeanors at follow-up.

<sup>&</sup>lt;sup>65</sup>Two individuals had missing values the number of convictions for felonies at follow-up.

## FIGURE 4.7. GENDER DIFFERENCES IN REPORTING CONVICTIONS FOR MISDEMEANORS AT INTAKE AND FOLLOW-UP<sup>a</sup>

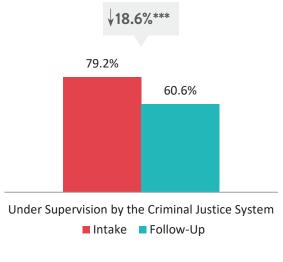


a—Statistical difference by gender at intake (p < .05) and at follow-up (p <.01).

### SELF-REPORTED CRIMINAL JUSTICE SYSTEM SUPERVISION

About four-fifths of clients (79.2%) were under criminal justice system supervision (e.g., probation or parole) when they entered Phase I of the recovery center program and 60.6% were under criminal justice supervision at follow-up (a significant decrease of 18.6%; see Figure 4.8).

FIGURE 4.8. CLIENTS REPORTING SUPERVISION BY THE CRIMINAL JUSTICE SYSTEM AT INTAKE AND FOLLOW-UP (N = 284)

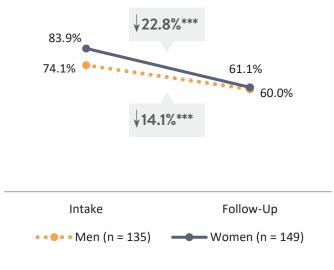


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****p < .001.
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#### **GENDER DIFFERENCES IN CRIMINAL JUSTICE SYSTEM SUPERVISON**

Significantly more women than men reported they were under supervision by the criminal justice system when they entered Phase I of the program (see Figure 4.7). The percent of men and women with convictions for misdemeanors decreased significantly from intake to follow-up.

## FIGURE 4.9. GENDER DIFFERENCES IN REPORTING CRIMINAL JUSTICE SYSTEM SUPERVISION AT INTAKE AND FOLLOW-UP<sup>a</sup>



a—Statistical difference by gender at intake (p < .05). \*\*\*p < .001.

"I needed accountability and needed to be surrounded by experienced, positive women where I could focus on myself."

- RCOS FOLLOW-UP CLIENT

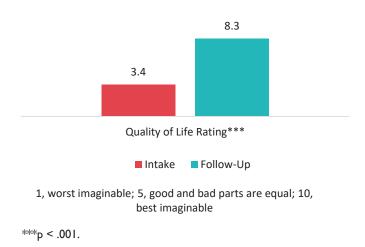
## **SECTION 5. QUALITY OF LIFE**

There were two different measures of quality of life including: (1) overall quality of life rating, and (2) client functioning and well-being scales.

### **OVERALL QUALITY OF LIFE RATING**

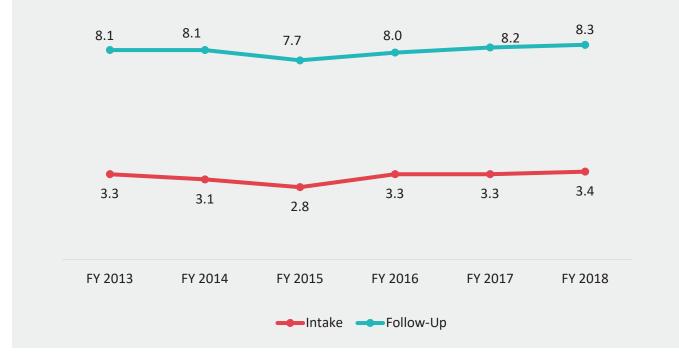
At intake, clients were asked to rate their quality of life before entering the recovery center and after participating in the program. Ratings were from I='Worst imaginable' to 5='Good and bad parts were about equal' to I0='Best imaginable'. RCOS clients rated their quality of life before entering the recovery center, on average, as 3.4 (see Figure 5.1). At follow-up, individuals were asked the same question about their current quality of life. The average rating of quality of life at follow-up increased significantly to 8.3.

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FIGURE 5.1. PERCEPTION OF QUALITY OF LIFE BEFORE AND AFTER THE PROGRAM (N = 284)
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#### **TREND ALERT: OVERALL QUALITY OF LIFE RATING**

Clients are asked to rank their overall quality of life on a scale from 1 (worst imaginable) to 10 (best imaginable) at both intake and follow-up. At intake, RCOS clients have consistently rated their quality of life, on average, around 3. At follow-up, that rating has significantly increased to an average of about 8.



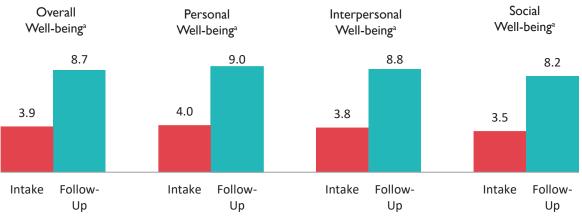
### **CLIENT FUNCTIONING AND WELL-BEING**

At intake and follow-up, clients were presented with four items asking them to think about the past week and rate how well they had been doing in the following areas of their lives: (1) individually (i.e., personal well-being), (2) interpersonally (i.e., family, close relationships), socially (i.e., work, school, friendships), and overall (i.e., general sense of well-being). These items were taken from the Outcome Rating Scale,<sup>66</sup> which uses a visual analog scale for respondents to mark their responses on corresponding 10 cm lines; however, because the follow-up interviews are conducted over the telephone, the visual analog format was modified to be a scale with anchors: 0, "Not at all good" to 10, "Extremely good."

Clients' ratings of their functioning and well-being for all four dimensions increased significantly from intake to follow-up (see Figure 5.2). At follow-up, the average ratings for overall well-being, personal well-being, and interpersonal-well-being were close to the highest value.

<sup>&</sup>lt;sup>66</sup> Miller, S.D., Duncan, B. L., Brown, J., Sparks, J.A., & Claud, D.A. (2003). The Outcome Rating Scale: A preliminary study of the reliability, validity, and feasibility of a brief visual analog measure. Journal of Brief Therapy, 2(2), 91-100.

#### FIGURE 5.2. CLIENT FUNCTIONING AND WELL-BEING AT INTAKE AND FOLLOW-UP (N = 79)67

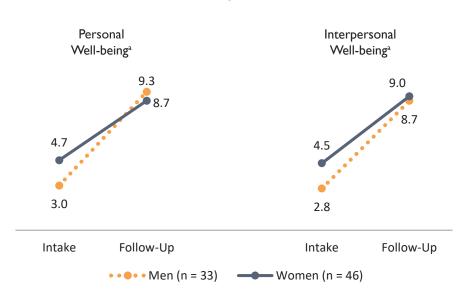


a—Tested with paired means t-test: statistically significant change from intake to follow-up in mean rating (p < .001).

#### GENDER DIFFERENCES IN PERSONAL AND INTERPERSONAL WELL-BEING

At intake, women's average ratings for their personal and interpersonal well-being were significantly higher than men's average ratings (see Figure 5.3). The average ratings for women and men increased from intake to follow-up, with no gender differences at follow-up.

FIGURE 5.3. GENDER DIFFERENCES IN PERSONAL AND INTERPERSONAL WELL-BEING AT INTAKE AND FOLLOW-UP



a—Statistical difference by gender at intake (p < .01). b—Statistical difference by gender at intake (p < .05).

<sup>&</sup>lt;sup>67</sup> In the latter part of 2018 the items for the Outcome Rating Scale were added to the surveys. Thus, the data is available for only 79 cases at intake for this data set. In next year's report, all clients will have taken surveys including these items.

## **SECTION 6. EDUCATION AND EMPLOYMENT**

This section examines changes in education and employment from intake to follow-up including: (1) highest level of education completed, (2) the percent of clients who worked full-time or part-time, (3) the number of months clients were employed full-time or part-time, among those who were employed at any point in the 6 month period, (4) the median hourly wage, among those who were employed in the prior 30 days, and (5) expectations to be employed in the next 6 months.

### **EDUCATION**

Overall, the highest number of years of education completed did not change significantly from intake: 12.4.68

Another way to examine change in education was to categorize individuals into one of two categories, based on their highest level of education completed: (1) less than a high school diploma or GED, or (2) a high school diploma or GED or higher (see Figure 6.1). At intake, 79.6% of the follow-up sample had a high school diploma or GED or had attended school beyond a high school diploma or GED and at follow-up the percent had increased significantly to 83.8%. At intake, 20.4% of the follow-up sample reported that they had less than a high school diploma or GED. At follow-up, 16.2% reported that they had completed less than a high school diploma or GED.

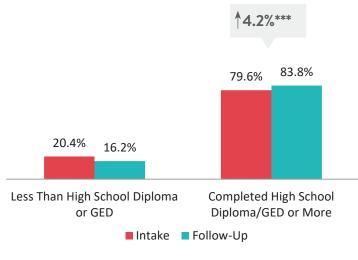


FIGURE 6.1. HIGHEST LEVEL OF EDUCATION COMPLETED AT INTAKE AND FOLLOW-UP (N = 284)

\*\*\*\*p < .001.

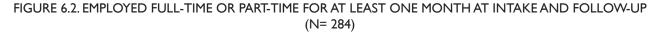
### **EMPLOYMENT**

Clients were asked in the intake survey to report the number of months they were employed full-time or part-time in the 6 months before they entered the recovery center. At follow-up, they were asked to report the number of months they were employed full-time or part-time in the 6 months before the follow-up survey. Less than

The percent of clients reporting being employed at least one month increased 36% at follow-up

<sup>68</sup>Number of years of education was recoded for analysis so that 12 years of education and GED were equal to 12.

one-half of clients (45.4%) reported at intake they had worked full-time or part-time at least one month in the 6 months before entering the recovery center (see Figure 6.2). At follow-up, 81.7% worked part-time or full-time at least one month in the past 6 months, which was a significant increase of 36.3%.

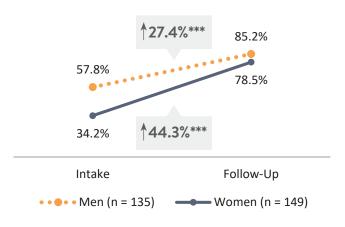




#### GENDER DIFFERENCES IN THE PERCENT OF INDIVIDUALS EMPLOYED

Significantly more men (57.8%) than women (34.2%) were employed part-time or full-time at least one month before intake (see Figure 6.3). For both men and women, there was a significant increase in those reporting employment from intake to follow-up. At follow-up, there was no gender difference in the percent who were employed.

## FIGURE 6.3. GENDER DIFFERENCES IN EMPLOYED AT LEAST ONE MONTH AT INTAKE AND FOLLOW-UP $(N = 284)^{a}$



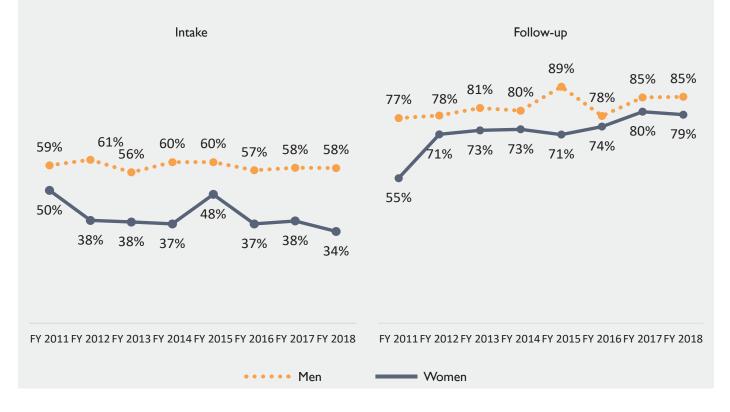
a—Significant difference by gender at intake (p < .001). \*\*\*p < .001.

#### TREND ALERT: EMPLOYMENT TRENDS BY GENDER

Since FY 2011, the disparity in employment between men and women in the RCOS follow-up sample has been documented in the annual reports.

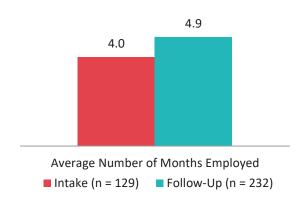
In FY 2013 and FY 2014, significantly fewer women reported being employed at intake compared to men, however in FY 2015, there was no significant difference in the number of men and women reporting employment at intake. In FY 2016, only 37% of women were employed at least one month at intake while 57% of men reported employment. A similar disparity in the percent of men vs. women who reported being employed at least one month before entering the program was found in FY 2017 and FY 2018.

By follow-up, on average, a majority of women reported they were employed full-time or parttime at least one month in the past 6 months but significantly more men reported employment during that same time frame. This is, however, a significant improvement for women compared to findings from FY 2011. From FY 2016 through FY 2018, there was no significant difference in the number of men and women who reported employment at least one month in the past 6 months.



#### AVERAGE NUMBER OF MONTHS EMPLOYED

As seen in Figure 6.4, among individuals who reported being employed part-time or full-time at all before entering the program (n = 129), the average number of months worked was 4.0. Among the 232 individuals who worked at all in the 6-month follow-up period, the average number of months they worked was 4.9.

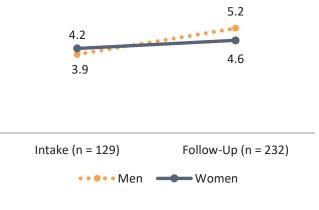


#### FIGURE 6.4. AVERAGE NUMBER MONTHS EMPLOYED AT INTAKE AND FOLLOW-UP, AMONG THOSE WHO REPORTED

#### GENDER DIFFERENCE IN AVERAGE NUMBER OF MONTHS EMPLOYED

Figure 6.5 shows that at intake, among individuals who were employed, there was no significant difference in the average number of months clients were employed. However, at follow-up, among individuals who were employed, men reported working a higher average number of months than women.

## FIGURE 6.5. GENDER DIFFERENCES IN NUMBER OF MONTHS EMPLOYED AT INTAKE AND FOLLOW-UP, AMONG THOSE WHO REPORTED BEING EMPLOYED<sup>a</sup>

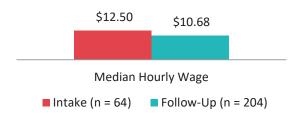


a—Significant difference by gender at follow-up (p < .01).

#### **MEDIAN HOURLY WAGE**

At each period, individuals who reported they were employed in the 30 days before entering the program were asked their hourly wage. Only a small percent of clients reported they were currently employed at intake (n = 64) and their median hourly wage was \$12.50 (see Figure 6.6). At follow-up, the median hourly wage was \$10.68 for the 204 individuals who were employed and reported an hourly wage.<sup>69</sup>

FIGURE 6.6. MEDIAN HOURLY WAGE AT INTAKE AND FOLLOW-UP, AMONG THOSE WHO REPORTED BEING CURRENTLY EMPLOYED



"I never wanted to go, but it worked! The whole program was a blessing for me."

- RCOS FOLLOW-UP CLIENT

<sup>&</sup>lt;sup>69</sup>Of those currently employed at follow-up (n = 212), 8 cases had missing values for hourly wage.

#### **TREND ALERT: GENDER WAGE GAP**

For the past six fiscal years, among employed individuals there was a gender wage gap at intake and follow-up: men had higher median hourly wages compared to women.

In the FY 2013 report, employed women made \$0.78 for every \$1.00 men made at intake and \$0.73 for every \$1.00 men made at follow-up. The gender wage gap was even more pronounced in the FY 2014 report where, at intake, employed women made just \$0.64 for every \$1.00 men made. At follow-up this number improved; however, employed women still made \$0.20 less, on average, than men.

FY 2015 continued to show a wage gap at both intake (\$0.87) and follow-up (\$0.77). In FY 2016, women again made less than men: \$0.83 for each \$1.00 men made at intake and \$0.78 at follow-up. The wage gap in median income was similar at intake and follow-up in FY 2017 and FY 2018.



#### **GENDER DIFFERENCES IN MEDIAN HOURLY WAGE**

At intake, employed women reported a median hourly wage of \$9.50, which was lower than the median hourly wage for employed men, \$15.00, meaning women made \$0.63 for every dollar men made (see Figure 6.7). At follow-up, men again reported significantly higher hourly wages compared to women (\$13.00 for men and \$10.00 for women). At follow-up, employed women made \$0.77 for every dollar employed men made.

At follow-up, employed women made only \$0.77 for every \$1 employed men made

#### FIGURE 6.7. GENDER DIFFERENCES MEDIAN HOURLY WAGE AT INTAKE AND FOLLOW-UP<sup>a</sup>



a—Significant difference in hourly wage at intake and follow-up by gender tested with independent-samples median test; p < .001.

#### **GENDER DIFFERENCES IN OCCUPATION TYPE**

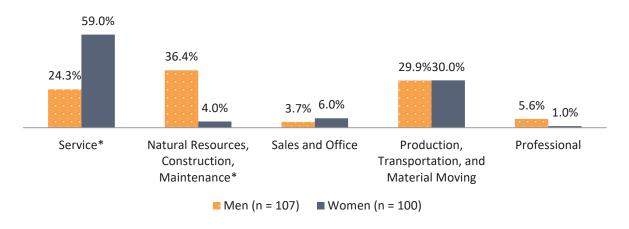
At least part of the reason for the marked difference in hourly wages between men and women may be due to the significant difference in occupation type for employed individuals by gender.<sup>70</sup> At follow-up, the majority of employed women (59.0%) reported having a service job (i.e., food preparation and serving, child care, landscaping, housekeeping, lifeguard, hair stylist, etc.) whereas only 24.3% of employed men had a service job (see Figure 6.8). More employed men reported having a natural resources, construction, or maintenance job (i.e., mining, farming, logging, construction, plumber, mechanic, etc.) than women (36.4% vs. 4.0%). Small percentages of men and women had sales and office jobs (i.e.,

At follow-up, among employed individuals, more women had service jobs and more men had natural resources, construction, and maintenance jobs, which are typically higher paying than service jobs

cashier, retail, telemarketer, bank teller, etc.). Production, transportation, and material moving jobs (i.e., factory production line, power plant, bus driver, sanitation worker, etc.) were reported by 29.9% of employed men and 30.0% of employed women. Small numbers of men and women reported having professional jobs.

<sup>&</sup>lt;sup>70</sup> Occupation type was asked only of individuals who reported they were employed in the 30 days before entering the recovery center at intake and the past 30 days at follow-up. Because so few individuals reported employment in the 30 days before entering the recovery center, there were too few cases reporting several occupation types at intake to examine statistical difference by gender.

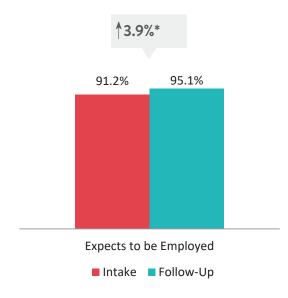
#### FIGURE 6.8. AMONG EMPLOYED INDIVIDUALS, TYPE OF OCCUPATION BY GENDER AT FOLLOW-UPª



a – The chi square test of independence was statistically significant (p < .001); however, 30% of the cells had an expected count less than 5.

#### **EXPECT TO BE EMPLOYED**

The vast majority of clients reported they expected to be employed in the next 6 months at intake and follow-up, with a significant increase from intake to follow-up (see Figure 6.9).



## FIGURE 6.9. CLIENT EXPECTS TO BE EMPLOYED IN THE NEXT 6 MONTHS AT INTAKE AND FOLLOW-UP $(N = 283)^{71}$

\*p < .05.

<sup>&</sup>lt;sup>71</sup> One individual had missing data for this variable at follow-up.

## **SECTION 7. LIVING SITUATION**

This section of targeted factors examines the clients' living situation before they entered the program and at follow-up. Specifically, clients are asked at both points: (1) if they consider themselves currently homeless, (2) in what type of situation (i.e., own home or someone else's home, residential program, shelter) they have lived, and about (3) economic hardship.

### HOMELESSNESS

More than one third of clients (35.2%) reported being homeless when they entered the recovery center and 9.5% reported being homeless at follow-up. This is a significant decrease of 25.7% in the number of clients who reported they were homeless (see Figure 7.1). There was a 26% decrease in homelessness at follow-up

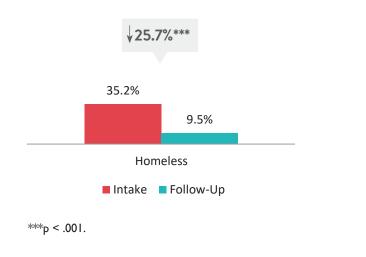


FIGURE 7.1. HOMELESSNESS AT INTAKE AND FOLLOW-UP (N = 264)<sup>72</sup>

"My life was....I had become homeless, I had lost everything. I had just happened to luck into that facility and it was perfect."

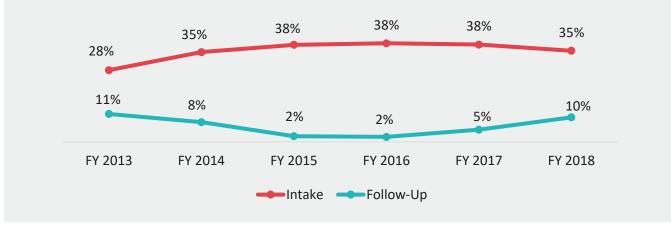
- RCOS FOLLOW-UP CLIENT

 $<sup>^{72}</sup>$  Individuals who said they were currently living at a recovery center at follow-up were not asked this question in the follow-up survey (n = 18), and two individuals had missing values for this question at follow-up.

#### **TREND ALERT: HOMELESSNESS**

From FY 2013 to FY 2015, the percent of people reporting homelessness at intake increased and has remained stable from FY 2015 through FY 2018. The percent of people reporting homeless at follow-up decreased from FY 2013 to FY 2015 and had a slight increase in FY 2017 (5%) and then doubled in FY 2018 to 10%.

On average, about one-third of clients entering Phase I of the recovery center reported that they were homeless in the 6 months before entering the program. At follow-up, the number reporting homelessness was significantly lower than at intake: 11% in FY 2013, 8% in FY 2014, and only 2% of clients in FY 2015 and FY 2016. In FY 2018 the percent of clients reporting homelessness at follow-up has increased to almost its highest value for follow-up.

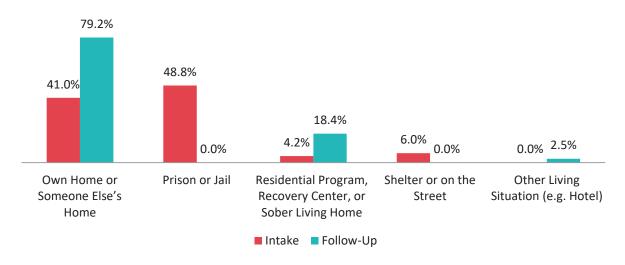


### LIVING SITUATION

Change in living situation from intake to follow-up was examined for the RCOS follow-up sample (see Figure 7.2). At intake and follow-up, individuals were asked about where they lived in the past 30 days. At intake, less than half of individuals (41.0%) reported living in a private residence (i.e., their own home or someone else's home), whereas at follow-up, the majority (79.2%) reported living in their own home or someone else's home at follow-up. The number of clients who reported living in a jail or prison decreased from 48.8% at intake to 0.0% at follow-up.

Even though individuals the target date for the follow-up survey is 12 months after individuals completed their intake survey and entry into Phase 1, 18.4% reported living in a recovery center, residential program, or sober living home at follow-up. Only a small number of individuals reported living in a shelter or on the street at intake (6.0%) and no individuals reported living in a shelter or on the street at follow-up.



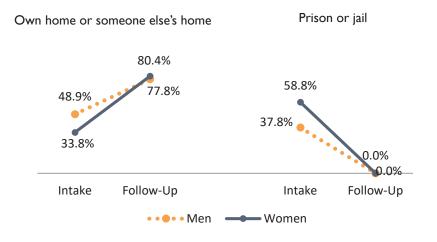


a – No measures of association could be computed for living situation because the value for prison or jail and shelter or on the street at follow-up was 0.

#### **GENDER DIFFERENCE IN LIVING SITUATION**

Figure 7.3 shows that at intake significantly more men reported living in a private residence compared to women and more women reported having lived in jail or prison compared to men. There were no significant differences in living situation by gender at follow-up.

FIGURE 7.3. GENDER DIFFERENCES IN LIVING SITUATION AT INTAKE AND FOLLOW-UP<sup>a</sup>



a—Significant difference by gender at intake (p < .01).

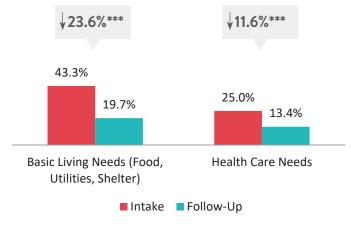
### **ECONOMIC HARDSHIP**

Economic hardship may be a better indicator of the actual dayto-day living situation clients face than a measure of income. Therefore, the intake and follow-up surveys included several questions about clients' difficulty meeting basic living needs and health care needs.<sup>73</sup> Clients were asked eight items, five of which asked about difficulty meeting basic living needs such as food, shelter, utilities, and telephone, and three items asked about difficulty for financial reasons in obtaining health care.

The percent of clients who reported difficulty meeting basic living needs and health care needs for financial reasons decreased significantly from intake to follow-up

The percent of clients who reported having difficulty meeting basic living needs decreased significantly from intake (43.3%) to follow-up (19.7%; see Figure 7.4). Similarly, the number of clients who reported having difficulty in obtaining health care needs (e.g., doctor visits, dental visits, and filling prescriptions) for financial reasons decreased significantly from 25.0% at intake to 13.4% at follow-up.

## FIGURE 7.4. DIFFICULTY MEETING BASIC LIVING AND HEALTH CARE NEEDS FOR FINANCIAL REASONS AT INTAKE AND FOLLOW-UP (N=284)



\*\*\*p < .001.

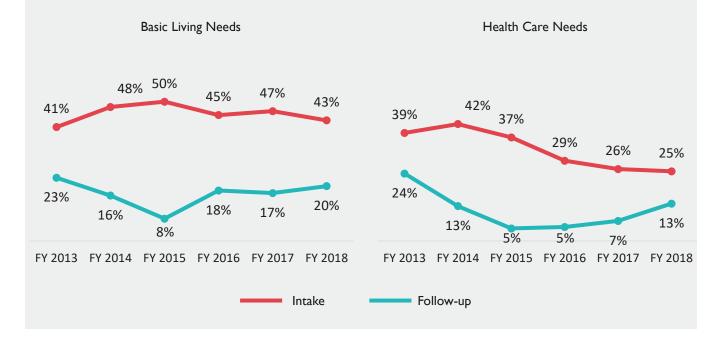
<sup>&</sup>lt;sup>73</sup> She, P., & Livermore, G. (2007). Material hardship, poverty, and disability among working-age adults. Social Science Quarterly, 88(4), 970-989.

#### **TREND ALERT: ECONOMIC HARDSHIP**

Since FY 2013, there has been a significant decrease from intake to follow-up each year in the number of clients who reported they had difficulty meeting basic living needs and health care needs in the past 6 months.

At intake, the percent of clients who had difficulty meeting basic living needs (e.g., rent, utilities, food) has increased, from 41% in FY 2013 to a high of 50% in FY 2015. In FY 2017, 47% of clients had difficulty meeting basic needs at intake, with a slight decrease to 43% in FY 2018. At follow-up, the number of clients who had difficulty meeting basic needs was still high in FY 2013 (23%). That number decreased in FY 2014 and FY 2015, where it was the lowest (8%). In FY 2016 and FY 2017, almost one-fifth of RCOS clients and in FY 2018 one-fifth of clients were struggling to meet basic needs at follow-up.

Clients reporting difficulty meeting health care needs (e.g., unable to see a doctor, dentist, or pay for prescription medication) at intake and follow-up has seen a more dramatic decrease since FY 2013. Only 5% of clients at follow-up reported difficulty meeting health care needs in FY 2015 and FY 2016, with a slight increase to 7% in FY 2017, and a greater increase to 13% in FY 2018. The expansion of Medicaid in the state under the implementation of the Affordable Care Act corresponds to the follow-up period in FY 2015.



### **SECTION 8. MULTIDIMENSIONAL STATUS**

This section examines multidimensional recovery at follow up as well as change in multidimensional recovery before entering the program and at follow-up.

Recovery goes beyond relapse or return to occasional drug or alcohol use. Recovery from substance use disorders can be defined as "a process of change through which an individual achieves abstinence and improved health, wellness and quality of life: (p. 5).<sup>74</sup> The SAMHSA definition of recovery is similarly worded and encompasses health (including but not limited to abstinence from alcohol and drugs), having a stable and safe home, a sense of purpose through meaningful daily activities, and a sense of community.<sup>75</sup> In other words, recovery encompasses multiple dimensions of individuals' lives and functioning. The multidimensional recovery index uses items from the intake and follow-up surveys to create one index that can be used to classify individuals who have better or worse status at follow-up

#### TABLE 8.1. MULTIDIMENSIONAL RECOVERY

INDICATOR	NO DEFICITS	AT LEAST ONE DEFICIT	
Substance use disorder (SUD) symptoms	No or mild substance use disorder (SUD)	Moderate or severe substance use disorde (SUD)	
Employment	Employed at least part-time or in school	Unemployed (not on disability, not going to school, not a caregiver)	
Homelessness	No reported homelessness	Reported homelessness	
Criminal Justice System Involvement	No arrest or incarceration	Any arrest or incarceration	
Suicide ideation	No suicide ideation (thoughts or attempts)	Any suicide ideation (thoughts or attempts)	
Overall health	Fair to excellent overall health	Poor overall health	
Recovery support	Had at least one person he/she could count on for recovery support	Had no one he/she could count on for recovery support	
Quality of life	Mid to high-level of quality of life	Low-level quality of life	

As shown in the figure below, 58.7% of the sample were classified as having better status at follow-up.

At intake, as expected, no individuals were classified as having better status when entering the program (see Figure 8.1).<sup>76</sup>

<sup>&</sup>lt;sup>74</sup> Center on Substance Abuse Treatment. (2007). *National summit on recovery: conference report* (DHHS Publication No. SMA 07-4276). Rockville, MD: Substance Abuse and Mental Health Services Administration.

<sup>&</sup>lt;sup>75</sup> Laudet, A. (2016). Measuring recovery from substance use disorders. Workshop presentation at National Academies of Sciences, Engineering, and Medicine (February 24, 2016). Retrieved from https://sites.nationalacademies.org/cs/groups/dbassesite/documents/webpage/dbasse\_171025.pdf

<sup>&</sup>lt;sup>76</sup>Three Individuals had missing data for at least one of the variables that was used to compute the measure of multidimensional recovery at follow-up.

#### FIGURE 8.1. MULTIDIMENSIONAL RECOVERY AT INTAKE AND FOLLOW-UP (N = 281)<sup>a</sup>

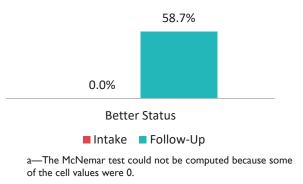


Table 8.2 presents the frequency of clients who reported each of the specific components of the multidimensional recovery index at intake and follow-up. At intake, the factors with the lowest percent of individuals indicated were no arrests or incarceration, no substance use disorder, and a higher quality of life. At follow-up, the factors with the lowest percent of individuals reporting the indicators of better status were having employment full-time and part-time, and not being arrested or incarcerated in the past 6 months.

TABLE 8.2. PERCENT OF CLIENTS WITH SPECIFIC COMPONENTS OF BETTER STATUS AT INTAKE AND FOLLOW-UP  $(n = 281)^{77}$ 

Factor		Yes
Met DSM-5 criteria for no SUD in the past 6 months	21.8%	89.1%
Usual employment was employed full-time or part-time in the past 6 months		80.9%
Reported no homelessness		84.8%
Reported not being arrested and/or incarcerated in the past 6 months		83.8%
Reported no thoughts of suicide or attempted suicide in the past 6 months		96.8%
Self-rating of overall health at follow-up was fair, good, very good, or excellent		98.6%
Reported having someone they could count on for recovery support		98.2%
Reported a quality of life rating in the mid or higher range (rating of 5 or higher)		97.9%

To better understand which factors at entry to the program are associated with better status at followup, each element that defined the multidimensional status at intake as well as the number of months the client self-reported they spent in the recovery center program and their completion of the program (Yes/ No) were entered as predictor variables in a logistic regression model. The continuous variable for the following factors were included as predictor variables instead of the binary variables presented in Table 8.2: the number of criteria for DSM-5 substance use disorder met, number of months employed, overall health rating, quality of life rating, and the number of

"Invested over 100% and got 100% out of it. Felt like people healts were into it and I could trust the staff and connect with them."

- RCOS FOLLOW-UP CLIENT

<sup>&</sup>lt;sup>77</sup>Three Individuals had missing data for at least one of the variables that was used to compute the measure of multidimensional recovery at follow-up.

people the individual could count on for recovery support at intake. Having all dimensions of better status at follow-up is the criterion (i.e., dependent) variable. None of the ten criterion variables were statistically significantly associated with better status at follow-up (not depicted in a table).

## **SECTION 9. RECOVERY SUPPORTS**

This section focuses on five changes in recovery supports: (1) percent of clients attending mutual help recovery group meetings, (2) recovery supportive interactions in the past 30 days, (3) the number of people the individual said they could count on for recovery support, (4) what would be most useful to them in staying off drugs or alcohol, and (5) how good they felt their chances were of staying off drugs or alcohol in the future.

#### MUTUAL HELP RECOVERY GROUP MEETINGS

At intake, 37.8% of individuals reported going to mutual help recovery group meetings (e.g., AA, NA) in the 30 days before they entered the recovery center (see Figure 9.1). At follow-up, there was a significant increase of 40.3%, with 78.1% of individuals reporting they had gone to mutual help recovery group meetings in the past 30 days.

To have a better idea how often individuals attended mutual-help recovery group meetings before entering the recovery center and at follow-up, the average number of meetings attended was examined. Of those who attended meetings, the average number of meetings attended at intake (n = 107) was 11.1 and at follow-up (n = 221), clients reported attending 16.9 meetings on average (see Figure 9.1).



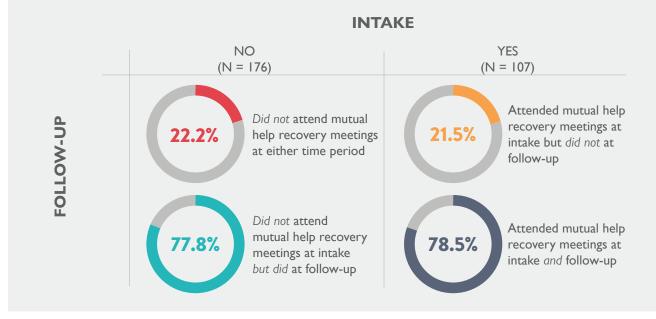
FIGURE 9.1. RECOVERY SUPPORTS AT INTAKE AND FOLLOW-UP (N=283)78

\*\*\*p < .001.

<sup>&</sup>lt;sup>78</sup>One individual had missing data for recovery meeting attendance at follow-up.

#### TAKING A CLOSER LOOK AT RECOVERY SUPPORT

Over one-third of clients reported attending mutual help recovery group meetings in the 30 days before entering the recovery center (37.8%; n = 107). Of the clients who attended meetings at intake, 78.5% also attended meetings in the 30 days before follow-up. Additionally, of those who did not attend recovery self-help meetings at intake (n = 176), 77.8% attended at least one meeting in the past 30 days at follow-up.

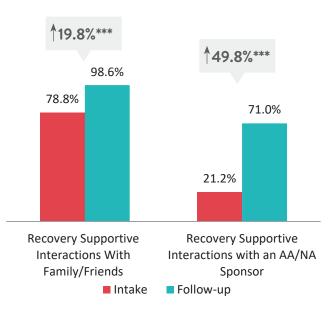


### **RECOVERY SUPPORTIVE INTERACTIONS**

As seen in Figure 9.2, at follow-up, significantly more individuals (98.6%) reported that they had interactions with family and friends who were supportive of their recovery in the past 30 days compared to intake (78.8%).

The number of individuals who reported having contact with an AA, NA, or other self-help group sponsor in the past 30 days also significantly increased from intake (21.2%) to follow-up (71.0%).

FIGURE 9.2. RECOVERY SUPPORTIVE INTERACTIONS IN THE PAST 30 DAYS (N = 283)79



\*\*\*\*p < .001.

# AVERAGE NUMBER OF PEOPLE THE CLIENT COULD COUNT ON FOR RECOVERY SUPPORT

The average number of people individuals reported that they could count on for support increased significantly from 4.9 people at intake to 27.9 people at follow-up (see Figure 9.3).<sup>80</sup>

FIGURE 9.3. AVERAGE NUMBER OF PEOPLE CLIENTS SAID THEY COULD COUNT ON FOR RECOVERY SUPPORT AT INTAKE AND FOLLOW-UP (N = 282)<sup>a</sup>

**ŤŤŤŤŤ** 

average number of people client could count on for support at intake



28

average number of people client could count on for support at follow-up

a – Significant increase from intake to follow-up as measured by a paired t-test (p < .001).

### WHAT WILL BE MOST USEFUL IN STAYING OFF DRUGS/ALCOHOL

At intake and follow-up, clients were asked what, other than being at the Recovery Center, they believed would be most useful in helping them quit or stay off drugs/alcohol. Rather than conduct analysis on change in responses from intake to follow-up, responses that were reported by 15% of clients or more are presented for descriptive purposes in Figure 9.4. The most common responses at

<sup>&</sup>lt;sup>79</sup>One individual had missing data for recovery supportive interactions at follow-up and five individuals had missing data for contact with a sponsor in the 30 days before follow-up.

<sup>&</sup>lt;sup>80</sup>Two individuals had missing data for number of people they could count on at follow-up.

intake were faith or religion, support from others in recovery, support from family/friends/partner, and employment. At follow-up, the most common response was mutual-help recovery meetings (i.e., AA or NA). Faith or religion, support from family/friends/partner, and support from others in recovery were also common answers at follow-up.

FIGURE 9.4. CLIENTS REPORTING WHAT WILL BE MOST USEFUL IN STAYING OFF DRUGS AND/OR ALCOHOL (N = 284)



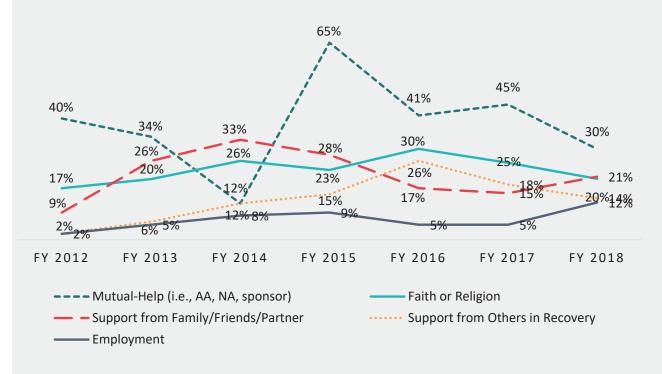
"This is my first time in recovery and I'm glad I took that time out of my life to focus on myself. Would recommend [the program] to anyone."

- RCOS FOLLOW-UP CLIENT

#### TREND ALERT: WHAT WILL BE MOST USEFUL IN STAYING OFF DRUGS/ALCOHOL AT FOLLOW-UP

At follow-up, clients were asked what, other than being at the recovery center, would be most useful in helping them quit or stay off drugs or alcohol. Examining the trends in five of the most common responses shows that mutual-help, such as AA/NA meetings, working the 12 steps, and having a sponsor, was the most commonly reported each year, with the exception of FY 2014, when the most common response at follow-up was support from family, friends, or a partner.

Faith or religion and support from family/friends/partners have consistently ranked as commonly reported supports for individuals when thinking of what will be most useful in staying off drugs/ alcohol.



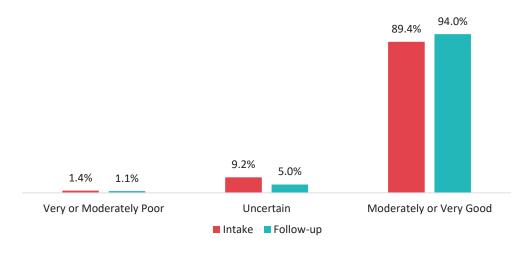
### CHANCES OF STAYING OFF DRUGS/ALCOHOL

Clients were asked, based upon their situation, how good they believed their chances were of getting off and staying off drugs/alcohol using a scale from 1 (Very poor) to 5 (Very good).<sup>81</sup> Clients rated their chances of getting off and staying off drugs/alcohol as a 4.4 at intake and a 4.7 at follow-up, which was a significant increase (not depicted in figure).

Overall, 89.4% of clients believed they had moderately or very good chances of staying off drugs/alcohol at intake, with a slight increase to 94.0% at follow-up (see Figure 9.5).

<sup>&</sup>lt;sup>81</sup>Two individuals had missing data for this question at follow-up.

## FIGURE 9.5. CLIENTS REPORTING THEIR CHANCES OF GETTING OFF AND STAYING OFF DRUGS/ALCOHOL AT INTAKE AND FOLLOW-UP (N = 282)<sup>a</sup>



a – Significance tested with the Stuart-Maxwell Test of Overall Marginal Homogeneity

## SECTION 10. CLIENT SATISFACTION WITH RECOVERY CENTER PROGRAMS

One of the important outcomes assessed during the follow-up interview is the client's perception of the Recovery Center program experience. This section describes three aspects of client satisfaction with the program: (1) overall client satisfaction, (2) client ratings of program experiences, and (3) positive outcomes of program participation.

### **OVERALL CLIENT SATISFACTION**

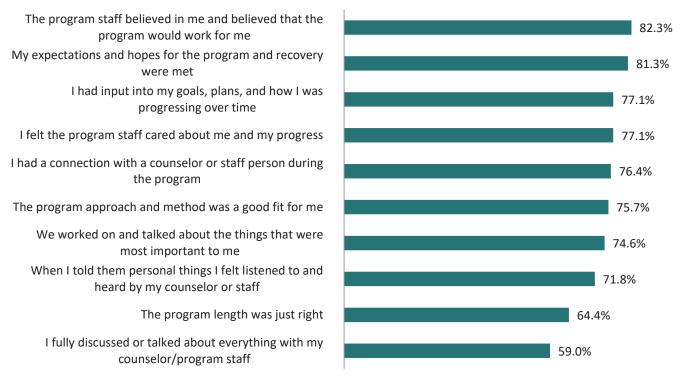
The majority of individuals (77.5%) rated their experience in the Recovery Kentucky program between an 8 and a 10, where 0 represented "not at all right for the client" and 10 represented "exactly right for the client (a perfect fit)" (not in a table). The average rating was 8.4.

Clients were asked to report their perceptions of how the recovery center programs worked for them. The statements presented in Figure 10.1 had separate response options, with ratings ranging from 0 to 10. The higher values corresponded to the more positive responses and the lower values corresponded to the negative responses. For example, for the statement, "My expectations and hopes for recovery were met" the anchors were 0 "Not at all met" and 10 "Perfectly met." Even the negatively worded items had anchors in which the higher values represented the more positive side of the continuum. For example, for the statement, "There were things I did not talk about or that I did not fully discuss with my counselor/program staff" the response option 0 corresponds to "I did not discuss lots of things, I held things back," and 10 corresponds to "I discussed everything, I held back nothing."

"It's one of the best programs I've been to! My #I goal was to get a job and #2 to get a car and I've reached both of my goals. This place has completely changed my life around."

- RCOS FOLLOW-UP CLIENT

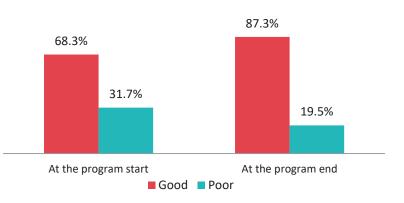
## FIGURE 10.1. PERCENT OF INDIVIDUALS WHO GAVE A RATING OF 8 – 10 AT FOLLOW-UP TO THE FOLLOWING STATEMENTS ABOUT THE RECOVERY KENTUCKY PROGRAM (N = 284)<sup>82</sup>



Percent who gave a rating of 8 - 10

Figure 10.2 shows the percent of individuals who reported the program started poor or good and ended poor or good. Nearly one-third of clients reported the start of the program was poor for them, while one-fifth reported the end of the program was poor for them. Four-fifths of individuals reported the end of the program was good for them.

#### FIGURE 10.2. PERCENT OF INDIVIDUALS WHO REPORTED AT FOLLOW-UP THE RECOVERY CENTER PROGRAM STARTED AND ENDED POOR OR GOOD (N = 284)<sup>83</sup>



<sup>&</sup>lt;sup>82</sup>Answers of don't know/don't remember were treated as missing on these items. The number of missing values ranged from 0 to 1 on the items represented in the figure.

<sup>&</sup>lt;sup>83</sup> Seventeen individuals did not rate the program at the end because 16 were still involved in the program and one declined to respond.

Of the 52 individuals who stated the program ended poorly for them, 80.8% reported their involvement with the program ended for a reason other than the program and the client mutually agreed that the client was ready to leave the program or had completed the program. In other words, the majority of clients who reported that the program ended poorly for them had left the program before program staff thought they were ready, they missed or cancelled too many appointments to be allowed continued involvement, they were prohibited from continuing their involvement, or they were voted out of the program by their peers. Interestingly, of these 52 individuals, 17.3% reported the program worked extremely well, 42.3% reported the program worked pretty well, 28.8% reported the program worked somewhat, and only 11.5% stated the program did not work at all for them (not depicted in a figure). Additionally, 71.2% of the clients who stated the program ended poorly for them stated they would refer a close friend or family member to the same program, and 28.8% stated they would not refer a close friend or family member.

Thinking about their experience with the recovery center program the majority of individuals stated the program worked extremely well (68.0%) or pretty well (20.4%) for them (see Figure 10.3). A small minority (8.8%) stated the program worked somewhat for them, and 2.8% reported the program did not work at all for them. In addition, the vast majority of individuals (93.3%) reported they would refer a close friend or family member to the program (not depicted in a figure).

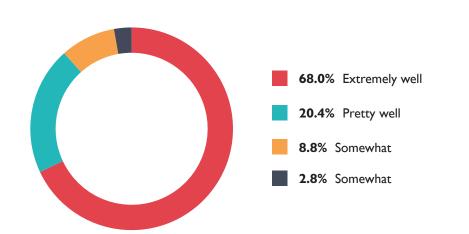
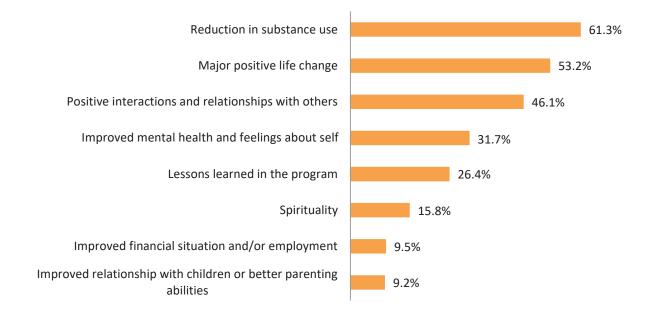


FIGURE 10.3. HOW WELL THE RECOVERY CENTER PROGRAM WORKED FOR CLIENTS (N = 284)

### POSITIVE OUTCOMES OF PROGRAM PARTICIPATION

At the beginning of the follow-up survey, individuals were also asked about the most positive outcomes of their Recovery Kentucky program experience (see Figure 10.4). The most commonly self-reported positive outcomes of the program included reduction in substance use, major positive life change (e.g., better quality of life, better able to function, having a "normal" life, having greater control over life), improved mental health and feelings about themselves, increased positive interactions and relationships with other people, lessons learned in the program, spirituality (religious faith), improved financial situation, and better relationship with and ability to parent children.

## FIGURE 10.4. PERCENT OF INDIVIDUALS REPORTING THE MOST POSITIVE OUTCOMES THEY EXPERIENCED FROM THEIR RECOVERY KENTUCKY PROGRAM EXPERIENCE AT FOLLOW-UP (n = 284)



# SECTION 11. MULTIVARIATE ANALYSIS OF FACTORS ASSOCIATED WITH RELAPSE

This section focuses on a multivariate analysis examining factors related to relapse in the 2020 RCOS follow-up sample.

RCOS clients who reported using any illicit drugs and/or alcohol in the 6 months before follow-up (n = 44) were compared to clients who did not report use of drugs or alcohol in the 6 months before follow-up (n = 237). A logistic regression was used to examine the association between selected targeted factors and use of drugs or alcohol during the follow-up time period (relapse).

In comparing the two groups on the targeted factors, a few statistically significant differences were found in bivariate statistical tests (see Table 11.1). Individuals who reported any drug and/or alcohol use in the 6 months before follow-up had shorter self-report lengths of service in the programs, were more likely to be male, and reported a lower average quality of life rating

INTAKE VARIABLES	Used illicit drugs and/or alcohol in past 6 months at follow-up (n = 44)	Did not use illicit drugs or alcohol in the past 6 months at follow-up (n =237)
Average age at intake	34.5	33.9
Male	61.4%	45.1%*
Number of months in the program (self-reported)	7.5	9.I**
Met criteria for moderate or severe SUD per DSM-5 criteria	81.8%	72.2%
Number of nights incarcerated in the 6 months before intake	63.5	73.3
Number of months employed in the 6 months before intake	1.9	1.8
Average number of mental health symptoms (depression and anxiety) reported at intake	11.3	9.9
Number of people client could count on for recovery support at intake	5.3	4.8
Average quality of life rating at intake	2.9	3.5*
Number of adverse childhood experiences	4.4	4.1

#### TABLE 11.1. COMPARISON OF TARGETED FACTORS FOR RELAPSE AND NON-RELAPSE GROUPS

\*p < .05, \*\*p<.01.

Gender, number of months in the program (self-reported), quality of life rating, and meeting criteria for moderate or severe SUD at intake were entered into a logistic regression as predictor variables and any drug or alcohol use in the past 6 months at follow-up (No/Yes) was entered as the dependent variable. Results of the analysis show when controlling for other variables in the model, individuals with shorter stays in the recovery programs had greater odds of relapse during the 6-month follow-up period.

<b>F</b> (	actor B Wald Odds Ratio -		95% CI		
Factor		Lower	Upper		
Gender	524	2.308	.592	.301	1.164
Met DSM-5 criteria for moderate or severe SUD at intake	.438	.989	1.550	.654	3.674
Quality of life at intake	198	3.617	.820	.668	1.006
Number of months in the program	154	7.532	.857**	.768	.957

#### TABLE 11.2. ASSOCIATION OF TARGETED FACTORS AND RELAPSE

\*\*p<.01.

Note: Categorical variables were coded in the following ways: gender (1=male, 2= female).

"They changed my life, now I have a job with them and my children are living with me."

- RCOS FOLLOW-UP CLIENT

# SECTION 12. COST AND IMPLICATIONS FOR KENTUCKY

This section examines cost reductions or avoided costs to society after Recovery Kentucky Program participation. Using the number of individuals who reported drug or alcohol use at intake and follow-up, a national per person cost was applied to the sample used in this study to estimate the cost to society for the year before individuals were in recovery and then for the same individuals during the period after leaving Phase I. The cost savings was then divided by the cost of providing Recovery Kentucky Program services, yielding a return of \$2.25 for every dollar spent on recovery programs.

# **RETURN ON INVESTMENT IN RECOVERY KENTUCKY PROGRAMS**

There is great policy interest in examining cost reductions or avoided costs to society after Recovery Kentucky participation. Thorough analysis of cost savings, while increasingly popular in policy making settings, is extremely difficult and complex. Immediate proximate costs can be examined relatively easily; however, a thorough assessment requires a great number of econometrics. In order to accommodate these complexities at an aggregate level, data were extrapolated from a large federal study that was published in 1998 to estimate separate annual costs of alcohol abuse and drug abuse in the United States.<sup>84</sup> In 2000 the estimated costs of alcohol abuse in the United States was updated and in 2011 the National Drug Intelligence Center updated the estimates of drug abuse in the United States for 2007.<sup>85</sup>. <sup>86</sup> These updated costs were used in the calculations for the cost savings analysis in this RCOS follow-up report.

Most studies on the estimates of cost offsets from interventions with substance abuse focus on savings in various forms after substance abuse treatment participation. Recovery services are not treatment and thus call for separate analysis. Among the recovery centers sponsored by Recovery Kentucky and the Kentucky Housing Corporation, daily cost of care is very low. Recovery centers use considerable volunteer effort from residents and peer mentors who assist in running day-to-day activities such as housekeeping, kitchen work, and other duties. However, individuals stay in residential care for extended periods of time and these two factors mark the Recovery Kentucky Program as very different from treatment programs where residential stays average less than 20 days statewide.

## **METHOD**

The national cost reports factored in many explicit and implicit costs of alcohol and drug abuse to the nation, such as the costs of lost labor due to illness, accidents, the costs of crime to victims, costs of incarceration, hospital and other medical treatment, social services, motor accidents, and other costs (Harwood et al., 1998; 2000; National Drug Intelligence Center, 2011). Thus, these reports consider both the hidden and obvious costs of substance abuse. For this analysis, the national estimates of the costs of drug and alcohol abuse/dependence were converted to 2018 dollars using a CPI indexing from

<sup>&</sup>lt;sup>84</sup> Harwood, H., Fountain, D., & Livermore, G. (1998). The Economic Costs of Alcohol and Drug Abuse in the United States, 1992. Report prepared for the National Institute on Drug Abuse and the National Institute on Alcohol Abuse and Alcoholism, National Institutes of Health, Department of Health and Human Services. NIH Publication No. 98-4327. Rockville, MD: National Institutes of Health.

<sup>&</sup>lt;sup>85</sup> Harwood, H. (2000). Updating Estimates of the Economic Costs of Alcohol Abuse in the United States: Estimates, Update Methods, and Data. Report prepared by The Lewin Group for the National Institute on Alcohol Abuse and Alcoholism. Rockville, MD: National Institutes of Health.

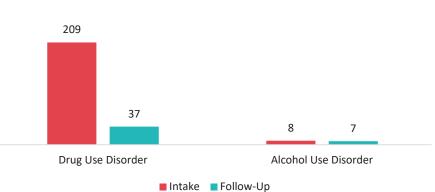
<sup>&</sup>lt;sup>86</sup> National Drug Intelligence Center. (2011). The Economic Impact of Illicit Drug Use on American Society. Washington, DC: United States Department of Justice.

a federal reserve bank (http://www.minneapolisfed.org).

In order to calculate the estimate of the cost per alcohol user or drug user, the updated national cost estimates were divided by the estimate of the number of individuals with alcohol or drug use disorder.<sup>87</sup> The estimate of the cost to society of alcohol use was \$284,412,610,269 after conversion to 2018 dollars. This amount was then divided by the 14,800,000 individuals estimated in the NSDUH in 2018 to have an alcohol use disorder, yielding a cost per person of alcohol abuse of \$19,217 (after rounding to a whole dollar). The estimate of the cost to society of drug use was \$233,850,868,732 after conversion to 2018 dollars. This amount was then divided by the 8,100,000 individuals estimated in the NSDUH in 2018 to 12018 dollars. This amount was then divided by the 8,100,000 individuals estimated in the NSDUH in 2018 to have an illicit drug abuse or dependence disorder, yielding a cost per person of drug abuse of \$28,870 (after rounding to a whole dollar).

Given the high prevalence of severe substance abuse among the individuals entering recovery centers, analyses hinged on estimating the differences in cost to society between persons who are in active addiction compared to those who are abstinent from drug and/or alcohol use. Thus, the role that abstinence plays in reducing costs to society was examined because abstinent individuals are far less likely to be arrested, more likely to be employed or spending time volunteering, less likely to be drawing down social services supports, and less likely to be dependent on other family members. These per person costs were then applied to the follow-up sample used in this study to estimate the cost to society for the year before individuals were in Recovery Kentucky programs and then for the same individuals during the period after leaving Phase I.

Figure 12.1 shows the change in the number of individuals who used illegal drugs and the number of individuals who used alcohol but not illegal drugs at intake and follow-up. Individuals who reported any illegal drug use in the corresponding period were classified in the drug use disorder category. Individuals who reported using alcohol but not using illegal drugs were classified in the alcohol use disorder category. The change from intake to follow-up was substantial (see Figure 12.1). At intake, 209 of the 277 RCOS clients included in the avoided cost analysis<sup>88</sup> were classified in the drug use category and 8 in the alcohol use category. At follow-up, only 37 individuals were classified in the drug use category and 7 individuals in the alcohol use category.



#### FIGURE 12.1 CHANGE IN THE NUMBER OF INDIVIDUALS WHO WERE ACTIVE DRUG ABUSERS OR ALCOHOL ABUSERS FROM INTAKE TO FOLLOW-UP (N = 277)

<sup>&</sup>lt;sup>87</sup> Substance Abuse and Mental Health Services Administration. (2019). Key substance use and mental health indicators in the United States: Results from the 2018 National Survey on Drug Use and Health (HHS Publication No. PEP19-5068, NSDUH Series H-54). Rockville, MD: Center for Behavioral Health Statistics and Quality, Substance Abuse and Mental Health Services Administration. Retrieved from http:// www.samhsa.gov/data

<sup>&</sup>lt;sup>88</sup> Seven cases were excluded from the cost analysis for the following reasons: four cases had missing data for length of service and three cases had missing data for illicit drug use at follow-up.

When the estimated cost per individual drug user was applied to the 209 individuals who were active drug users at intake, the annual estimated cost to society for the RCOS individuals who used illegal drugs before entry into the recovery center was \$6,033,830. When the average annual cost per individual alcohol user was applied to the 8 individuals who were active alcohol users at intake, the estimated cost to society was \$153,736. The total estimated cost of drug and alcohol abuse applied to the sample of individuals in RCOS was \$6,187,566. By follow-up, the estimated cost of the 37 individuals who were still active drug abusers was \$1,068,190 and the estimated cost of the 7 individuals who were active alcohol abusers was \$134,519, for a total of \$1,202,709. Thus, as shown in Figure 12.2, after participation in a Recovery Kentucky program, the aggregate cost to society for the RCOS follow-up sample was reduced by \$4,984,857.

FIGURE 11.2. CHANGE IN COST TO SOCIETY AT INTAKE AND FOLLOW-UP (AMOUNTS IN MILLIONS OF DOLLARS) (N = 277)

## **\$6.2 million \$1.2 million \$5.0 million** COST TO SOCIETY AT FOLLOW-UP **\$5.0 million**GROSS DIFFERENCE IN COST TO SOCIETY

The daily cost of participation in a Recovery Kentucky program in FY 2018 was \$32.76 per person (Kentucky Housing Corporation communication). Funding sources for the per diem cost includes the Kentucky Department of Corrections, Supplemental Nutrition Assistance Program (SNAP), Section 8 Housing Assistance, and the Community Development Block Grant (CDBG). The total number of days clients in the follow-up sample participated in Recovery Kentucky programs was obtained for each individual. The number of days of participation was multiplied by the daily cost of \$32.76 for a total cost of \$2,216,279 for the 277 individuals included in the avoided cost analysis in this report. When the cost of Recovery Kentucky programs is subtracted from the cost savings from increased alcohol and drug abstinence, there is an estimated net savings to society of \$2,768,578 for serving this sample of 277 individuals. Examining the total avoided costs in relation to expenditures on recovery services, these figures suggest that for every dollar invested in recovery, there was a \$2.25 return in avoided costs.

# **SECTION 13. CONCLUSION**

This section summarizes the report findings and discusses some major implications within the context of the limitations of the outcome evaluation study.

This report describes outcomes for 284 men and women who participated in a Recovery Kentucky program and who completed an intake interview at Phase 1 entry in FY 2018 and a follow-up telephone interview about 12 months after the intake survey.

# **AREAS OF SUCCESS**

The 2020 evaluation results indicate that Recovery Kentucky programs have been successful in facilitating substantial positive changes in clients' lives. Clients' level of satisfaction with the programs was high. Specifically, the majority indicated that the program extremely well for them. Over three-fourths of clients gave a high rating about the following aspects of the program: expectations and hopes for the program were met; they had input into their goals, plans, and how they were progressing over time; they felt the program staff cared about them; they had a connection with a staff person; and the program approach and method was a good fit for them. Clients also reported positive outcomes to their participation in the Recovery Kentucky programs such as reductions in substance use, major positive life changes, increases in positive interactions and relationships with other people, improvements in mental health and feelings about themselves, and the lessons they learned in the program. Furthermore, significant improvements in clients' lives and functioning were made from intake to follow-up were made in the following areas:

## SUBSTANCE USE

There was a significant decrease in past-6-month use of illegal drugs as well as a decrease in past-6-month use of alcohol from intake to follow-up among clients who were not in a controlled environment for the entire period at intake. About 86% of RCOS clients reported abstinence from illegal drugs and 93% reported abstinence from alcohol in the past 6 months at follow-up. Abstinence is linked to a decrease in drug-related consequences<sup>89</sup> as well as improvements in health and a decrease in mortality, reductions in crime, increases in employment, and an improved quality of life.<sup>90</sup>

Further, significantly fewer clients met DSM-5 severity criteria for severe substance use disorder during the follow-up period. The number of clients with an ASI alcohol or drug composite score that met or exceeded the cutoff for severe substance use disorder also decreased significantly in the past 30 days.

Multivariate analysis showed that drug and/or alcohol use in the follow-up period was significantly associated with shorter participation in the Recovery Kentucky programs. No other intake variables were significantly related to relapse at follow-up.

<sup>&</sup>lt;sup>89</sup> Park, T., Cheng, D., Lloyd-Travaglini, C., Bernstein, J., Palfai, T., & Saitz, R. (2015). Changes in health outcomes as a function of abstinence and reduction in illicit psychoactive drug use: A prospective study in primary care. *Addiction*, *110*, 1476-1483.

<sup>&</sup>lt;sup>90</sup>Vederhus, J., Birkeland, B., & Clausen, T. (2016). Perceived quality of life, 6 months after detoxification: Is abstinence a modifying factor? Quality of Life Research, 25, 2315-2322.

### **MENTAL HEALTH**

Compared to the general population, individuals who have a substance use disorder are more likely to also have a co-occurring mental health disorder.<sup>91</sup> At intake, almost two-thirds of clients met study criteria for depression, almost three-fourths met criteria for generalized anxiety, and 29.0% reported suicidal thoughts or attempts in the past 6 months. At follow-up, there were significant reductions in mental health symptoms for RCOS clients – 16.2% met depression criteria, 20.1% met anxiety criteria, and only 3.2% reported suicidality in the past 6 months. Further, the majority of clients (78.5%) met criteria for either depression or anxiety at intake, with a significant decrease to 24.6% at follow-up.

Among individuals who reported any of the victimization experiences in their lifetime at intake, 30.0% screened positive for PTSD symptoms. Among the individuals who reported any victimization in the 6 months before follow-up, only 9.2% screened positive for PTSD symptoms.

## **PHYSICAL HEALTH**

Clients' self-reported overall health improved from intake to follow-up. Only 13.8% of clients rated their overall health as "very good" or "excellent" at intake, which increased significantly to 54.8% rating their overall health as "very good" or "excellent" at follow-up. The number of days individuals reported their physical health was not good in the past 30 days decreased significantly from intake (7.7) to follow-up (3.1). Comparing RCOS clients to a statewide sample, the number of poor physical health days reported at follow-up (3.1) was somewhat less than others in Kentucky (4.8).<sup>92</sup> Additionally, there was a significant reduction in the number of clients reporting chronic pain in the past 6 months from intake to follow-up.

### **CRIMINAL JUSTICE INVOLVEMENT**

Research has shown that criminal justice involvement, specifically post-treatment arrests, may increase the likelihood of substance use relapse.<sup>93</sup> The number of RCOS clients reporting arrests and incarceration in the past 6 months at follow-up was significantly less than the number at intake. Only 11% of clients reported an arrest at follow-up and 15% reported spending any time incarcerated. The percent of clients who self-reported at least one misdemeanor or felony conviction also decreased significantly from intake to follow-up.

#### **EMPLOYMENT**

Unemployment has been linked to higher rates of smoking, alcohol consumption, and illicit drug use.<sup>94</sup> There was a significant increase in employment for RCOS clients from intake (45%) to follow-up (82%). The percent of men who were employed at least one month out of the past 6 months increased by 27% and the number of women employed increased by 44%.

<sup>&</sup>lt;sup>91</sup> https://www.samhsa.gov/treatment#co-occurring

<sup>&</sup>lt;sup>92</sup> University of Wisconsin Population Health Institute. (2019). 2019 County Health Rankings: Kentucky. Retrieved from https://www. countyhealthrankings.org/rankings/data/ky.

<sup>&</sup>lt;sup>93</sup> Kopak, A., Haugh, S., Hoffmann, N. (2016). The entanglement between relapse and posttreatment criminal justice involvement. The American Journal of Drug and Alcohol Abuse, 42(5), 606-613.

<sup>&</sup>lt;sup>94</sup> Henkel, D. (2011). Unemployment and substance use: A review of the literature (1990-2010). Current Drug Abuse Reviews, 4, 4-27.

#### HOMELESSNESS

Research has shown that homelessness and substance use often go hand-in-hand and one recent study found that of those with any substance abuse or dependence diagnosis in their lifetime, three-fourths had also experienced an episode of homelessness.<sup>95</sup> Overall, there was a significant decrease in the number of RCOS clients reporting homelessness in the last 6 months, from 35% at intake to 10% at follow-up.

### **ECONOMIC HARDSHIP**

Economic hardship may be a better indicator of the actual day-to-day living situation clients face than a measure of income. The percent of clients reporting they had difficulty meeting basic living needs and health care needs decreased significantly from intake to follow-up. For example, 43.3% of the clients had difficulty meeting basic living needs at intake, whereas the percent had decreased to 19.7% at follow-up.

#### **RECOVERY SUPPORT**

Research has shown that positive social and recovery supports, like AA, NA, and other 12-step programs, are linked to a lower risk of relapse.<sup>36</sup> For RCOS clients, there was a significant increase in mutual-help group meeting attendance in the past 30 days from intake to follow-up. Further, of those who did not attend mutual-help group meetings at intake, 77.8% did attend at least one meeting in the past 30 days at follow-up. At follow-up, RCOS clients also reported more recovery supportive contact with family, friends, or a sponsor. Additionally, the number of people clients could count on for support was significantly higher at follow-up (27.9) compared to intake (4.9).

## **MULTIDIMENSIONAL RECOVERY**

Recovery goes beyond relapse or return to occasional drug or alcohol use. The multidimensional recovery index uses items from the intake and follow-up surveys to create one index that can be used to classify individuals who have better or worse status at follow-up. At intake, none of the individuals had better status, whereas at follow-up, the majority had better status.

### **COST REDUCTION**

A cost-benefit analysis was beyond the scope of this outcome evaluation. Nonetheless, an estimate of the avoided costs to society in the follow-up period based on national estimates of the cost of alcohol and drug abuse and taking into account the cost of recovery Kentucky services suggests that recovery Kentucky has a positive return on investment. The estimate of avoided costs to society of \$4,984,857 divided by the cost of recovery Kentucky services to the individuals in the follow-up sample suggest that for every dollar spent there was an estimated \$2.25 of avoided costs to society.

<sup>&</sup>lt;sup>95</sup> Greenberg, G. & Rosenheck, R. (2010). Correlates of pate homelessness in the National Epidemiological Survey of Alcohol and Related Conditions. Administration and Policy in Mental Health and Mental Health Services Research, 37, 357-366.

<sup>&</sup>lt;sup>96</sup> Havassy, B., Hall, S. & Wasserman, D. (1991). Social support and relapse: Commonalities among alcoholics, opiate users, and cigarette smokers. *Addictive Behaviors, 16*, 235-246.

# **AREAS OF CONCERN**

There were a few areas where the data results suggest additional attention is warranted:

### **SMOKING RATES**

The number of RCOS clients not in a controlled environment who reported past-6-month smoking tobacco use remained high at intake and follow-up (83%). Past-30-day smoking for those not in a controlled environment was also high at intake (82%) and follow-up (79%). For those clients who were in a controlled environment all 30 days before entering the recovery center, smoking tobacco use in the past 30 days increased 24% from intake to follow-up. There is a common belief that individuals should not attempt to quit smoking while in substance abuse treatment, because smoking cessation can endanger their sobriety. However, recent empirical research studies contests this idea.<sup>37</sup> Continued tobacco use is associated with increased mental health symptoms as well as well-known physical health problems, including increased mortality.Voluntary smoking cessation during substance abuse treatment has been associated with lower alcohol and drug relapse and improved mental health outcomes.<sup>38. 99</sup>

### **ECONOMIC HARDSHIP**

Even though there was a significant decrease in the percent of clients who had difficulty meeting their basic living needs and health care needs from intake to follow-up, I in 5 (20%) of clients reported they had difficulty meeting basic living needs (e.g., food, utilities, rent) at follow-up. Additionally, despite significant increases in the percent of men and women employed, women reported working fewer months in the past 6 months at follow-up and earning a lower median hourly wage at intake and follow-up than men. Chronic stressors like sustained economic hardship and unemployment are associated with substance abuse relapse.<sup>100</sup> Additionally, increased substance use may occur in those with financial strain in order to help alleviate the stress.<sup>101</sup>

### **PROGRAM CONCERNS**

Most RCOS clients rated their time at the recovery center as positive and helpful; however, there were a few aspects of the program that a minority of clients found problematic. About 20% of clients reported that the program ended poorly for them. The majority of the clients who rated the ending of the program as poor left the program on terms other than completing the program, such as leaving before program staff thought they should, missing too many appointments to continue, not complying with program rules, or being voted out by their peers for not complying with program rules. Further exploration of the characteristics, conditions, and program processes of clients whose participation in the program ends before completion is needed to determine if there are additional supports the

<sup>&</sup>lt;sup>97</sup> Baca, C., & Yahne, C. (2009). Smoking cessation during substance abuse treatment: What you need to know. *Journal of Substance Abuse Treatment, 36*, 205-219.

<sup>&</sup>lt;sup>98</sup> Proschaska, J. (2010). Failure to treat tobacco use in mental health and addiction treatment settings: A form of harm reduction? Drug and Alcohol Dependence, 110, 177-182.

<sup>&</sup>lt;sup>99</sup> Kohn, C., Tsoh, J., & Weisner, C. (2003). Changes in smoking status among substance abusers: Baseline characteristics and abstinence from alcohol and drugs at 12-month follow-up. Drug and Alcohol Dependence, 69(1), 61-71.

<sup>&</sup>lt;sup>100</sup>Tate, S., Brown, S., Glasner, S., Unrod, M., & McQuaid, J. (2006). Chronic life stress, acute stress events, and substance availability in relapse. Addiction Research and Theory, 14(3), 303-322.

<sup>&</sup>lt;sup>101</sup> Shaw, B.A., Agahi, N., & Krause, N. (2011). Are Changes in Financial Strain Associated with Changes in Alcohol Use and Smoking Among Older Adults? *Journal of Studies on Alcohol and Drugs*, 72(6), 917-925.

programs can put in place to decrease attrition.

# ADVERSE CHILDHOOD EXPERIENCES AND INTERPERSONAL VICTIMIZATION IN ADULTHOOD

Adverse childhood experiences were reported by the majority of the sample (87.9%) who completed intake surveys. Of the maltreatment and abuse experiences, the most commonly reported experiences were emotional maltreatment, emotional neglect, and physical maltreatment. Of the household risks experiences, the most commonly reported experiences were parents being separated/divorced, substance abuse by a household member, and mental illness of a household member. Women reported significantly more adverse childhood experiences relative to men. The majority of RCOS clients reported they had been physically assaulted (other than IPV) as adults. Similar percentages of men and women reported ever being the victim of a home burglary or assault (other than IPV). Significantly higher percentages of women reported ever being verbally harassed in public and concerned for their safety, intimate partner violence (including controlling behavior), stalked by someone who scared them, and sexually assaulted or raped. The high number of clients who experience adverse childhood events and interpersonal victimization in adulthood suggest a need to addressed in the programs.

# **STUDY LIMITATIONS**

The study findings must be considered within the context of the project's limitations. First, the data included in this write-up was self-reported by Recovery Kentucky clients. There is reason to question the validity and reliability of self-reported data, particularly with regard to sensitive topics, such as illegal behavior and stigmatizing issues such as mental health and substance use. However, some research has supported findings about the reliability and accuracy of individuals' reports of their substance use.<sup>102.</sup> <sup>103.104</sup> For example, in many studies that have compared agreement between self-report and urinalysis the concordance or agreement is acceptable to high.<sup>105.106.107</sup> In fact, in some studies, when there were discrepant results between self-report and urinalysis of drugs and alcohol, the majority were self-reported substance use that was not detected with the biochemical measures.<sup>108.109.110</sup> In other studies,

<sup>&</sup>lt;sup>102</sup> Del Boca, F.K., & Noll, J.A. (2000). Truth or consequences: The validity of self-report data in health services research on addictions. *Addiction, 95*, 347-360.

<sup>&</sup>lt;sup>103</sup> Harrison, L. D., Martin, S. S., Enev, T., & Harrington, D. (2007). *Comparing drug testing and self-report of drug use among youths and young adults in the general population* (DHHS Publication No. SMA 07-4249, Methodology Series M-7). Rockville, MD: Substance abuse and Mental Health Services Administration, Office of Applied Studies.

<sup>&</sup>lt;sup>104</sup>Rutherford, M.J., Cacciola, J.S., Alterman, A.I., McKay, J.R., & Cook, T.G. (2000). Contrasts between admitters and deniers of drug use. *Journal of Substance Abuse Treatment, 18*, 343-348.

<sup>&</sup>lt;sup>105</sup> Rowe, C., Vittinghoff, E., Colfax, G., Coffin, P. O., & Santos, G. M. (2018). Correlates of validity of self-reported methamphetamine use among a sample of dependent adults. *Substance Use & Misuse, 53* (10), 1742-1755.

<sup>&</sup>lt;sup>106</sup> Rygaard Hjorthoj, C., Rygaard Hjorthoj, A., & Nordentoft, M. (2012). Validity of Timeline Follow-Back for self-reported use of cannabis and other illicit substances—Systematic review and meta-analysis. *Addictive Behaviors*, *37*, 225-233.

<sup>&</sup>lt;sup>107</sup>Wilcox, C. E., Bogenschutz, M. P., Nakazawa, M., & Woody, G. (2013). Concordance between self-report and urine drug screen data in adolescent opioid dependent clinical trial participants. *Addictive Behaviors, 38*, 2568-2574.

<sup>&</sup>lt;sup>108</sup> Denis, C., Fatséas, M., Beltran, V., Bonnet, C., Picard, S., Combourieu, I., Daulouède, J., & Auriacombe, M. (2012). Validity of the selfreported drug use section of the Addiction Severity and associated factors used under naturalistic conditions. *Substance Use & Misuse*, 47, 356-363.

<sup>&</sup>lt;sup>109</sup> Hilario, E.Y., Griffin, M. L., McHugh, R. K., McDermott, K.A., Connery, H. S., Fitzmaurice, G. M., & Weiss, R. D. (2015). Denial of urinalysisconfirmed opioid use in prescription opioid dependence. *Journal of Substance Abuse Treatment, 48*, 85-90.

<sup>&</sup>lt;sup>110</sup>Williams, R. J., & Nowatzki, N. (2005). Validity of self-report of substance use. Substance Use & Misuse, 40, 299-313.

higher percentages of underreporting have been found." Prevalence of underreporting of substance use is guite varied in studies. Nonetheless, research has found that certain conditions facilitate the accuracy of self-report data such as assurances of confidentiality and memory prompts.<sup>112</sup> Moreover, the "gold standard" of biochemical measures of substance use have many limitations: short windows of detection that vary by substance; detection varies on many factors such as the amount of the substance consumed, chronicity of use, sensitivity of the analytic method used.113 Therefore, the study method includes several key strategies to facilitate accurate reporting of sensitive behaviors at followup including: (a) the follow-up interviews are conducted by telephone with a University of Kentucky Center on Drug and Alcohol Research (UK CDAR) staff person who is not associated with any Recovery Kentucky program; (b) the follow-up responses are confidential and are reported at a group level, meaning no individual responses are linked to participants' identity; (c) the study procedures, including data protections, are consistent with federal regulations and approved by the University of Kentucky Human Subjects Institutional Review Board; (d) confidentiality is protected under Federal law through a Federal Certificate of Confidentiality; (e) participants can skip any question they do not want to answer; and (f) UK CDAR staff are trained to facilitate accurate reporting of behaviors and are regularly supervised for quality data collection and adherence to confidentiality.

Even though the project sample was limited to 284 follow-up surveys this fiscal year due to budget constraints, there are several ways the study method helps to minimize the impact of this limitation including: (a) the follow-up sample is randomly selected from those clients who agree to participate and who provide minimal locator information in the study and is stratified to ensure there are similar numbers of males and females; and (b) clients who did and clients who did not complete a follow-up interview are compared to see how different the follow-up sample is from those not followed up on sociodemographic factors and targeted factors at Phase I intake. Results show there are very few differences, and the differences that are found indicate clients who completed follow-up interviews were worse off than the clients who did not complete a follow up interview, which suggests those followed-up are similar to those who were not followed up. A longer-term follow-up would provide more information about the impact of the Recovery Kentucky Program on longer time life changes and events.

# CONCLUSION

This RCOS 2020 report findings are encouraging and continue the first multi-year systematic evaluation of long-term residential recovery supports in the United States. Further study will lead to more research to validate the continuing value of recovery services as a key part of state commitment to intervening with the growing problem of substance abuse in Kentucky.

Overall, Recovery Kentucky clients made significant strides in all of the targeted areas, clients were largely satisfied and appreciative of the services they received through the recovery centers, and Recovery Kentucky saved taxpayer dollars through avoided costs to society or costs that would have been expected based on the rates of drug and alcohol use prior to entry into the recovery center. The improvements in global functioning and overall quality of life ratings suggest that client's lives

<sup>&</sup>lt;sup>111</sup> Chermack, S. T., Roll, J., Reilly, M., Davis, L., Kilaru, U., Grabowski, J. (2000). Comparison of patient self-reports and urianalysis results obtained under naturalistic methadone treatment conditions. *Drug and Alcohol Dependence, 59,* 43-49.

<sup>&</sup>lt;sup>112</sup> Del Boca, F. K., & Noll, J.A. (2000). Truth or consequences: the validity of self-report data in health services research on addictions. *Addiction, 95* (Suppl. 3), S347—S360.

<sup>&</sup>lt;sup>113</sup>Williams, R. J., & Nowatzki, N. (2005). Validity of self-report of substance use. Substance Use & Misuse, 40, 299-313.

have improved meaningfully and significantly. The finding of reductions in costs related to increased abstinence suggests that commitment of public funds to recovery centers is a solid investment in the futures of many Kentucky citizens. While this study was not resourced to examine net effects of human capital investment, the past research suggests that individuals who commit themselves to recovery and abstinence go on to have gainful employment and reduced involvement with public sector services in their future years.

# **APPENDIX A. METHODS**

A total of 2,074 individuals had an intake survey completed between July 1, 2017 and June 30, 2018. The target month for the follow-up survey was 12 months after the intake survey was conducted. Cases were randomly selected into the follow-up sample by gender [male, female] so that equal numbers of men and women were selected for the follow-up sample. The window for completing a follow-up survey with an individual selected into the follow-up sample began one month before the target month and spanned until two months after the target month. For example, if an individual was eligible for the follow-up survey in May (i.e., target month was May), then the interviewers would attempt to complete the follow-up survey beginning in April and ending in July.

A total of 528 individuals were selected into the sample of individuals to be followed up from July 2017 to June 2018. Of these individuals, 61 were ineligible for the follow-up survey at the time of their follow-up; thus these cases are not included in the calculation of the follow-up rate (see Table AA.1). Of the remaining 467 individuals, interviewers completed follow-up surveys with 284 individuals, representing a follow-up rate of 60.8%. Of the eligible individuals, 179 (38.3%) were never successfully contacted or if they were contacted, interviewers were not able to complete a follow-up survey with them during the follow-up period: these cases are classified as expired. Four individuals refused to complete the follow-up survey when the interviewer contacted him/her. The project interviewers' efforts accounted for 66.1% of the cases (N = 349) included in the follow-up sample. The only cases not considered accounted for are those individuals who are classified as expired.

	Number of Records (N = 528)	Percent
Ineligible for follow-up survey	61	11.6%
	Number of cases eligible for follow-up (N = 467)	
Completed follow-up surveys	284	
Follow-up rate is calculated by dividing the number of completed surveys by the number of eligible cases and multiplying by 100		60.8%
Expired cases (i.e., never contacted, did not complete the survey during the follow-up period)	179	
Expired rate ((the number of expired cases/eligible cases)*100)		38.3%
Refusal	4	
Refusal rate ((the number of refusal cases/eligible cases)*100)		0.9%
Cases accounted for (i.e., records ineligible for follow-up + completed surveys + refusals)	349	
Percent of cases accounted for ((# of cases accounted for/total number of records in the follow-up sample)*100)		66.1%

#### TABLE AA. I. FINAL CASE OUTCOMES FOR FOLLOW-UP EFFORTS

Individuals were considered ineligible for follow-up if they were living in a controlled environment during the follow-up period (see Table AA.2). Of the 61 cases that were ineligible for follow-up, the majority (85.2%) was ineligible because they were incarcerated during the follow-up period. Five individuals were ineligible because they were deceased and three were ineligible because they were in residential treatment at the time of follow-up.

	Number	Percent
Incarcerated	52	85.2%
Deceased	5	8.2%
Residential treatment	3	4.9%
Invalid data	I	1.6%

## TABLE AA.2. REASONS CLIENTS WERE INELIGIBLE FOR FOLLOW-UP (N = 61)

# APPENDIX B. CLIENT CHARACTERISTICS AT INTAKE FOR THOSE WITH COMPLETED FOLLOW-UP INTERVIEWS AND THOSE WITHOUT COMPLETED FOLLOW-UP INTERVIEWS

Individuals who completed a follow-up interview are compared in this section with individuals who did not complete a follow-up interview for any reason (e.g., not selected into the follow-up sample, ineligible for follow-up, and interviewers were unable to locate the client for the follow-up survey).<sup>114</sup>

# **DEMOGRAPHIC CHARACTERISTICS**

The average age of clients was about 34 and the majority of the sample for this annual report was White (see Table AB.1). A little less than half of clients reported at intake that they had never been married and almost 31% were separated or divorced. A significantly higher proportion of women were in the follow-up sample than were not followed up because of the stratification by gender when pulling the follow-up sample.

	FOLLOW	/ED UP
	NO n = 1,790	YES n = 284
Age	34.2 years	34.0 years
Gender**		
Male	60.6%	47.5%
Female	39.4%	52.5%
Race		
White	92.0%	92.3%
African American	5.8%	4.9%
Other or multiracial	2.2%	2.8%
Marital status		
Never married	45.5%	40.5%
Married or cohabiting	23.2%	21.1%
Separated or divorced	29.9%	35.2%
Widowed	1.3%	3.2%

TABLE AB. I. COMPARISON OF DEMOGRAPHICS FOR CLIENTS WHO WERE FOLLOWED UP AND CLIENTS WHO WERE NOT FOLLOWED UP115

\*\*p<.01.

<sup>&</sup>lt;sup>114</sup>Significance is reported for p < .01.

<sup>&</sup>lt;sup>115</sup> Eleven individuals had a missing date of birth and their age could not be calculated.

# SUBSTANCE USE AT INTAKE

Use of illegal drugs, alcohol, and tobacco in the 6 months before entering the recovery center is presented by follow-up status in Table AB.2 for those clients who were not incarcerated the entire period.<sup>116</sup> There were no significant differences in the percent of individuals who reported using different types of illegal drugs by follow-up status.

The majority of the clients reported using any illegal drug in the 6 months before entering the program. The drug class used by the greatest percent of clients was prescription opiates/opioids. More than half of clients reported using stimulants (methamphetamine, non-prescribed Adderall, Ecstasy) and marijuana. Use of heroin was reported by about two-fifths of clients. More than one-third of clients used CNS depressants. About one-third of clients reported using cocaine. About one-fifth of clients used other illegal drugs (e.g., synthetic drugs, hallucinogens, inhalants).

Less than half of clients reported using any alcohol at intake. The majority of clients reported smoking tobacco products in the 6 months before entering the program. Nearly one-third of clients reported e-cigarette use. About one-fifth of clients used smokeless tobacco in the 6 months before entering the program.

#### TABLE AB.2. PERCENT OF INDIVIDUALS REPORTING ILLEGAL DRUG USE, ALCOHOL, AND TOBACCO IN THE 6 MONTHS BEFORE ENTERING THE RECOVERY CENTER

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Substances		
Any illicit drug	86.6%	85.3%
Prescription opiates/opioids (including methadone and buprenorphine-naloxone)	61.0%	62.8%
Other Stimulants (methamphetamine, Adderall, Ecstasy)	54.2%	57.6%
Marijuana	52.7%	53.7%
Heroin	35.6%	41.1%
CNS depressants	33.8%	38.5%
Cocaine	32.7%	34.6%
Other illegal drugs (synthetic drugs, hallucinogens, inhalants)	19.5%	19.5%
Alcohol	47.3%	44.2%
Smoked tobacco	85.5%	83.5%
E-Cigarettes	32.9%	32.9%
Smokeless tobacco	19.3%	20.8%

Analysis of past-30-day substance use of clients who were followed up compared to clients who were not followed up showed similar patterns to the 6-month substance use, with the exception of a statistically significant difference in CNS depressant use by follow-up status: 39.7% of followed-up clients vs. 28.2% of clients who did not complete a follow-up survey.

<sup>&</sup>lt;sup>116</sup>Of those who did not complete a follow-up, 260 were incarcerated all 6 months before entering the program. Of those who completed a follow-up, 53 were incarcerated all 6 months before entering the program.

Table AB.3 shows the percent of followed-up and non-followed-up individuals in each DSM-5 severity classification based on self-reported criteria of the 6 months before entering the recovery center. The majority of both groups reported six or more DSM-5 symptoms at intake.

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
No SUD (0-1 symptom)	18.8%	21.8%
Mild SUD (2-3 symptoms)	3.5%	4.2%
Moderate SUD (4-5 symptoms)	3.1%	1.8%
Severe SUD (6+ symptoms)	74.7%	72.2%

#### TABLE AB.3. SELF-REPORTED DSM-5 SYMPTOMS OF SUBSTANCE USE DISORDER

Alcohol and drug composite severity scores were calculated from items included in the intake survey. Because the ASI composite severity scores are based on past-30-day measures, it is important to take into account clients being in a controlled environment all 30 days when examining composite severity scores. Thus, alcohol and drug severity composite scores are presented in Table AB.4 separately for those individuals who were not in a controlled environment all 30 days before entering the recovery center and individuals who were in a controlled environment all 30 days before entering the recovery center. The highest composite score is 1.0 for each of the two substance categories.

Of the individuals who were not in a controlled environment all 30 days, the majority met or surpassed the Addiction Severity Index (ASI) composite score (CS) cutoff for alcohol and/or drug use disorder, with no difference by follow-up status (81.0% for not followed up and 84.9% for followed up individuals; see Table AB.4). Among individuals who were not in a controlled environment all 30 days before entering the program, the average score on the alcohol severity composite score was .27 for individuals who were not followed up and .28 for individuals who were followed up. Among clients who were not in a controlled environment all 30 days before entering the program, the average score on the alcohol severity composite score was .27 for individuals who were followed up. Among clients who were not in a controlled environment all 30 days before entering the program, the average score for the drug severity composite score was .29 for those not followed up and .32 for those who were followed up. These average cutoff scores include individuals with scores of 0 on the composites.

Of the individuals who were in a controlled environment all 30 days before entering the recovery center, less than half met or surpassed the cutoff for the ASI CS for alcohol and/or drug dependence, with no difference by follow-up status (see Table AB.4). Among individuals who were in a controlled environment all 30 days before entering the program, the average score for the alcohol severity composite score for those not followed-up was .14 and for those who were followed-up was .12. Of clients who were in a controlled environment all 30 days, the means for the drug severity composite scores were .17 for both groups. The percent of individuals who met or surpassed the cutoff for the ASI CS for severe SUD did not differ significantly by follow-up status.

Recent substance use problems among individuals who were	Not in a controlled environment all 30 days before entering the recovery center			
	FOLLOWED UP		FOLLO	VED UP
	NO (n = 958)	YES (n = 146)	NO (n = 832)	YES (n = 138)
Percent of individuals with ASI composite score equal to or greater than cutoff score for				
alcohol or drug use disorder	81.0%	84.9%	48.2%	42.0%
alcohol use disorder	47.1%	45.9%	25.7%	21.0%
drug use disorder	70.0%	78.1%	40.6%	34.8%
Average ASI composite score for alcohol use <sup>a</sup>	.27	.28	.14	.12
Average ASI composite score for drug use <sup>b</sup>	.29	.32	.17	.17

#### TABLE AB.4. SELF-REPORTED ALCOHOL AND DRUG USE SEVERITY AT INTAKE

<sup>a</sup> Score equal to or greater than .17 is indicative of alcohol dependence.

<sup>b</sup> Score equal to or greater than .16 is indicative of drug dependence.

# SUBSTANCE ABUSE TREATMENT

A majority of RCOS clients reported ever having been in substance abuse treatment in their lifetime, with no difference by follow-up status (see Table AB.5). Among clients who reported a history of substance abuse treatment, the average number of lifetime treatment episodes was 3.9 for individuals who did not complete a follow-up interview and 3.7 for individuals who did complete a follow-up interview. A minority of clients reported they had participated in any medication-assisted treatment within the past 6 months.

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Ever been in substance abuse treatment in lifetime	68.1%	67.6%
Among those who had ever been in substance abuse treatment in lifetime,	(n = 1,219)	(n = 192)
Average number of times in treatment	3.9	3.7
Participated in any MAT in the 6 months before entering the recovery center	13.9%	12.0%

#### TABLE AB.5. HISTORY OF SUBSTANCE ABUSE TREATMENT IN LIFETIME

## MENTAL HEALTH AT INTAKE

The mental health questions included in the RCOS intake and follow-up surveys are not clinical measures, but instead are research measures. A total of 9 questions were asked to determine if they met study criteria for depression, including the two screening questions: (1) "Did you have a two-week period when you were consistently depressed or down, most of the day, nearly every day?" and (2) "Did

you have a two-week period when you were much less interested in most things or much less able to enjoy the things you used to enjoy most of the time?" The majority of clients reported symptoms that met study criteria for depression, with no significant difference by follow-up status (see Table AB.6).

A total of 7 questions were asked to determine if individuals met criteria for Generalized Anxiety, including the screening question: "In the 6 months before you entered this recovery center, did you worry excessively or were you anxious about multiple things on more days than not (like family, health, finances, school, or work difficulties) all 6 months?" The majority of clients reported symptoms that met the criteria for Generalized Anxiety, with no significant difference by follow-up status.

Two questions were included in the intake survey that asked about thoughts of suicide and attempted suicide in the 6 months before clients entered recovery centers. Nearly one-third of individuals who did not complete a follow-up interview (32.9%) and 29.2% of individuals who did complete a follow-up interview reported suicide ideation and/or attempts, with no difference by follow-up status (see Table AB.6).

The abbreviated version of the PTSD Checklist-5 (PCL-5), comprised of 4 items, was added to intake and follow-up interviews.<sup>117</sup> Individuals had to answer "Yes" to at least one of the victimization questions for the interviewer to ask the PTSD symptom items; thus, 1,647 individuals had PTSD scores at intake including 237 individuals who later completed a follow-up interview. A score of 10 or higher is indicative of clinically significant PTSD symptomatology.

# TABLE AB.6. PERCENT OF INDIVIDUALS REPORTING MENTAL HEALTH PROBLEMS IN THE 6 MONTHS BEFORE ENTERING THE RECOVERY CENTER

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Depression	67.1%	65.5%
Generalized Anxiety	74.0%	71.5%
Suicidality (e.g., thoughts of suicide or suicide attempts)	32.9%	29.2%
PTSD	28.6%	30.0%

# **CRIMINAL JUSTICE SYSTEM INVOLVEMENT AT INTAKE**

The majority of individuals who were not followed-up (79.7%) and 80.3% of those who were followed-up self-reported being referred to the recovery center by the criminal justice system (e.g., judge, drug court, probation, Department of Corrections; not depicted in a Table or Figure). Not all of those referred by the criminal justice system were considered DOC-referred individuals whose costs were covered by the DOC.

The majority of individuals (57.4% of those not followed up and 60.2% of those followed up) reported they had been arrested in the 6 months before entering the recovery center (see Table AB.7). The majority of clients were under supervision by the criminal justice system (e.g., on probation or parole)

<sup>&</sup>lt;sup>117</sup> Price, M., Szafrankski, D. D., van Stolk-Cooke, K., & Gros, D. F. (2016). Investigation of abbreviated 4 and 8 item versions of the PTSD Checklist 5. *Psychiatry Research*, 239, 124-130.

when they entered the recovery center, with no significant difference by follow-up status.

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Arrested for any charge in the 6 months before entering the Recovery Center	57.4%	60.2%
Currently under supervision by the criminal justice system*	75.4%	79.2%
On probation	54.6%	56.7%
On parole	24.2%	25.0%

TABLE AB.7. CRIMINAL JUSTICE SYSTEM INVOLVEMENT WHEN ENTERING THE RECOVERY CENTER

\*p < .05.

The majority of clients in each group reported being incarcerated for at least one day in the past 6 months before entering the program (See Table AB.8). Among those who reported being incarcerated at least one day in the 6 months before entering the program, the average number of days they were incarcerated did not differ by follow-up status.

TABLE AB.8. INCARCERATION HISTORY IN THE 6 MONTHS BEFORE ENTERING THE RECOVERY CENTER

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Incarcerated at least one day	76.3%	78.2%
	(n = 1,366)	(n = 222)
Among those incarcerated at least one day, the average number of days incarcerated	79.7	91.4

# PHYSICAL HEALTH AT INTAKE

Table AB.9 presents comparison of physical health status of clients who were not followed up with clients who were followed up. There were no significant differences by follow-up status. The majority of clients reported they had ever been told by a doctor they had a chronic health problem, such as hepatitis C, cardiovascular disease, arthritis, asthma, severe dental problems, and diabetes. About one-quarter of clients in each group reported they had experienced chronic pain in the 6 months before intake. There was no statistically significant difference in the average number of days clients' physical health and mental health was not good in the 30 days before entering the recovery center.

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Client was ever told by a doctor that client had a chronic medical problem	60.5%	65.5%
Experienced chronic pain (pain lasting 3 months or more)	25.8%	29.6%
In the 30 days before entering the program:		
Average number of days physical health was not good	9.2	7.7
Average number of days mental health was not good	17.2	16.2

#### TABLE AB.9. CLIENT'S PHYSICAL HEALTH STATUS AT INTAKE

## ECONOMIC AND LIVING CIRCUMSTANCES AT INTAKE

Table AB.10 describes clients' level of education when entering the recovery center. A minority of individuals had less than a high school diploma or GED, with no significant difference by follow-up status.

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Highest level of education completed		
Less than GED or high school diploma	19.8%	20.4%
GED/high school diploma or higher	80.2%	79.6%

TABLE AB. 10. CLIENTS' HIGHEST LEVEL OF EDUCATION COMPLETED AT INTAKE

There were no differences in usual employment status at intake by follow-up status (see Table AB.11). More than half of followed up and not followed up clients were unemployed, either because they were not looking for work due to being a student, homemaker, retired, disabled, or in a controlled environment or because they were looking for work. Of the individuals who reported working at least part-time in the 6 months before entering the recovery center, the average number of months worked was 3.9 for clients not followed up and 3.8 for clients followed up.A minority of clients reported they currently received SSI or SSDI benefits.

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Usual employment status		
Employed full-time	38.0%	35.9%
Employed part-time (including seasonal, occasional work)	12.5%	11.3%
Unemployed and not looking for work due to being a student, homemaker, retired, disabled, or in a controlled environment	25.4%	27.8%
Unemployed	24.1%	25.0%
	(n = 904)	(n = 134)
Among those who were employed, average number of months client was employed	3.9 months	3.8 months
Currently receives SSI or SSDI benefits	6.4%	5.3%

#### TABLE AB. I I. EMPLOYMENT IN THE 6 MONTHS BEFORE ENTERING THE RECOVERY CENTER

There were no significant differences in living situation at intake between individuals who completed a follow-up interview and individuals who did not. The largest category of living situation for individuals who did not complete a follow-up was living in a private residence, whereas for the followed-up individuals, the largest category was living in prison/jail (see Table AB.12). Small percentages of individuals reported their usual living arrangement had been in a shelter or on the street, or in a non-correctional facility controlled environment such as a recovery center, residential treatment, sober living home, or hospital.

At the time individuals entered recovery centers, 36.1% of clients who were not followed up and 35.9% of clients who were followed up considered themselves to be homeless, with many of those individuals stating that they were temporarily living with family or friends, staying on the street or living in a car, or in jail or prison (see Table AB.12).

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Usual living arrangement in the 6 months before entering the program		
Own or someone else's home or apartment	46.5%	40.8%
Jail or prison	42.5%	48.9%
Shelter or on the street	6.5%	6.0%
Residential program, hospital, recovery center, or sober living home	3.2%	4.2%
Other living situation	1.3%	0.0%
Considers self to be currently homeless <sup>a</sup>	36.1%	35.9%
Why the individual considers himself/herself to be homeless	(n = 646)	(n = 102)
Staying temporarily with friends or family	47.7%	44.1%
Staying on the street or living in a car	28.2%	25.5%
In jail or prison	13.0%	16.7%
Staying in a shelter	7.3%	7.8%
Staying in a hotel or motel	1.9%	0.0%
In residential treatment, or other recovery center	0.6%	0.0%
Other reason	1.4%	5.9%

#### TABLE AB. 12 LIVING SITUATION OF CLIENTS BEFORE ENTERING THE RECOVERY CENTER

a—These other responses report that the client lost their home and how but not where they were staying temporarily

About half of clients reported they had difficulty meeting any needs for financial reasons in the 6 months before entering the program, with no significant difference by follow-up status (see Table AB.13). Similar percentages of clients who were followed up and clients who were not followed up reported they had difficulty meeting basic living needs or health care needs.

# TABLE AB. I 3. CLIENTS WHO HAD DIFFICULTY MEETING BASIC NEEDS BEFORE ENTERING THE RECOVERY CENTER

	FOLLOWED UP	
	NO n = 1,790	YES n = 284
Client's household had difficulty meeting any needs in the 6 months before entering the program	48.8%	48.6%
Basic living needs (e.g., housing, utilities, telephone service, food)	43.9%	43.3%
Health care needs	29.1%	25.0%
Average number of needs had difficulty meeting	1.8	1.7

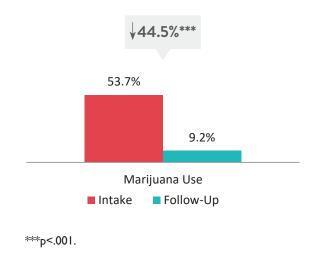
# APPENDIX C. CHANGE IN USE OF SPECIFIC CLASSES OF DRUGS FROM INTAKE TO FOLLOW-UP

# CHANGE IN 6-MONTH DRUG USE FROM INTAKE TO FOLLOW-UP FOR INDIVIDUALS NOT IN A CONTROLLED ENVIRONMENT THE ENTIRE PERIOD BEFORE ENTERING THE RECOVERY CENTER

## PAST-6-MONTH MARIJUANA USE

Clients' self-reported marijuana use decreased significantly by 44.5% from the 6 months before entering the program to the 6 months before follow-up (see Table AC. I).

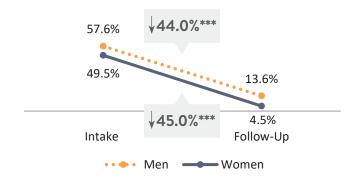
FIGURE AC. I. MARIJUANA USE FOR INDIVIDUALS WHO WERE NOT IN A CONTROLLED ENVIRONMENT THE ENTIRE PERIOD BEFORE ENTERING THE RECOVERY CENTER (N = 229)



## GENDER DIFFERENCE IN PAST-6-MONTH USE OF MARIJUANA

Figure AC.2 shows that at intake similar percentages of men and women reported using marijuana in the past 6 months. There were significant decreases in the percent of men and women who used marijuana at follow-up, with significantly more men reporting 6-month marijuana use at follow-up.

FIGURE AC.2. GENDER DIFFERENCES IN MARIJUANA USE AT INTAKE AND FOLLOW-UPª

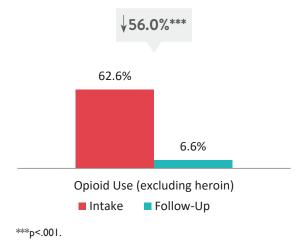


a—Significant difference by gender follow-up (p < .05).

### PAST-6-MONTH OPIOID (EXCLUDING HEROIN) USE

Individuals' self-reported use of opioids including prescription opiates, methadone, and buprenorphinenaloxone (bup-nx) decreased significantly by 56.0% from the 6 months before entering the recovery center to the 6 months before follow-up (see Table AC.3). There were no gender differences at intake or follow-up.

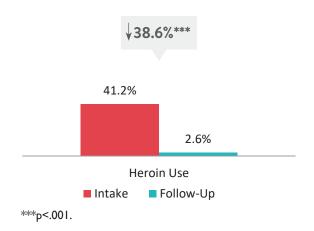
#### FIGURE AC.3. OPIOID USE (EXCLUDING HEROIN) FOR INDIVIDUALS WHO WERE NOT IN A CONTROLLED ENVIRONMENT THE ENTIRE PERIOD BEFORE ENTERING THE RECOVERY CENTER (N = 227)



### **PAST-6-MONTH HEROIN USE**

The number of individuals who reported using heroin decreased significantly by 38.6% in the period before entering the recovery center to the 6 months before follow-up (see Table AC.4). There was no significant difference in use of heroin at intake by gender. Too few individuals reported using heroin in the 6 months before follow-up to examine statistically significant differences by gender.

FIGURE AC.4. HEROIN USE FOR INDIVIDUALS WHO WERE NOT IN A CONTROLLED ENVIRONMENT THE ENTIRE PERIOD BEFORE ENTERING THE RECOVERY CENTER (N = 228)<sup>118</sup>

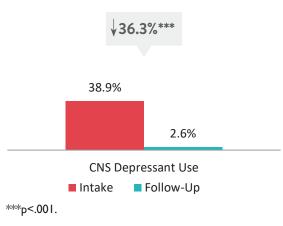


<sup>&</sup>lt;sup>118</sup>One individual had missing data for heroin use at follow-up.

## PAST-6-MONTH CENTRAL NERVOUS SYSTEM (CNS) DEPRESSANT USE

The number of individuals who reported using CNS depressants (e.g., tranquilizers, barbiturates, benzodiazepines, sedatives) decreased significantly by 36.3% in the 6 months before entering the recovery center to the 6 months before follow-up (see Table AC.5).

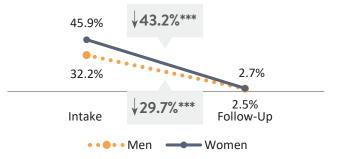
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FIGURE AC.5. CNS DEPRESSANT USE FOR INDIVIDUALS WHO WERE NOT IN A CONTROLLED ENVIRONMENT
THE ENTIRE PERIOD BEFORE ENTERING THE RECOVERY CENTER (N = 229)
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### GENDER DIFFERENCE IN PAST-6-MONTH USE OF CNS DEPRESSANTS

Figure AC.6 shows that at intake, a significantly higher percentage of women than men reported using CNS depressants in the past 6 months. There were too few individuals who reported using CNS depressants at follow-up to examine a gender difference.

FIGURE AC.6. GENDER DIFFERENCES IN CNS DEPRESSANT USE AT INTAKE AND FOLLOW-UPª

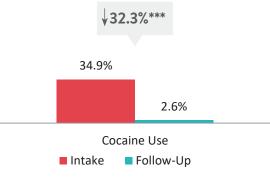


a—Significant difference by gender follow-up (p < .05).

## **PAST-6-MONTH COCAINE USE**

The number of individuals who reported using cocaine decreased significantly by 32.3% in the period before entering the recovery center to the 6 months before follow-up (see Table AC.7). There were no gender differences at intake and there were too few individuals who reported using cocaine at follow-up to examine a gender difference.

# FIGURE AC.7. COCAINE USE FOR INDIVIDUALS WHO WERE NOT IN A CONTROLLED ENVIRONMENT THE ENTIRE PERIOD BEFORE ENTERING THE RECOVERY CENTER (N = 229)

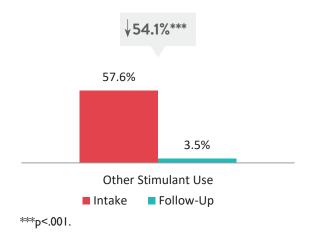


\*\*\*p<.001.

## **PAST-6-MONTH OTHER STIMULANT USE**

The number of individuals who reported using other stimulants (e.g., amphetamine, methamphetamine, ecstasy, Ritalin) decreased significantly by 54.1% in the period before entering the recovery center to the 6 months before follow-up (see Table AC.8). There were no gender differences in the percent of clients who reported using stimulants at intake, and too few individuals reported using amphetamines at follow-up to examine statistically significant difference by gender.

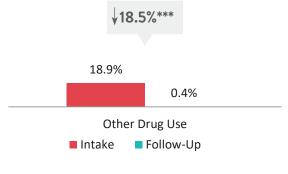
FIGURE AC.8. OTHER STIMULANT USE FOR INDIVIDUALS WHO WERE NOT IN A CONTROLLED ENVIRONMENT THE ENTIRE PERIOD BEFORE ENTERING THE RECOVERY CENTER (N = 229)



## PAST-6-MONTH USE OF OTHER DRUGS

The number of individuals who reported using other illegal drugs (e.g., inhalants, hallucinogens, synthetic drugs) decreased significantly by 18.5% (see Table AC.9). There were no gender differences in the percent of clients who reported using other illegal drugs at intake, and too few individuals reported using other illegal drugs at intake, and too few individuals reported using other illegal drugs at follow-up to examine statistically significant difference by gender.

#### FIGURE AC.8. USE OF OTHER DRUGS FOR INDIVIDUALS WHO WERE NOT IN A CONTROLLED ENVIRONMENT THE ENTIRE PERIOD BEFORE ENTERING THE RECOVERY CENTER (N = 227)



\*\*\*p<.001.

# APPENDIX D. LENGTH OF SERVICE, DOC-REFERRAL STATUS, AND TARGETED OUTCOMES

This section describes the relationship between the length of service (i.e., number of days between entry into the program and discharge), DOC referral status, and targeted outcomes at follow-up: (1) illegal drug or alcohol use (yes/no) and average ASI alcohol and drug composite scores, (2) mental health (e.g., meeting criteria for depression or anxiety), (3) employment status (e.g., employed or unemployed), and (4) criminal justice system involvement (e.g., arrested at least once, spent at least one night incarcerated).

Overall, the clients who were followed up received, on average, about 8.4 months of services from the recovery centers. There was no difference in length of service between clients who were referred by DOC and clients who were not referred by DOC. Multivariate analysis examining the relationship between length of service, DOC referral status, and several targeted outcomes showed no significant associations between DOC referral status and the outcomes, but significant associations were found between length of service and six outcomes— one of which overlapped with the other factors: multidimensional recovery status. Specifically, shorter length of service was associated with greater odds of:

- using drugs or alcohol
- meeting criteria for depression or anxiety
- being arrested
- being incarcerated
- · having better status in the 6-month follow-up period
- higher alcohol use severity at follow-up.

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